### Edgar Filing: ENTERPRISE FINANCIAL SERVICES CORP - Form 4

#### ENTERPRISE FINANCIAL SERVICES CORP

Form 4 July 06, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**SECURITIES** 

OMB Number:

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

3235-0287 January 31, Expires: 2005

0.5

**OMB APPROVAL** 

Estimated average burden hours per

response...

5. Relationship of Reporting Person(s) to

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

07/01/2016

Stock

Stock

Stock

Stock

Common

Common

Common

GUEST ROBERT E JR			ENT	Symbol ENTERPRISE FINANCIAL SERVICES CORP [EFSC]					Issuer (Check all applicable)			
			(Mor	3. Date of Earliest Transaction (Month/Day/Year) 07/01/2016					_X_ Director Officer (giv below)		6 Owner er (specify	
(Street) ST. LOUIS, MO 63105				4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
	(City)	(State)	(Zip)	able I - Non-	De	erivative S	ecuri	ties Ac	quired, Disposed	of, or Beneficia	lly Owned	
	1.Title of	2. Transaction Date	e 2A. Deemed	3.		4. Securi	ties		5. Amount of	6. Ownership	7. Nature of	
	Security	(Month/Day/Year)	Execution Date	, if Transac	tio	nAcquired	(A) c	r	Securities	Form: Direct	Indirect	
	(Instr. 3)		any	Code		Disposed	of (D	))	Beneficially	(D) or	Beneficial	
	Common		(Month/Day/Y	ear) (Instr. 8	) V	(Instr. 3, Amount	(A) or (D)	Price	Owned Following Reported Transaction(s) (Instr. 3 and 4)	Indirect (I) (Instr. 4)	Ownership (Instr. 4)	
	Common	07/01/2016		٨		261	٨	\$ 0	2 524	D		

Α

364

Α

3,534

33,785

8,220

73,077

D

I

Ι

 $D^{(2)}$ 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Children

Spouse Ira

Trust

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Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration Da	ate	Amoun	it of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ies	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	3 and 4)		Owne
	Security				Acquired						Follo
	-				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A manust		
									Amount		
						Date	Expiration		or N		
						Exercisable	Date	Title Number			
				C 1 W	(A) (D)				of		
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			
GUEST ROBERT E JR							
150 N. MERAMEC	X						

## **Signatures**

ST. LOUIS, MO 63105

/s/ Robert E.
Guest

\*\*Signature of Reporting Person

O7/06/2016

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares issued under the Non-Management Director Stock Plan.
- (2) These shares are held jointly with spouse.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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