

AMERISOURCEBERGEN CORP
Form 3
November 21, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | | |
|---|--|--|--|--|--|--|
| 1. Name and Address of Reporting Person * ^ Marsh Lawrence (Last) (First) (Middle) | | | 2. Date of Event Requiring Statement (Month/Day/Year) 11/13/2014 | | 3. Issuer Name and Ticker or Trading Symbol AMERISOURCEBERGEN CORP [ABC] | |
| 1300 MORRIS DRIVE (Street) | | | | | 4. Relationship of Reporting Person(s) to Issuer (Check all applicable) ____ Director ____ 10% Owner <input checked="" type="checkbox"/> Officer ____ Other (give title below) (specify below) Executive Vice President | |
| CHESTERBROOK, PA 19087 (City) (State) (Zip) | | | | | 5. If Amendment, Date Original Filed(Month/Day/Year) | |
| | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 13,350 | D | ^ |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--|--|--|--|
| | Date Exercisable Expiration Date | Title Amount or Number of | | | |

| | | | | Shares | | (I) (Instr. 5) | |
|---|-------|------------|--------------|--------|----------|-------------------|---|
| Non-qualified Stock Option (Right to Buy) | Â (1) | 11/14/2019 | Common Stock | 11,373 | \$ 40.21 | D | Â |
| Non-qualified Stock Option (Right to Buy) | Â (2) | 11/13/2020 | Common Stock | 24,955 | \$ 68.49 | D | Â |
| Non-qualified Stock Option (Right to Buy) | Â (3) | 11/12/2021 | Common Stock | 22,877 | \$ 88.71 | D | Â |
| Restricted Stock Units | Â (4) | 11/12/2021 | Common Stock | 1,837 | \$ (5) | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|----------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Marsh Lawrence 1300 MORRIS DRIVE CHESTERBROOK, PA 19087 | Â | Â | Â Executive Vice President | Â |

Signatures

/s/John G. Chou for Lawrence Marsh
11/20/2014

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercisable in four equal annual installments on 11/14/13, 11/14/14, 11/14/15 and 11/14/16
- (2) Exercisable in four equal annual installments on 11/13/14, 11/13/15, 11/13/16 and 11/13/17
- (3) Exercisable in four equal annual installments on 11/12/15, 11/12/16, 11/12/17 and 11/12/18
- (4) Annual grant of restricted stock units that vests 100% on the third anniversary of the date of grant
- (5) Annual grant of restricted stock units received for no consideration and vests 100% on the third anniversary of the date of grant

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.