Weiss Jonathan G. Form 4 March 19, 2019

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Weiss Jonathan G.

(First) (Middle)

150 EAST 42ND STREET

NEW YORK, NY 10017

(Street)

2. Issuer Name and Ticker or Trading

Symbol

WELLS FARGO & COMPANY/MN [WFC]

3. Date of Earliest Transaction

(Month/Day/Year) 03/15/2019

4. If Amendment, Date Original

Filed(Month/Day/Year)

**OMB APPROVAL** 

OMB Number:

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January 31, 2005

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Issuer	.oop or 110	yorung rerson(s) to
	(Check al	l applicable)
		10% Owner Other (specify below)
6. Indiv	idual or Joint/	Group Filing(Check
Applicab	· · · · · · · · · · · · · · · · · · ·	
	•	Reporting Person
Person	n filed by More	than One Reporting
reison		

(I)

(Instr. 4)

5. Relationship of Reporting Person(s) to

(City)	(State)	(Zip) Ta	ble I - Non	-Derivative Securities Acquired	, Disposed of, or B	eneficially O	wned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities Acquired (A) or	5. Amount of	6.	7. Nature
Security	(Month/Day/Year)	Execution Date, if	Transaction	orDisposed of (D)	Securities	Ownership	of Indirect
(Instr. 3)		any	Code	(Instr. 3, 4 and 5)	Beneficially	Form:	Beneficial
		(Month/Day/Year)	(Instr. 8)		Owned	Direct (D)	Ownership
					Following	or Indirect	(Instr. 4)

	Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)
mmon					

Stock, \$1 2/3 Par Value	03/15/2019	M	76,629.7816 (1)	A	\$ 0	214,098.7816	D
Common							

	03/15/2019	F	40,782.7816	D	\$ 50.66	173,316	D
Value							

Common							
Stock, \$1	03/15/2019	м	0.221.092.(2)	٨	¢ 0	182,537.983	Ъ
2/3 Dar	03/13/2019	1V1	9,221.983 (2)	A	<b>3</b> O	162,337.963	ע

Value

Common Stock, \$1 2/3 Par Value	03/15/2019	F	4,691.983	D	\$ 50.66	177,846	D	
Common Stock, \$1 2/3 Par Value	03/15/2019	M	5,455.0681 (3)	A	\$ 0	183,301.0681	D	
Common Stock, \$1 2/3 Par Value	03/15/2019	F	2,775.0681	D	\$ 50.66	180,526	D	
Common Stock, \$1 2/3 Par Value	03/15/2019	M	4,926.2659 (4)	A	\$ 0	185,452.2659	D	
Common Stock, \$1 2/3 Par Value	03/15/2019	F	2,506.2659	D	\$ 50.66	182,946	D	
Common Stock, \$1 2/3 Par Value	03/15/2019	M	2,007.8267 (5)	A	\$ 0	184,953.8267	D	
Common Stock, \$1 2/3 Par Value	03/15/2019	F	1,021.8267	D	\$ 50.66	183,932	D	
Common Stock, \$1 2/3 Par Value						5,038.4 <u>(6)</u>	I	Through 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amou
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orDerivative Securities	Expiration Date	Underlying Securi
Security	or Exercise		any	Code	Acquired (A) or	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Disposed of (D)		
	Derivative				(Instr. 3, 4, and 5)		
	Security						

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			Code V (A	) (D)	Date Exercisable	Expiration Date	Title	Amo Nun Shar
2016 Performance Shares	(7)	03/15/2019	M	76,629.7816	(8)	<u>(8)</u>	Common Stock, \$1 2/3 Par Value	76,
Restricted Share Right	<u>(9)</u>	03/15/2019	M	9,221.983	(10)	(10)	Common Stock, \$1 2/3 Par Value	9,
Restricted Share Right	<u>(9)</u>	03/15/2019	M	5,455.0681	<u>(11)</u>	<u>(11)</u>	Common Stock, \$1 2/3 Par Value	5,4
Restricted Share Right	<u>(9)</u>	03/15/2019	M	4,926.2659	<u>(12)</u>	<u>(12)</u>	Common Stock, \$1 2/3 Par Value	4,9
Restricted Share Right	<u>(9)</u>	03/15/2019	M	2,007.8267	(13)	(13)	Common Stock, \$1 2/3 Par Value	2,0

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Weiss Jonathan G. 150 EAST 42ND STREET NEW YORK, NY 10017

Sr. Executive Vice President

### **Signatures**

Jonathan G. Weiss, by Anthony R. Augliera, as Attorney-in-Fact

03/19/2019

Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These shares represent common stock of Wells Fargo & Company (the "Company") acquired on March 15, 2019 upon settlement of a

  (1) Performance Share award granted on February 23, 2016 for the three-year performance period ended December 31, 2018 (as previously disclosed on a Form 4 filed on February 28, 2019).
- Number of shares represents a Restricted Share Right ("RSR") vesting on 3/15/2019. Original grant date was 2/24/2015. This vesting represents one-fourth of the original amount of RSRs granted (plus dividend equivalents reinvested in additional RSRs).

(3)

Reporting Owners 3

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Number of shares represents a RSR vesting on 3/15/2019. Original grant date was 2/23/2016. This vesting represents one-third of the original amount of RSRs granted (plus dividend equivalents reinvested in additional RSRs).

- (4) Number of shares represents a RSR vesting on 3/15/2019. Original grant date was 2/28/2017. This vesting represents one-third of the original amount of RSRs granted (plus dividend equivalents reinvested in additional RSRs).
- (5) Number of shares represents a RSR vesting on 3/15/2019. Original grant date was 2/26/2018. This vesting represents one-third of the original amount of RSRs granted (plus dividend equivalents reinvested in additional RSRs).
- (6) Reflects share equivalent of units in the Wells Fargo ESOP Fund under the 401(k) Plan (the "Plan") as of February 28, 2019, as if investable cash equivalents held by Plan were fully invested in Company common stock.
- (7) Each Performance Share represents a contingent right to receive one share of Company common stock upon vesting based on the attainment of pre-established performance goals.
- Represents the final number of 2016 Performance Shares earned for the three-year performance period ended December 31, 2018. The 2016 Performance Shares will be settled in shares of common stock of the Company on March 15, 2019. As a condition to receiving the award, the reporting person agreed to hold, while employed by the Company and for at least one year after retirement, shares of Company common stock equal to at least 50% of the after-tax shares (assuming a 50% tax rate) acquired upon vesting.
- (9) Each RSR represents a contingent right to receive one share of Company common stock.
- These RSRs vest in four installments: one-fourth on 3/15/2016, 3/15/2017, 3/15/2018, and 3/15/2019. As a condition to receiving the grant, the reporting person agreed to hold, while employed by the Company and for at least one year after retirement, shares of Company common stock equal to at least 50% of the after-tax shares (assuming a 50% tax rate) acquired upon vesting. These RSRs were granted to the reporting person as part of the reporting person's 2014 annual incentive compensation award.
- These RSRs vest in three installments: one-third on 3/15/2017, 3/15/2018, and 3/15/2019. As a condition to receiving the grant, the reporting person agreed to hold, while employed by the Company and for at least one year after retirement, shares of Company common stock equal to at least 50% of the after-tax shares (assuming a 50% tax rate) acquired upon vesting. These RSRs were granted to the reporting person as part of the reporting person's 2015 annual incentive compensation award.
- These RSRs vest in three installments: one-third on 3/15/2018, 3/15/2019, and 3/15/2020. As a condition to receiving the grant, the reporting person agreed to hold, while employed by the Company and for at least one year after retirement, shares of Company common stock equal to at least 50% of the after-tax shares (assuming a 50% tax rate) acquired upon vesting. These RSRs were granted to the reporting person as part of the reporting person's 2016 annual incentive compensation award.
- These RSRs vest in three installments: one-third on 3/15/2019, 3/15/2020, and 3/15/2021. As a condition to receiving the grant, the reporting person agreed to hold, while employed by the Company and for at least one year after retirement, shares of Company common stock equal to at least 50% of the after-tax shares (assuming a 50% tax rate) acquired upon vesting. These RSRs were granted to the reporting person as part of the reporting person's 2017 annual incentive compensation award.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.