#### Edgar Filing: MERIDIAN BIOSCIENCE INC - Form 4

#### MERIDIAN BIOSCIENCE INC

Form 4 October 05, 2016

## FORM 4

### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

January 31, Expires: 2005

3235-0287

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

KRAEUTLER JOHN A				Symbol MERIDIAN BIOSCIENCE INC [VIVO]				Issuer (Check all applicable)			
	(Last) (First) (Middle) 3471 RIVER HILLS DRIVE			3. Date of Earliest Transaction (Month/Day/Year) 10/03/2016				X Director 10% Owner Specify below) Chairman and CEO			
(Street)				4. If Amendment, Date Original Filed(Month/Day/Year)				<ul><li>6. Individual or Joint/Group Filing(Check</li><li>Applicable Line)</li><li>_X_ Form filed by One Reporting Person</li></ul>			
CINCINNATI, OH 45244								Form filed by More than One Reporting Person			
	(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securities Ac	quired, Disposed	of, or Benefici	ally Owned	
	1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deem Execution any (Month/D	Date, if	3. Transactio Code (Instr. 8)	Disposed (Instr. 3,	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
	Common Stock				Code V	Amount	(B) The	732	I	Held in Meridian Savings & Investment Plan (401K)	
	Common Stock							311,683	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form

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De: Sec (In:

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 1 9 (
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Options (Right to Buy)	\$ 19.09	10/03/2016		A	50,000	09/30/2017	<u>(2)</u>	Common Stock	50,000	
Stock Options (Right to Buy)	\$ 19.09	10/03/2016		A	50,000 (3)	09/30/2018	<u>(4)</u>	Common Stock	50,000	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships
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Director 10% Owner Officer Other

KRAEUTLER JOHN A 3471 RIVER HILLS DRIV

3471 RIVER HILLS DRIVE X Chairman and CEO

CINCINNATI, OH 45244

# **Signatures**

/s/ Melissa A. Lueke as Attorney-in-fact for John A.
Kraeutler 10/05/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This award is made under the 2012 Stock Incentive Plan pursuant to Mr. Kraeutler's Third Amended and Restated Employment

- (1) Agreement dated October 3, 2016. The options vest in full (or 100%) on September 30, 2017 so long as Mr. Kraeutler is employed by Meridian on such date.
- (2) These options expire three years from the date of Mr. Kraeutler's retirement.
- (3) This award is made under the 2012 Stock Incentive Plan pursuant to Mr. Kraeutler's Third Amended and Restated Employment Agreement dated October 3, 2016. The options vest in full (or 100%) on September 30, 2018 so long as Mr. Kraeutler is employed by

Reporting Owners 2

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Meridian on such date.

(4) These options expire three years from the date of Mr. Kraeutler's retirement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.