#### Edgar Filing: WINTRUST FINANCIAL CORP - Form 4

#### WINTRUST FINANCIAL CORP

Form 4

November 01, 2006

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Estimated average

5. Relationship of Reporting Person(s) to

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

burden hours per response... 0.5

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

| BULLEY ALLAN E JR.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                      |                                                  | Symbol WINTRUST FINANCIAL CORP [WTFC]                       |                                        |                           |       |               | Issuer (Check all applicable)                                                                                                                  |                                                          |                                                       |  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|--------------------------------------------------|-------------------------------------------------------------|----------------------------------------|---------------------------|-------|---------------|------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|--|
| (Last) (First) (National National Natio |                                      | (                                                | 3. Date of Earliest Transaction (Month/Day/Year) 10/31/2006 |                                        |                           |       |               | XDirector 10% Owner Officer (give title below) Other (specify below)                                                                           |                                                          |                                                       |  |
| (Street) CHICAGO, IL 60622                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                      |                                                  | 4. If Amendment, Date Original Filed(Month/Day/Year)        |                                        |                           |       |               | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |                                                          |                                                       |  |
| (City)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | (State)                              | (Zip)                                            | Tal                                                         | ble I - Non                            | -Derivativ                | e Sec | urities Acqı  | uired, Disposed o                                                                                                                              | of, or Benefici                                          | ially Owned                                           |  |
| 1.Title of<br>Security<br>(Instr. 3)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Da<br>any<br>(Month/Day/ | ate, if                                                     | 3.<br>Transactic<br>Code<br>(Instr. 8) | oner Dispos<br>(Instr. 3, | ed of |               | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)                             | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |
| Common<br>Stock                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                      |                                                  |                                                             |                                        |                           |       |               | 45,049                                                                                                                                         | D                                                        |                                                       |  |
| Common<br>Stock                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 10/31/2006                           |                                                  |                                                             | P                                      | 2,500                     | A     | \$<br>48.4458 | 5,000                                                                                                                                          | I                                                        | by<br>Corporation                                     |  |
| Common<br>Stock                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                      |                                                  |                                                             |                                        |                           |       |               | 75                                                                                                                                             | I                                                        | by Trust                                              |  |
| Common<br>Stock                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                      |                                                  |                                                             |                                        |                           |       |               | 1,000                                                                                                                                          | I                                                        | Profit<br>Sharing Plan                                |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exer | cisable and | 7. Title and     | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|--------------|-------------|------------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | onNumber   | Expiration D | ate         | Amount of        | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/  | Year)       | Underlying       | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e            |             | Securities       | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |              |             | (Instr. 3 and    | 4)          | Own    |
|             | Security    |                     |                    |            | Acquired   |              |             |                  |             | Follo  |
|             |             |                     |                    |            | (A) or     |              |             |                  |             | Repo   |
|             |             |                     |                    |            | Disposed   |              |             |                  |             | Trans  |
|             |             |                     |                    |            | of (D)     |              |             |                  |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |              |             |                  |             |        |
|             |             |                     |                    |            | 4, and 5)  |              |             |                  |             |        |
|             |             |                     |                    |            |            |              |             | Amoi             |             |        |
|             |             |                     |                    |            |            |              |             |                  | uiit        |        |
|             |             |                     |                    |            |            | Date         | Expiration  | Of<br>Title Numb | h.a.u       |        |
|             |             |                     |                    |            |            | Exercisable  | Date        | Title Numl       | ber         |        |
|             |             |                     |                    | C-J- V     | (A) (D)    |              |             | of               |             |        |
|             |             |                     |                    | Coue v     | (A) (D)    |              |             | Share            | es          |        |

## **Reporting Owners**

| Reporting Owner Name / Address |    | Relation | nships |   |
|--------------------------------|----|----------|--------|---|
|                                | ъ. | 10% 0    | 0.00   | _ |

X

Director 10% Owner Officer Other

BULLEY ALLAN E JR. 1755 W. ARMITAGE CHICAGO, IL 60622

### **Signatures**

/s/ David A. Dykstra, 11/01/2006 Attorney-in-Fact

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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