

TAPLIN BRITTON T  
Form 4  
September 25, 2017

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
TAPLIN BRITTON T

(Last) (First) (Middle)

NACCO INDUSTRIES, INC., 5875  
LANDERBROOK DRIVE, STE.  
220

(Street)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
NACCO INDUSTRIES INC [NC]

3. Date of Earliest Transaction  
(Month/Day/Year)  
09/22/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Dir. and Member of Group

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				(A) or (D)	Price			
				Code	V	Amount		
Class A Common Stock	09/22/2017		J <sup>(1)</sup>	V	824	D	<u>2</u> 40,559	D
Class A Common Stock	09/22/2017		J <sup>(1)</sup>	V	824	A	<u>2</u> 824	I
Class A Common	09/22/2017		J <sup>(1)</sup>	V	26	D	<u>2</u> 40,533	D

Proportionate membership interest in shares held by Abigail II, LLC

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Stock

Class A Common Stock	09/22/2017	<u>J</u> <sup>(1)</sup>	V	26	A	<u>(2)</u>	850	I	Proportionate membership interest in shares held by Abigail II, LLC
Class A Common Stock	09/22/2017	<u>J</u> <sup>(1)</sup>	V	319	D	<u>(2)</u>	40,214	D	
Class A Common Stock	09/22/2017	<u>J</u> <sup>(1)</sup>	V	319	A	<u>(2)</u>	1,169	I	Proportionate membership interest in shares held by Abigail II, LLC
Class A Common Stock	09/22/2017	<u>J</u> <sup>(1)</sup>	V	114	D	<u>(2)</u>	40,100	D	
Class A Common Stock	09/22/2017	<u>J</u> <sup>(1)</sup>	V	114	A	<u>(2)</u>	1,283	I	Proportionate membership interest in shares held by Abigail II, LLC
Class A Common Stock	09/22/2017	<u>J</u> <sup>(1)</sup>	V	217	D	<u>(2)</u>	39,883	D	
Class A Common Stock	09/22/2017	<u>J</u> <sup>(1)</sup>	V	217	A	<u>(2)</u>	1,500	I	Proportionate membership interest in shares held by Abigail II, LLC
Class A Common Stock							15,339	I	Proportionate membership interest in shares held by Abigail LLC
Class A Common Stock							5,755	I	By Spouse <sup>(3)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not**

SEC 1474  
(9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Following Transaction (Instr. 6)
				Code V (A) (D)		Date Exercisable Expiration Date	Title	Amount or Number of Shares	

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director 10% Owner Officer Other

TAPLIN BRITTON T  
NACCO INDUSTRIES, INC.  
5875 LANDERBROOK DRIVE, STE. 220  
MAYFIELD HEIGHTS, OH 44124

X

Dir. and Member of Group

## Signatures

/s/ Jesse L. Adkins,  
attorney-in-fact

09/25/2017

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares transferred by Trust FBO Reporting Person to Abigail II, LLC, a limited liability company of which the trust is a member.

(2) N/A

(3) By Spouse. Reporting Person disclaims beneficial ownership of all such shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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