ROCKWELL COLLINS INC

Form 4 June 17, 2011

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

OMB APPROVAL

Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

January 31, 2005

0.5

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response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

BESONG JOHN PAUL E				Symbol ROCKWELL COLLINS INC [COL]				C	Issuer			
	(Last)	(First) (Middle) 3. Date of Earliest Transaction				[COL]	(Check all applicable)					
M/S 124-203, 400 COLLINS ROAD NE				(Month/Day/Year) 06/16/2011					Director 10% OwnerX_ Officer (give title Other (specify below) Sr. VP, eBusiness			
	(Street) 4. If A				Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
Fil CEDAR RAPIDS, IA 52498-0001				Filed(Mo	Filed(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City) (State) (Zin)							D 01 1 11					
		, ,						-	uired, Disposed of,		·	
S	Title of Security Instr. 3)	2. Transaction Da (Month/Day/Year	Execution any		Code (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
					Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
	Common Stock	06/16/2011			M	27,600	A	\$ 22.35	36,150.707 <u>(1)</u>	D		
	Common Stock	06/16/2011			S	27,600	D	\$ 59.46	8,550.707 (1)	D		
	Common Stock								32,417.8992 (2)	I	By Savings	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy) (3)	\$ 22.35	06/16/2011		M	27,600	<u>(4)</u>	07/05/2011	Common Stock	27,600

Reporting Owners

	Relationships
Reporting Owner Name / Address	•

Director 10% Owner Officer Other

BESONG JOHN PAUL E M/S 124-203 400 COLLINS ROAD NE CEDAR RAPIDS, IA 52498-0001

Sr. VP, eBusiness

Signatures

/s/ Vaughn M. 06/17/2011 Klopfenstein

**Signature of Reporting Date
Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired under the Company's employee stock purchase plan and based on information furnished by the Plan Administrator as of June 6, 2011.
- (2) Shares represented by Company stock fund units under the Company's tax-qualified savings plan, including shares acquired on a periodic basis pursuant to the Plan, based on information furnished by the Plan Administrator as of April 1, 2011.
- (3) Employee stock options granted pursuant to the Company's stock based plans.
- (4) The options became exercisable in three equal installments on July 5, 2002, 2003 and 2004.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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