VHCP Management, LLC

Form 3 June 17, 2015

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

 **VENROCK HEALTHCARE** CAPITAL PARTNERS LP

> (Last) (First)

06/16/2015

Statement

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol ARCA biopharma, Inc. [ABIO]

(Month/Day/Year)

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O VENROCK, Â 3340 HILLVIEW AVE.

(Street)

Director Officer

\_\_X\_\_ 10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting

Person

\_X\_ Form filed by More than One

Reporting Person

PALO ALTO. CAÂ 94304

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership

Form: (Instr. 5) Direct (D)

or Indirect (I) (Instr. 5)

Common Stock 10,789,324  $I^{(1)}(3)$ By funds

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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currently valid OMB control number.

SEC 1473 (7-02)

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 2. Date Exercisable and

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Conversion Ownership or Exercise Form of Price of Derivative

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

Derivative Security:

**Expiration Date** (Instr. 4) (Month/Day/Year)

### Edgar Filing: VHCP Management, LLC - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Warrants (right to buy)	12/13/2015	06/16/2022	Common Stock	4,315,730	\$ 0.8716	I (2) (3)	By funds

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
Reporting Owner Plante, Address		10% Owner	Officer	Other	
VENROCK HEALTHCARE CAPITAL PARTNERS LP C/O VENROCK 3340 HILLVIEW AVE. PALO ALTO, CA 94304	Â	ÂX	Â	Â	
Venrock Healthcare Capital Partners II, L.P. C/O VENROCK 3340 HILLVIEW AVE. PALO ALTO, CA 94304	Â	ÂX	Â	Â	
VHCP Co-Investment Holdings, LLC C/O VENROCK 3340 HILLVIEW AVE. PALO ALTO, CA 94304	Â	ÂΧ	Â	Â	
VHCP Co-Investment Holdings II, LLC C/O VENROCK 3340 HILLVIEW AVE. PALO ALTO, CA 94304	Â	ÂX	Â	Â	
Koh Bong Y C/O VENROCK 3340 HILLVIEW AVE. PALO ALTO, CA 94304	Â	ÂX	Â	Â	
Hove Anders D C/O VENROCK 530 FIFTH AVENUE NEW YORK, NY 10036	Â	ÂΧ	Â	Â	
VHCP Management, LLC C/O VENROCK 3340 HILLVIEW AVE. PALO ALTO, CA 94304	Â	ÂX	Â	Â	
VHCP Management II, LLC C/O VENROCK 3340 HILLVIEW AVE. PALO ALTO, CA 94304	Â	ÂX	Â	Â	

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## **Signatures**

/s/ David L. Stepp, Authorized Signatory 06/17/2015

\*\*Signature of Reporting Person Date

/s/ David L. Stepp, as attorney in 06/17/2015

fact 06/1//2013

\*\*Signature of Reporting Person Date

/s/ David L. Stepp, as attorney in fact 06/17/2015

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Consists of: 2,736,388 shares held directly by Venrock Healthcare Capital Partners, L.P. ("VHCP"); 5,373,623 shares held directly by Venrock Healthcare Capital Partners II, L.P. ("VHCP II"); 500,409 shares held directly by VHCP Co-Investment Holdings, LLC

(1) Vehicle Healthcare Capital Partners II, L.P. (VHCP II); 300,409 shares field directly by VHCP Co-Investment Holdings II, LLC ("Co-Invest II").

(2) Consists of: 1,094,555 warrants held directly by VHCP; 2,149,449 warrants held directly by VHCP II; 200,164 warrants held directly by Co-Invest; and 871,562 warrants held directly by Co-Invest II.

VHCP Management, LLC ("VHCP Management") is the general partner of VHCP and the manager of Co-Invest and may be deemed to beneficially own these shares. VHCP Management II, LLC ("VHCP Management II") is the general partner of VHCP II and the manager

(3) of Co-Invest II and may be deemed to beneficially own these shares. Drs. Anders D. Hove and Bong Y. Koh are the managing members of VHCP Management and VHCP Management II and may be deemed to beneficially own these shares. Drs. Hove and Koh, VHCP Management and VHCP Management II expressly disclaim beneficial ownership over these shares and warrants except to the extent of their indirect pecuniary interests therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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