Edgar Filing: LUBYS INC - Form 4

LUBYS INC Form 4 January 03, 2												
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION							OMB APPROVAL					
Washington, D.C. 20549								OMB Number:	3235-0287			
Check this box if no longer STATENTENT OF CHANCES IN DENEELCIAL OWNERSHIP OF								Expires:	January 31, 2005			
subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF							Estimated average					
Section 10 Form 4 or	Section 16. SECURITIES							burden hours per				
Form 5		nursuant to	Section 16	$\tilde{b}(a)$ of the	Securiti	es Ex	chang	e Act of 1934,	response	0.5		
obligation	¹⁸ Section	•					•		n			
See Instruction Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940												
1(b).												
(Print or Type R	lesponses)											
1. Name and Address of Reporting Person [*] _ 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to								son(s) to				
EMERSON ARTHUR R Symbol								Issuer				
			LUBYS	S INC [LUB]				(Check all applicable)				
(Last)	(First)	(Middle)		Earliest Transaction								
			(Month/Da 01/01/20	-				X_ Director 10% Owner Officer (give title Other (specify				
FREEWAY, SUITE 600			01/01/20	01/01/2014				below)	below)			
(Street)			4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check				
				nth/Day/Year)				Applicable Line)				
								X Form filed by One Reporting Person Form filed by More than One Reporting				
HOUSTON, TX 77040								F8				
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	ecuri	ties Acq	uired, Disposed of	, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, in any (Month/Day/Year)			ion Date, if	3.4. Securities AcquiredTransaction(A) or Disposed ofCode(D)(Instr. 8)(Instr. 3, 4 and 5)				Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial		
				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				
Restricted Stock	01/01/2014			А	497 <u>(1)</u>	А	\$ 7.54 (1)	29,993	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

 Relationship

 Reporting Owner Name / Address
 Director
 10% Owner
 Officer
 Other

 Director
 10% Owner
 Officer
 Other

 EMERSON ARTHUR R 131111 NORTHWEST FREEWAY SUITE 600 HOUSTON, TX 77040
 X
 X
 Y
 Y
 Y

 Signatures
 01/03/2014
 Y
 Y
 Y
 Y
 Y
 Y
 Y

 **Signature of
 Date
 Date
 Y
 Y
 Y
 Y
 Y

Reporting Person Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The security fully vests at time granted and remains subject to a restriction on alienation until the earlier of 1/01/2017 or the date of director's resignation or retirement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.