

JONES CLAYTON M  
Form 4  
May 18, 2011

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
JONES CLAYTON M

2. Issuer Name and Ticker or Trading Symbol  
ROCKWELL COLLINS INC [COL]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
05/17/2011

Director  10% Owner  
 Officer (give title below)  Other (specify below)

Chairman, President and CEO

M/S 124-323, 400 COLLINS ROAD  
NE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

CEDAR RAPIDS, IA 52498-0001

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	05/17/2011		S <sup>(1)</sup>	267 D	\$ 61.71	93,647	D
Common Stock	05/17/2011		S <sup>(1)</sup>	100 D	\$ 61.73	93,547	D
Common Stock	05/17/2011		S <sup>(1)</sup>	100 D	\$ 61.74	93,447	D
Common Stock	05/17/2011		S <sup>(1)</sup>	100 D	\$ 61.75	93,347	D
Common Stock	05/17/2011		S <sup>(1)</sup>	33 D	\$ 61.77	93,314	D

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Common Stock	05/17/2011	S <sup>(1)</sup>	100	D	\$ 61.8	93,214	D	
Common Stock	05/17/2011	S <sup>(1)</sup>	200	D	\$ 61.81	93,014	D	
Common Stock	05/17/2011	S <sup>(1)</sup>	200	D	\$ 61.82	92,814	D	
Common Stock	05/17/2011	S <sup>(1)</sup>	200	D	\$ 61.85	92,614	D	
Common Stock	05/17/2011	S <sup>(1)</sup>	100	D	\$ 61.87	92,514	D	
Common Stock	05/17/2011	S <sup>(1)</sup>	200	D	\$ 61.89	92,314	D	
Common Stock						21,950 <sup>(2)</sup>	I	By GRATs
Common Stock						13,900.1435 <sup>(3)</sup>	I	By Savings Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director    10% Owner    Officer    Other

JONES CLAYTON M  
M/S 124-323  
400 COLLINS ROAD NE  
CEDAR RAPIDS, IA 52498-0001

X

Chairman, President and CEO

## Signatures

Gary R. Chadick,  
Attorney-in-Fact

05/17/2011

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan signed by the reporting person on May 3, 2011.
- (2) Indirectly owned by reporting person who serves as trustee of grantor retained annuity trusts (GRATs). The GRATs hold shares originally contributed by the reporting person.
- (3) Shares represented by Company stock fund units under the Company's tax-qualified savings plan, including shares acquired on a periodic basis pursuant to the Plan, based on information furnished by the Plan Administrator as of April 1, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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