WOLTZ H O III Form 4

February 11, 2013

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Estimated average

burden hours per

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

INSTEEL INDUSTRIES INC [IIIN]

Symbol

(ME data)

response... 0.5

*See* Instruction 1(b).

(Print or Type Responses)

**WOLTZ H O III** 

1. Name and Address of Reporting Person \*

(Last)	(First) (M	(Iiddle) 3. Date of	f Earliest Transaction				
1373 BOGO	SS DRIVE	(Month/I 02/09/2	Day/Year) 013	X Director 10% OwnerX Officer (give title Other (specify below)  Chairman, President and CEO			
	(Street)	4. If Am	endment, Date Original	6. Individual or Joint/Group Filing(Check			
MOUNT AI	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person						
(City)	(State)	Zip) Tab	le I - Non-Derivative Securities Acc	quired, Disposed	of, or Benefic	ially Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year) 02/09/2013	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price F 4,951 D	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Stock	02/09/2013		15.77 15.77	ŕ		co-executor of Howard	
Stock (2)				8,513	Ι	O. Woltz, Jr. Estate	
Common Stock (2)				365,130	I	co-trustee of trusts established by Howard O. Woltz, Jr.	

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Common Stock (2)	21,222	I	Custodial account for minor child
Common			
Stock			
(Restricted	68,585	D	
Stock			
Units)			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D (Month/Day, e	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 10.23					<u>(1)</u>	08/21/2022	Common Stock	32,967	
Stock Option (Right to Buy)	\$ 13.06					<u>(1)</u>	02/21/2022	Common Stock	24,752	
Stock Option (Right to Buy)	\$ 10.72					<u>(1)</u>	08/08/2021	Common Stock	30,928	
Stock Option (Right to Buy)	\$ 12.43					<u>(1)</u>	02/08/2021	Common Stock	25,597	

8. Price Deriva Securit (Instr.

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Stock Option (Right to Buy)	\$ 9.16	<u>(1)</u>	08/09/2020	Common Stock	33,708
Stock Option (Right to Buy)	\$ 9.39	<u>(1)</u>	02/09/2020	Common Stock	32,468
Stock Option (Right to Buy)	\$ 11.6	(1)	08/10/2019	Common Stock	23,962
Stock Option (Right to Buy)	\$ 11.15	(1)	02/19/2018	Common Stock	28,791
Stock Option (Right to Buy)	\$ 7.55	<u>(1)</u>	02/10/2019	Common Stock	32,609
Stock Option (Right to Buy)	\$ 16.69	<u>(1)</u>	08/19/2018	Common Stock	15,957
Stock Option (Right to Buy)	\$ 17.11	<u>(1)</u>	02/13/2017	Common Stock	14,395
Stock Option (Right to Buy)	\$ 20.27	<u>(1)</u>	08/13/2017	Common Stock	11,878
Stock Option (Right to Buy)	\$ 20.26	<u>(1)</u>	08/14/2016	Common Stock	6,369
Stock Option (Right to Buy)	\$ 15.64	<u>(1)</u>	02/14/2016	Common Stock	9,144
Stock Option (Right to Buy)	\$ 9.12	(1)	03/04/2015	Common Stock	17,826
	\$ 6.89	<u>(1)</u>	07/26/2015		11,532

Stock Common Option Stock (Right to

Buy)

#### **Reporting Owners**

Reporting Owner Name / Address Director 10% Owner Officer Other

Relationships

**WOLTZ H O III** 

X 1373 BOGGS DRIVE Chairman, President and CEO

MOUNT AIRY, NC 27030

## **Signatures**

James F. Petelle for H. O. 02/11/2013 Woltz III

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options vest 1/3 annually beginning one year from grant date.
- In addition to the gifts on November 3 and November 30, 2011, this Form also reflects the beneficial interest H. O. Woltz III is deemed to
- hold in the estate of his father, Howard O. Woltz, Jr., and in three trusts established by his father, following the death of his father on **(2)** January 2, 2011. Mr. Woltz III disclaims beneficial interest in the shares held in the estate and in trust, except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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