#### **ROCKWELL COLLINS INC**

Form 4 April 18, 2007

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to **SECURITIES** Section 16. Form 4 or

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* CHURCHILL GREGORY S

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

Estimated average

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3235-0287

January 31,

2005

0.5

**OMB** 

Number:

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response...

Symbol ROCKWELL COLLINS INC [COL]

(First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

M/S 120-102, 400 COLLINS ROAD

04/16/2007

Director 10% Owner \_X\_\_ Officer (give title Other (specify

below) below) ExecVP and COO, Government Sys

(Check all applicable)

NE

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

Person

**CEDAR RAPIDS, IA 52498-0001** 

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative	Secur	ities Acq	uired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	04/16/2007		M	10,328	A	\$ 20.97	20,554.4521 (1)	D	
Common Stock	04/16/2007		S(2)	1,100	D	\$ 66.04	19,454.4521 (1)	D	
Common Stock	04/16/2007		S(2)	200	D	\$ 66.03	19,254.4521 (1)	D	
Common Stock	04/16/2007		S(2)	1,200	D	\$ 66.02	18,054.4521 (1)	D	
Common Stock	04/16/2007		S(2)	500	D	\$ 66.01	17,554.4521 (1)	D	

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Common Stock	04/16/2007	S(2)	1,600	D	\$ 65.99	15,954.4521 (1)	D	
Common Stock	04/16/2007	S(2)	2,003	D	\$ 65.97	13,951.4521 (1)	D	
Common Stock	04/16/2007	S(2)	1,200	D	\$ 65.96	12,751.4521 (1)	D	
Common Stock	04/16/2007	S(2)	1,725	D	\$ 65.95	11,026.4521 (1)	D	
Common Stock	04/16/2007	S(2)	800	D	\$ 65.94	10,226.4521 (1)	D	
Common Stock						6,980.5869 <u>(3)</u>	I	By Savings Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exerc Expiration D (Month/Day/	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 20.97	04/16/2007		M	10,328	<u>(4)</u>	09/11/2012	Common Stock	10,328	

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

CHURCHILL GREGORY S M/S 120-102 400 COLLINS ROAD NE ExecVP and COO, Government Sys

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**CEDAR RAPIDS, IA 52498-0001** 

# **Signatures**

/s/ Gary R. Chadick, Attorney-in-Fact

04/18/2007

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired under the Company's employee stock purchase plan and based on information furnished by the Plan Administrator as of April 3, 2007.
- (2) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- (3) Shares represented by Company stock fund units under the Rockwell Collins, Inc. 2001 qualified savings plan, including shares acquired on a periodic basis pursuant to the Plan, based on information furnished by the Plan Administrator as of Arpil 3, 2007.
- (4) Options are currently exercisable.
- (5) Employee stock options granted pursuant to the Company's stock based plans.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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