22nd Century Group, Inc. Form 4

August 14, 2013

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005 Estimated average

0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

*See* Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Moynihan Michael Robert			Symbol	2. Issuer Name <b>and</b> Ticker or Trading Symbol 22nd Century Group, Inc. [XXII.OB]				5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) 9530 MAIN	(First) STREET	(Middle)	3. Date of (Month/D 08/13/20	-				Director 10% Owner X Officer (give title Other (specify below) Vice President of R&D			
(Street)  CLARENCE, NY 14031				4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owne						lly Owned				
1.Title of Security (Instr. 3)	2. Transaction I (Month/Day/Ye	ar) Exe	cution Date, if	Code (D) r) (Instr. 8) (Instr. 3, 4 and 5)  (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Common Stock	08/13/2013			Code V S	Amount 5,000	(D)	Price \$ 1.04	1,003,934	D		
Common Stock	08/13/2013			S	4,315	D	\$ 1.06	999,619	D		
Common Stock	08/14/2013			S	2,000	D	\$ 1.06	997,619	D		
Common Stock	08/14/2013			S	3,685	D	\$ 1.07	993,934	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Dr. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Warrant to Purchase (1)	\$ 2.4869					01/25/2011	01/25/2016	Common Stock	288,022
Warrant to Purchase (1)	\$ 1.2977					01/25/2011	01/25/2016	Common Stock	5,722
Warrant to Purchase (1)	\$ 0.6					05/15/2012	05/15/2017	Common Stock	150,000
Stock Option (right to purchase)	\$ 0.69					05/18/2012	05/18/2022	Common Stock	100,000
Stock Option (right to purchase)	\$ 0.8					02/25/2013	02/25/2023	Common Stock	75,000

## **Reporting Owners**

Reporting Owner Name / Address	Relationships
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Director 10% Owner Officer Other

Vice President of R&D

Reporting Owners 2

Moynihan Michael Robert 9530 MAIN STREET CLARENCE, NY 14031

#### **Signatures**

/s/ Thomas L. James, Attorney-in-Fact for Michael R. Moynihan

08/14/2013

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The exercise price and/or number of shares underlying this security are subject to adjustment as set forth in the applicable warrant agreement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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