#### Edgar Filing: Hunt James K - Form 4

Hunt James Form 4 May 09, 201												
FORM A										PPROVAL		
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									OMB Number:	3235-0287		
Check th if no long subject to Section 1 Form 4 o		ox										
	or <b>SIAIEN</b> or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES										
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940												
(Print or Type I	Responses)											
Hunt James K Syn				Name and	NANCIA		ng	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
			SERVICES, INC. [PFSI]									
(M			3. Date of Earliest Transaction (Month/Day/Year) 05/08/2018					X_ Director10% Owner Officer (give titleOther (specify below) below)				
	(Street) 4. If Amendment, Filed(Month/Day/Y				-	1		6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person				
WESTLAKE VILLAGE, CA 91361 — Form filed by More than One Reporting Person									porting			
(City)	(State)	(Zip)	Tabl	e I - Non-D	Derivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	ccurity (Month/Day/Year) Execution Date, if		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
Class A	05/09/2019				Amount 1,418	or (D)	Price \$	(Instr. 3 and 4)	т	Hunt		
Common Stock	05/08/2018			A	(1)	A	21.85	31,460	Ι	Living Trust 1994		
Class A Common Stock								35,557 <u>(2)</u>	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of<br/>information contained in this form are not<br/>required to respond unless the formSEC 1474<br/>(9-02)

#### Edgar Filing: Hunt James K - Form 4

# displays a currently valid OMB control number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	ionNumber Expiration of (Month/I			7. Titl Amou Under Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
Dene	utin a O		Code V		Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

### **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships					
	Director	10% Owner	Officer	Other		
Hunt James K C/O PENNYMAC FINANCIAL SERVICES, INC. 3043 TOWNSGATE ROAD WESTLAKE VILLAGE, CA 91361	Х					
Signatures						
/s/ Derek W. Stark, attorney-in-fact for Mr. Hunt	05/09/2	2018				
<u>**</u> Signature of Reporting Person	Date					

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Reporting Person received these shares in lieu of cash compensation payable for services rendered as a non-management director of the Issuer during the first quarter of 2018. The transaction is exempt under Rule 16b-3 of the Securities Exchange Act of 1934.
- (2) The reported amount consists of 11,642 restricted stock units and 23,915 shares of Class A Common Stock. The restricted stock units are to be settled in an equal number of shares of Class A Common Stock upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.