

SIDHU JAY S
Form 3
May 16, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â SIDHU JAY S
(Last) (First) (Middle)

1015 PENN AVENUE, SUITE
103

(Street)

WYOMISSING, Â PA Â 19610

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)
05/15/2013

3. Issuer Name **and** Ticker or Trading Symbol
Customers Bancorp, Inc. [CUBI]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
Chairman & CEO

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock	238,572	D	Â
Class B Non-Voting Common Stock	20,833	D	Â
Restricted Stock Units (Common Stock)	58,531	D	Â
Restricted Stock Units (Class B Non-Voting Common Stock)	211,640	D	Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Warrants	06/30/2009	06/30/2016	Common Stock	195,596	\$ 10.5	D	Â
Warrants	09/30/2009	09/30/2016	Common Stock	21,891	\$ 10.5	D	Â
Warrants	11/13/2009	11/13/2016	Common Stock	60,632	\$ 10.5	D	Â
Stock Options	04/06/2015	04/06/2020	Common Stock	448,754	\$ 9.75	D	Â
Stock Options	07/14/2015	07/14/2020	Common Stock	11,667	\$ 10.5	D	Â
Stock Options	12/28/2015	12/28/2020	Common Stock	74,422	\$ 12	D	Â
Stock Options	01/31/2016	01/31/2021	Common Stock	76,459	\$ 12	D	Â
Stock Options	02/28/2016	02/28/2021	Common Stock	33,517	\$ 12	D	Â
Stock Options	03/07/2016	03/07/2021	Common Stock	26,831	\$ 12	D	Â
Stock Options	09/17/2016	09/17/2021	Class B Non-Voting Common Stock	62,399	\$ 13.2	D	Â
Stock Options	09/30/2016	09/30/2021	Class B Non-Voting Common Stock	98,485	\$ 13.2	D	Â
Stock Options	09/20/2017	09/20/2022	Common Stock	711,182	\$ 14	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SIDHU JAY S 1015 PENN AVENUE, SUITE 103 WYOMISSING, PA 19610	Â X	Â	Â Chairman & CEO	Â

Signatures

/s/ Jay S. Sidhu

05/15/2013

 Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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