#### NACCO INDUSTRIES INC

Form 4 March 27, 2008

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**SECURITIES** 

**OMB** 

3235-0287 Number: January 31,

**OMB APPROVAL** 

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person ** RANKIN CLARA L T    |         |          | 2. Issuer Name and Ticker or Trading Symbol NACCO INDUSTRIES INC [NC] | 5. Relationship of Reporting Person(s) to Issuer   |  |  |
|--|---------|----------|---|--|--|--|
| (Last)   | (First) | (Middle) | 3. Date of Earliest Transaction                                       | (Check all applicable)   |  |  |
| NACCO INDUSTRIES, INC., 5875<br>LANDERBROOK DRIVE, STE.<br>300 |         |          | (Month/Day/Year)<br>03/25/2008  | Director 10% Owner Officer (give titleX Other (specify below)  Member of a Group                 |  |  |
| (Street)   |         |          | 4. If Amendment, Date Original Filed(Month/Day/Year)                  | 6. Individual or Joint/Group Filing(Check Applicable Line) X. Form filed by One Reporting Person |  |  |

# MAYFIELD HEIGHTS, OH 44124

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

| (City)                               | (State) (                               | Zip) Table  | e I - Non-D                            | Derivative Securities Acquired, Disposed of, or Beneficially Owned |        |      |  |  |   |  |
|--------------------------------------|---|---|--|--|--------|------|--|--|---|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securition(A) or Dis (D) (Instr. 3, 4)                          | sposed | of   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Class A<br>Common<br>Stock           | 03/25/2008                              | 03/25/2008  | J(3)                                   | 4,678  | A      | \$ 0 | 7,761  | I  | CTR Main<br>Trust - A   |  |
| Class A<br>Common<br>Stock           | 03/25/2008                              | 03/25/2008  | <u>J(3)</u>                            | 4,678  | D      | \$ 0 | 0  | I  | CTR<br>2008A<br>GRAT <u>(2)</u>                                   |  |
| Class A<br>Common<br>Stock           | 03/25/2008                              | 03/25/2008  | <u>J(3)</u>                            | 24,056   | A      | \$0  | 31,817   | I  | CTR Main<br>Trust - A   |  |
| Class A                              | 03/25/2008                              | 03/25/2008  | J <u>(3)</u>                           | 24,056   | D      | \$ 0 | 0  | I  | CTR   |  |

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| Common<br>Stock      |        |   | 2008B<br>GRAT -<br>RAIV <u>(6)</u> |
|----------------------|--------|---|------------------------------------|
| Class A Common Stock | 243    | I | CTR -<br>RAIV (7)                  |
| Class A Common Stock | 2,500  | I | CTR<br>2009A<br>GRAT (2)           |
| Class A Common Stock | 54,459 | I | CTR<br>2009B<br>GRAT -<br>RAIV (6) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of or or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |         | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Ar Underlying Se (Instr. 3 and 4) |
|---|---|---|---|---|---|---------|--|--------------------|--|
|   |   |   |   | Code V                                  | (A)   | (D)     | Date<br>Exercisable                                      | Expiration<br>Date | Title  |
| Class B<br>Common<br>Stock                          | \$ 0  | 03/25/2008                              | 03/25/2008  | J <u>(3)</u>                            |   | 106,924 | 03/24/2006   | 03/24/2006         | Class A<br>Common<br>Stock                     |
| Class B<br>Common<br>Stock                          | \$ 0 (5)  | 03/25/2008                              | 03/25/2008  | J <u>(3)</u>                            | 106,924   |         | <u>(5)</u>   | <u>(5)</u>         | Class A<br>Common<br>Stock                     |
| Class B<br>Common<br>Stock                          | \$ 0  | 03/25/2008                              | 03/25/2008  | J                                       |   | 67,350  | 03/24/2006   | 03/24/2006         | Class A<br>Common<br>Stock                     |
| Class B<br>Common<br>Stock                          | \$ 0 (5)  | 03/25/2008                              | 03/25/2008  | J                                       | 67,350  |         | <u>(5)</u>   | <u>(5)</u>         | Class A<br>Common<br>Stock                     |

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| Class B<br>Common<br>Stock | \$ 0            | 03/24/2006 | 03/24/2006 | Class A<br>Common<br>Stock |
|----------------------------|-----------------|------------|------------|----------------------------|
| Class B<br>Common<br>Stock | \$ 0 <u>(5)</u> | <u>(5)</u> | <u>(5)</u> | Class A<br>Common<br>Stock |
| Class B<br>Common<br>Stock | \$ 0 <u>(5)</u> | (5)        | <u>(5)</u> | Class A<br>Common<br>Stock |
| Class B<br>Common<br>Stock | <u>(5)</u>      | <u>(5)</u> | <u>(5)</u> | Class A<br>Common<br>Stock |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RANKIN CLARA L T NACCO INDUSTRIES, INC. 5875 LANDERBROOK DRIVE, STE. 300 MAYFIELD HEIGHTS, OH 44124

Member of a Group

## **Signatures**

/s/Constantine E. Tsipis, attorney-in-fact for Clara L.T. Rankin

03/27/2008

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person's son serves as Trustee of a Trust for the benefit of the Clara L.T. Rankin.
- (2) Represents shares which is held in a qualified annuity intesest trust for the benefit of Clara LT Rankin.
- (3) Account transfer.
- (4) Represents the proportionate limited partnership interest in shares held by Rankin Assoc I, which is held in a qualified annuity interest trust for the benefit of Clara LT Rankin.
- (5) N/A
- (6) Represents the proportionate limited partnership interest in shares held by Rankin Assoc 4, which is held in a qualified annuity interest trust for the benefit of Clara LT Rankin.
- (7) RA4. Represents the Reporting Person's proportionate limited partnership interest in shares held by Rankin Associates IV, L.P.
- (8) Represents the proportionate limited partnership interest in shares held by Rankin Associates I, L.P., which is held in a trust for the benefit of Clara L.T. Rankin. Reporting Person's Son serves as the Trustee of the Trust.------

#### **Remarks:**

Reporting Owners 3

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"Remark on Insider Relationship" - As a member of a "group" deemed to own more than 10% of an equity security as a result Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.