

STANLEY BLACK & DECKER, INC.

Form 4

December 08, 2015

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Sohovich JoAnna

2. Issuer Name and Ticker or Trading Symbol  
STANLEY BLACK & DECKER, INC. [SWK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
1000 STANLEY DRIVE  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
12/04/2015

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Pres. Stanley Eng. Fastening

NEW BRITAIN, CT 06053

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	12/04/2015		A	2,500 (1) A \$ 0	33,769	D	
Common Stock	12/05/2015		D	261 (2) D \$ 109.245	33,508	D	
Common Stock	12/05/2015		D	348 (2) D \$ 109.245	33,160	D	
Common Stock	12/06/2015		D	305 (2) D \$ 109.245	32,855	D	
Common Stock	12/07/2015		M	5,625 A \$ 70.61	38,480	D	

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Common Stock	12/07/2015	S	5,625	D	\$ 109.4836	32,855	D	
Common Stock	12/07/2015	M	3,750	A	\$ 79.7	36,605	D	
Common Stock	12/07/2015	S	3,750	D	\$ 109.4836	32,855	D	
Common Stock	12/07/2015	S	320	D	\$ 109.651	32,535	D	
Common Stock <sup>(3)</sup>						1,431.8975	I	Through Computershare Under ESPP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Stock Option (Right to Buy)	\$ 109.245	12/04/2015		A	10,000	<sup>(4)</sup> 12/04/2025	Common Stock 10,000
Stock Option (Right to Buy)	\$ 70.61	12/07/2015		M	5,625	<sup>(5)</sup> 12/06/2022	Common Stock 5,625
Stock Option (Right to Buy)	\$ 79.7	12/07/2015		M	3,750	<sup>(6)</sup> 12/05/2023	Common Stock 3,750

## Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

Director 10% Owner Officer Other

Sohovich JoAnna  
1000 STANLEY DRIVE  
NEW BRITAIN, CT 06053

Pres. Stanley Eng. Fastening

## Signatures

/s/ Kathryn P. Sherer,  
Attorney-in-Fact

12/08/2015

\_\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares to be delivered on vesting of restricted stock units that vest in four equal annual installments beginning on the first anniversary of the date of grant.
- (2) Shares withheld to cover taxes on vesting of restricted stock units
- (3) Aggregate number of shares held in ESPP as of the last day of the calendar month that ended at least 10 days prior to the date of this report, including shares acquired or disposed of on various dates since balance was last reported. Because interest in Plan is denominated in cash, fluctuation in share price since the balance was last reported may have resulted in either an increase or decrease in associated number of shares.
- (4) The option will become exercisable in four equal annual installments beginning on the first anniversary of the date of grant.
- (5) 5,625 shares are currently exercisable, 1,875 shares become exercisable on 12/6/2016.
- (6) 3,750 shares are currently exercisable, 1,875 shares become exercisable on 12/05/2016 and 1,875 shares become exercisable on 12/05/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.