

HAVERTY FURNITURE COMPANIES INC  
 Form 4  
 February 01, 2017

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 SMITH CLARENCE H

2. Issuer Name and Ticker or Trading Symbol  
 HAVERTY FURNITURE COMPANIES INC [HVT]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
 780 JOHNSON FERRY RD., SUITE 800  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
 01/30/2017

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
 Chairman, President & CEO

ATLANTA, GA 30342-

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock				(A) or (D)	87,036	D	
Class A Common Stock				(A) or (D)	1,950	I	By Spouse
Class A Common Stock				(A) or (D)	603,497 <sup>(1)</sup>	I	By Villa Clare, LP
Class A Common Stock				(A) or (D)	603,497 <sup>(1)</sup>	I	By West

Common Stock			Wesley, LLC
Common Stock	44,201	D	
Common Stock	7,850 <sup>(2)</sup>	I	By Georgia Limited Partnership
Common Stock	28,338	I	By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
				Code V	(A) (D)	Date Exercisable Expiration Date	Title	
PRSUs 2014.1	<u>(3)</u>	01/30/2017		A	970	<u>(3)</u> <u>(3)</u>	Common Stock	970
PRSUs 2015.1	<u>(4)</u>	01/30/2017		A	1,422	<u>(4)</u> <u>(4)</u>	Common Stock	1,422
PRSUs 2016	<u>(5)</u>	01/30/2017		A	16,109	<u>(5)</u> <u>(5)</u>	Common Stock	16,109
PRSUs 2016.1	<u>(6)</u>	01/30/2017		A	1,635	<u>(6)</u> <u>(6)</u>	Common Stock	1,635
Phantom Stock	\$ 0					<u>(7)</u> <u>(7)</u>	Common Stock	3,740
PRSUs 2014	<u>(8)</u>					<u>(8)</u> <u>(8)</u>	Common Stock	6,440
PRSUs 2015	<u>(9)</u>					<u>(9)</u> <u>(9)</u>	Common Stock	13,150
RSUs 2013	<u>(10)</u>					<u>(10)</u> <u>(10)</u>	Common	3,000



## Edgar Filing: HAVERTY FURNITURE COMPANIES INC - Form 4

- (9) Performance Restricted Stock Units ("PRsUs") award granted 1/23/2015 under the 2014 Long-Term Incentive Plan. Each performance unit represents a contingent right to receive one share of the Company's common stock based on the EBITDA for the year ended December 31, 2015. This amount represents the number of performance units earned for fiscal year 2015, which were certified by the Executive Compensation and Employee Benefits Committee on 1/26/2016. The performance units vest on February 28, 2018.
- (10) Restricted Stock Units ("RSUs") award granted 1/24/2013 under the 2004 Long-Term Incentive Plan. RSUs vest equally over 4 years, beginning 5/8/2014. Each RSU is equivalent to one share of common stock upon vesting.
- (11) Stock-Settled Appreciation rights ("SARs") granted under the 2004 Long-Term Incentive Plan. SARs vest in four equal installments, beginning on 5/8/2014, and expire seven years from the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.