## Edgar Filing: STEPHENS JOHN JOSEPH - Form 4

STEPHENS Form 4 January 03, <b>FORN</b> Check t if no lot subject Section Form 4 Form 5 obligati may cot <i>See</i> Inst 1(b).	<b>M 4</b> UNITED	STATES MENT OF rsuant to So (a) of the P	Wa CHAN ection	nshington NGES IN SECUI 16(a) of ti Jtility Ho	n, D.C. 2054   BENEFIC RITIES he Securitie	<b>49</b> CIAL es Exc pany 2	<b>OWN</b> change Act of 1	DMMISSION ERSHIP OF Act of 1934, 1935 or Section	OMB Number: Expires: Estimated a burden hour response	•		
(Print or Type	Responses)											
STEPHENS JOHN JOSEPH Symbol				er Name <b>an</b> INC. [T]	<b>d</b> Ticker or T	rading		<ol> <li>Relationship of Reporting Person(s) to Issuer</li> <li>(Check all applicable)</li> </ol>				
(Last)	(First)	· · · ·	3. Date of Earliest Transaction					Director 10% Owner				
				Month/Day/Year) 2/29/2017				Officer (give title Other (specify below) below) Sr. Exec. VP and CFO				
				ed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Tat	ole I - Non-	Derivative Se	ecuriti		ired, Disposed of,	or Beneficiall	y Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transactic Code (Instr. 8) Code V	4. Securities our Disposed (Instr. 3, 4 and Amount	of (D)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	12/29/2017			A <u>(1)</u>	874.4856	A	\$ 38.88	70,240.3739	Ι	By Benefit Plan		
Common Stock								5,039.6588	Ι	By 401(k)		
Common Stock								293,649	D			
Common Stock								163,302	Ι	By LP		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Securi (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
STEPHENS JOHN JOSEPH 208 S. AKARD STREET DALLAS, TX 75202	Sr. Exec. VP and CFO						
Signatures							
/s/ Stacey S. Maris, Secy., Attorney-in-fact		01/0	3/2018				

<u>\*\*</u>Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents deferred stock units purchased by the reporting person with automatic payroll deductions and partial company matching contributions. Deferred stock units are settled only in stock on a 1-for-1 basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.