

RANKIN JAMES T  
Form 4/A  
February 04, 2019

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
RANKIN JAMES T

2. Issuer Name and Ticker or Trading Symbol  
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
5875 LANDERBROOK DRIVE  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
11/30/2018

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  Other (specify below)  
Member of a Group

MAYFIELD HEIGHTS, OH 44124  
(City) (State) (Zip)

4. If Amendment, Date Original Filed (Month/Day/Year)  
12/03/2018

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4)                 |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Class A Common Stock            | 11/30/2018                           |  | P                              | 3 <sup>(1)</sup> A  | \$ 64.88 <sub>(2)</sub> 452   | I  | Child's proportionate interest in shares held by Rankin Associates VI |
| Class A Common Stock            | 11/30/2018                           |  | P                              | 3 <sup>(1)</sup> A  | \$ 64.88 <sub>(2)</sub> 452   | I  | Child's proportionate interest in shares held by Rankin Associates VI |

Edgar Filing: RANKIN JAMES T - Form 4/A

|                            |        |   |   |
|----------------------------|--------|---|---|
| Class A<br>Common<br>Stock | 9,562  | I | proportionate<br>limited<br>partnership<br>interests in<br>shares held by<br>RA II LP   |
| Class A<br>Common<br>Stock | 81     | I | proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates V  |
| Class A<br>Common<br>Stock | 381    | I | Proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI   |
| Class A<br>Common<br>Stock | 569    | I | child's<br>proportionate<br>limited<br>partnership<br>interest in<br>shares held by<br>Rankin<br>Associates II                        |
| Class A<br>Common<br>Stock | 15,853 | I | Held by Trust   |
| Class A<br>Common<br>Stock | 862    | I | Spouse's<br>proportionate<br>limited<br>partnership<br>interests in<br>shares held by<br>Rankin<br>Associates II<br>LP <sup>(3)</sup> |
| Class A<br>Common<br>Stock | 453    | I | Spouse's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI<br><sup>(3)</sup>                               |
| Class A<br>Common<br>Stock | 563    | I | Shares held<br>by spouse <sup>(3)</sup>   |
|                            | 761    | I |   |

Edgar Filing: RANKIN JAMES T - Form 4/A

|                            |       |   |  |   |
|----------------------------|-------|---|--|---|
| Class A<br>Common<br>Stock |       |   |  | Minor child's<br>proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates II.<br><u>(3)</u>                  |
| Class A<br>Common<br>Stock | 1,860 | I |  | Co-trustee of<br>trust fbo<br>niece.<br>Reflects<br>proportionate<br>interests in<br>Rankin Assoc<br>II shares. <u>(3)</u>  |
| Class A<br>Common<br>Stock | 452   | I |  | Co-trustee of<br>trust fbo<br>niece.<br>Reflects<br>proportionate<br>interests in<br>Rankin Assoc<br>VI shares              |
| Class A<br>Common<br>Stock | 645   | I |  | co-trustee of<br>trust for the<br>benefit of<br>minor niece <u>(3)</u>  |
| Class A<br>Common<br>Stock | 1,712 | I |  | Co-trustee of<br>trust fbo<br>nephew.<br>Reflects<br>proportionate<br>interests in<br>Rankin Assoc<br>II shares. <u>(3)</u> |
| Class A<br>Common<br>Stock | 452   | I |  | Co-trustee of<br>trust fbo<br>nephew.<br>Reflects<br>proportionate<br>interests in<br>Rankin Assoc<br>VI shares             |
| Class A<br>Common<br>Stock | 563   | I |  | co-trustee of<br>the trust for<br>the benefit of<br>the minor   |

nephew <sup>(3)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
*(e.g., puts, calls, warrants, options, convertible securities)*

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|----------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares |
| Class B Common Stock                       | (4)  |                                      |  |                                |   | (4)  | (4)   | Class A Common Stock                       | 9,562                      |
| Class B Common Stock                       | (4)  |                                      |  |                                |   | (4)  | (4)   | Class A Common Stock                       | 569                        |
| Class B Common Stock                       | (4)  |                                      |  |                                |   | (4)  | (4)   | Class A Common Stock                       | 15,683                     |
| Class B Common Stock                       | (4)  |                                      |  |                                |   | (4)  | (4)   | Class A Common Stock                       | 862                        |

|                            |     |     |     |                            |       |
|----------------------------|-----|-----|-----|----------------------------|-------|
| Class B<br>Common<br>Stock | (4) | (4) | (4) | Class A<br>Common<br>Stock | 563   |
| Class B<br>Common<br>Stock | (4) | (4) | (4) | Class A<br>Common<br>Stock | 761   |
| Class B<br>Common<br>Stock | (4) | (4) | (4) | Class A<br>Common<br>Stock | 1,860 |
| Class B<br>Common<br>Stock | (4) | (4) | (4) | Class A<br>Common<br>Stock | 645   |
| Class B<br>Common<br>Stock | (4) | (4) | (4) | Class A<br>Common<br>Stock | 1,712 |
| Class B<br>Common<br>Stock | (4) | (4) | (4) | Class A<br>Common<br>Stock | 563   |

## Reporting Owners

Reporting Owner Name / Address

### Relationships

Director 10% Owner Officer Other

