

GUERTIN SHAWN M

Form 3

February 28, 2013

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â GUERTIN SHAWN M

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

02/25/2013

3. Issuer Name **and** Ticker or Trading Symbol
AETNA INC /PA/ [AET]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner
☒ X Officer ☐ Other
(give title below) (specify below)

Sr. Vice President, CFO

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ X Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting PersonAETNA INC.,Â 151
FARMINGTON AVENUE

(Street)

HARTFORD,Â CTÂ 06156

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

3,000

D

Â

Common Stock

358.412

I

By 401(k) Plan (1)Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)4. Conversion
or Exercise
Price of5. Ownership
Form of
Derivative6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Market Stock Units	Â (2)	Â (2)	Common Stock	15,693	\$ (3)	D	Â
Market Stock Units	Â (4)	Â (4)	Common Stock	15,051	\$ (3)	D	Â
Market Stock Units	Â (5)	Â (5)	Common Stock	10,991	\$ (3)	D	Â
Market Stock Units	Â (6)	Â (6)	Common Stock	28,421	\$ (3)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GUERTIN SHAWN M AETNA INC. 151 FARMINGTON AVENUE HARTFORD,Â CTÂ 06156	Â	Â	Â Sr. Vice President, CFO	Â

Signatures

Shawn M. Guertin (by Judith H. Jones, Attorney in Fact)

02/28/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the pro rata portion of the stock portion of Aetna Common Stock Fund held by reporting person on January 31, 2013. The information is based on information provided by the Plan Trustee as of that date.
- (2) Market Stock Units granted on May 10, 2011 under the Aetna Inc. 2010 Stock Incentive Plan, units will vest on March 10, 2013.
- (3) Each Market Stock Unit represents a right to receive up to 1.5 shares of Aetna Inc. Common Stock net of taxes. Vesting amount will be determined based on the average closing stock price for the thirty trading days prior to the vest date.
- (4) Market Stock Units granted on February 2, 2012 under the Aetna Inc. 2010 Stock Incentive Plan, a portion of the units will vest on February 2, 2014 with the remaining units vesting on February 2, 2015.
- (5) Market Stock Units granted on March 12, 2012 under the Aetna Inc. 2010 Stock Incentive Plan, a portion of the units will vest on March 12, 2014 with the remaining units vesting on March 12, 2015.
- (6) Market Stock Units granted on February 1, 2013 under the Aetna Inc. 2010 Stock Incentive Plan, units will vest on February 1, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.