#### CARY RICHARD C

Form 4 May 02, 2019

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5

obligations may continue.

(Middle)

See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* CARY RICHARD C

(Last) (First)

2850 GOLF ROAD

(Street)

2. Issuer Name and Ticker or Trading Symbol

GALLAGHER ARTHUR J & CO

[AJG]

3. Date of Earliest Transaction (Month/Day/Year)

04/30/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Director 10% Owner X\_ Officer (give title \_ Other (specify

below) Controller, CAO

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### **ROLLING** MEADOWS, IL 60008-4002

(City)	(State) (	(Zip) Tabl	e I - Non-L	<b>Derivative</b>	Secur	rities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	04/30/2019		M	2,133	A	\$ 46.87	35,193	D	
Common Stock	04/30/2019		S	2,133	D	\$ 83.35	33,060	D	
Common Stock	04/30/2019		M	1,800	A	\$ 46.17	34,860	D	
Common Stock	04/30/2019		S	1,800	D	\$ 83.5	33,060	D	
Common Stock	04/30/2019		M	2,201	A	\$ 43.71	35,261	D	

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Common Stock 04/30/2019 S 2,201 D \$83.6 33,060 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number out of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amoun or Numbe of Shares
Non-qualified Stock Option	\$ 46.87	04/30/2019		M	2,133	<u>(1)</u>	03/12/2021	Common Stock	2,133
Non-qualified Stock Option	\$ 46.17	04/30/2019		M	1,800	<u>(1)</u>	03/11/2022	Common Stock	1,800
Non-qualified Stock Option	\$ 43.71	04/30/2019		M	2,201	<u>(1)</u>	03/17/2023	Common Stock	2,201

## **Reporting Owners**

\*\*Signature of Reporting Person

Reporting Owner Name / Address	Relationships					
. 0	Director	10% Owner	Officer	Other		
CARY RICHARD C 2850 GOLF ROAD ROLLING MEADOWS, IL 60008-4002			Controller, CAO			
Signatures						

### Signatures

/s/ April Hanes-Dowd, by power of attorney 05/02/2019

Reporting Owners 2

Date

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) One-third of this stock option becomes exerciseable on each of the 3rd, 4th, and 5th anniversaries of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.