FRANKLIN COVEY CO

Form 4

October 09, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

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response...

if no longer subject to Section 16. Form 4 or Form 5

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * WHITMAN ROBERT A

2. Issuer Name and Ticker or Trading Symbol

FRANKLIN COVEY CO [FC]

5. Relationship of Reporting Person(s) to Issuer

below)

(Middle)

3. Date of Earliest Transaction

_X__ Director

10% Owner Other (specify

2200 WEST PARKWAY BLVD

(First)

(Month/Day/Year)

01/28/2010

X_ Officer (give title below) Chief Executive Officer

(Check all applicable)

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

(Instr. 4)

Person

SALT LAKE CITY, UT 84119

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

(City)

2. Transaction Date 2A. Deemed (Month/Day/Year)

(State)

Execution Date, if

(Month/Day/Year)

(Zip)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

Code V Amount (D) Price

5. Amount of Securities Beneficially Owned

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (T)

(Instr. 4)

(9-02)

Following Reported Transaction(s)

(Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if

any

4. 5. Number of TransactionDerivative Code Securities

(A)

6. Date Exercisable and Expiration Date (Month/Day/Year)

7. Title and Amount of Underlying Securities

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			(Instr. 3 and	4)
				Code V		Date Exercisable	Expiration Date	Title	Amount or Number of Shares
employee stock option (right to buy)	\$ 9	01/28/2010		A	62,500	01/28/2010	01/28/2020	common stock	62,500
employee stock option (right to buy)	\$ 10	01/28/2010		A	62,500	01/28/2010	01/28/2020	common stock	62,500
employee stock option (right to buy)	\$ 12	01/28/2010		A	62,500	01/28/2010	01/28/2020	common stock	62,500
employee stock option (right to buy)	\$ 14	01/28/2010		A	62,500	01/28/2010	01/28/2020	common stock	62,500
employee stock opton (right to buy)	\$ 9	01/14/2011		A	62,500	01/14/2011	01/14/2021	common stock	62,500
employee stock option (right to buy)	\$ 10	01/14/2011		A	62,500	01/14/2011	01/14/2021	common stock	62,500
employee stock option (right to buy)	\$ 12	01/14/2011		A	62,500	01/14/2011	01/14/2021	common stock	62,500
employee stock option (right to	\$ 14	01/14/2011		A	62,500	01/14/2011	01/14/2021	common stock	62,500

buy)

Reporting Owners

Reporting Owner Name / Address Relationships

X

Director 10% Owner Officer Other

WHITMAN ROBERT A
2200 WEST PARKWAY BLVD
SALT LAKE CITY, UT 84119

Chief Executive Officer

Signatures

/s/ Stephen D. Young, Attorney-in-Fact

**Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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^{**} Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).