

HOLOGIC INC
Form 3
July 26, 2013

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * Â CUMMING JOHN W (Last) (First) (Middle) 35 CROSBY DRIVE (Street) BEDFORD,Â MAÂ 01730 (City) (State) (Zip)	2. Date of Event Requiring Statement (Month/Day/Year) 07/18/2013	3. Issuer Name and Ticker or Trading Symbol HOLOGIC INC [HOLX]	4. Relationship of Reporting Person(s) to Issuer (Check all applicable) ☐ Director ☐ 10% Owner ☒ Officer ☐ Other (give title below) (specify below) President and CEO	5. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) ☒ Form filed by One Reporting Person ☐ Form filed by More than One Reporting Person
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Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	0	D	Â
Common Stock	4,422	I	By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Non-qualified Stock Option (Right to Buy)	Â <u>(1)</u>	01/16/2015	Common Stock	250,000	\$ 33.31	D	Â
Non-qualified Stock Option (Right to Buy)	Â <u>(1)</u>	01/16/2015	Common Stock	250,000	\$ 33.31	D	Â
Non-qualified Stock Option (Right to Buy)	Â <u>(2)</u>	11/13/2015	Common Stock	713,000	\$ 14.5	D	Â
Non-qualified Stock Option (Right to Buy)	Â <u>(3)</u>	11/11/2016	Common Stock	355,082	\$ 15.75	D	Â
Non-qualified Stock Option (Right to Buy)	Â <u>(4)</u>	11/10/2017	Common Stock	88,714	\$ 16.82	D	Â
Non-qualified Stock Option (Right to Buy)	Â <u>(5)</u>	11/14/2019	Common Stock	24,544	\$ 19.86	D	Â
Non-qualified Stock Option (Right to Buy)	Â <u>(2)</u>	11/13/2015	Common Stock	28,000	\$ 14.5	I	By Spouse
Non-qualified Stock Option (Right to Buy)	Â <u>(6)</u>	01/16/2015	Common Stock	3,108	\$ 14.87	I	By Spouse
Non-qualified Stock Option (Right to Buy)	Â <u>(6)</u>	01/16/2015	Common Stock	3,108	\$ 14.87	I	By Spouse
Non-qualified Stock Option (Right to Buy)	Â <u>(3)</u>	11/11/2016	Common Stock	15,218	\$ 15.75	I	By Spouse
Non-qualified Stock Option (Right to Buy)	Â <u>(4)</u>	11/10/2017	Common Stock	14,683	\$ 16.82	I	By Spouse
Non-qualified Stock Option (Right to Buy)	Â <u>(7)</u>	11/09/2018	Common Stock	15,277	\$ 17.09	I	By Spouse
Non-qualified Stock Option (Right to Buy)	Â <u>(8)</u>	11/14/2019	Common Stock	14,025	\$ 19.86	I	By Spouse
Restricted Stock Unit Award (right To Receive)	Â <u>(9)</u>	11/11/2013	Common Stock	11,111	\$ 19.97	D	Â
Restricted Stock Unit Award (right To Receive)	Â <u>(9)</u>	11/10/2014	Common Stock	5,388	\$ 19.97	D	Â
Restricted Stock Unit Award (right To Receive)	Â <u>(9)</u>	11/14/2016	Common Stock	8,811	\$ 19.97	D	Â
Restricted Stock Unit Award (right To Receive)	Â <u>(10)</u>	11/11/2013	Common Stock	1,428	\$ 19.97	I	By Spouse
Restricted Stock Unit Award (right To Receive)	Â <u>(10)</u>	11/10/2014	Common Stock	2,675	\$ 19.97	I	By Spouse
Restricted Stock Unit Award (right To Receive)	Â <u>(10)</u>	11/09/2015	Common Stock	4,389	\$ 19.97	I	By Spouse

Restricted Stock Unit Award (right To Receive) 10 11/14/2016 Common Stock 5,035 \$ 19.97 I By Spouse

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CUMMING JOHN W 35 CROSBY DRIVE BEDFORD, MA 01730	Â	Â	Â President and CEO	Â

Signatures

/s/ Mark J. Casey, Attorney-In-Fact for John W. Cumming

07/26/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option becomes exercisable in five equal annual installments beginning January 16, 2009.
- (2) The option becomes exercisable in five equal annual installments beginning November 13, 2009
- (3) The option, issued pursuant to the 2008 Equity Incentive Plan, becomes exercisable in five equal annual installments beginning November 11, 2010.
- (4) The option, issued pursuant to the 2008 Equity Incentive Plan, becomes exercisable in five equal annual installments beginning November 10, 2011.
- (5) The option becomes exercisable in five equal annual installments beginning November 14, 2013.
- (6) The option becomes exercisable in four equal annual installments beginning April 5, 2010.
- (7) The option becomes exercisable in five equal annual installments beginning November 9, 2012.
- (8) The option becomes exercisable in five equal annual installments beginning November 14, 2013.
- (9) These shares represent restricted stock units (RSUs) awarded to the Reporting Person pursuant to the 2008 Equity Incentive Plan and are subject to vesting as provided in the agreement evidencing the award.
- (10) These shares represent restricted stock units (RSUs) awarded to the Reporting Person's Spouse pursuant to the 2008 Equity Incentive Plan and are subject to vesting as provided in the agreement evidencing the award.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.