## Edgar Filing: ATHERSYS, INC / NEW - Form 4

ATHERSYS	S, INC / NEW												
Form 4													
June 20, 201													
FORM	14		GEGU	DIFIER							OMB APPROVAL		
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549										Number:	3235-0287		
Check th	is box		***	ishingto	11,	<b>D.C. 2</b> (	577				January 31,		
if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF									Expires:	2005			
subject to Section 16. SECURITIES								Estimated	Estimated average burden hours per				
Form 4 o	Form 4 or										response 0.5		
Form 5									nge Act of 1934,				
obligatic may con				•		•	- ·		of 1935 or Section	on			
See Instr		30(h)	of the In	nvestmer	nt	Compai	ny Act	of 1	940				
1(b).													
(Print or Type	Responses)												
(I mit of Type	(100p 011000)												
1. Name and A	Address of Reporting	Person *	2. Issuer Name and Ticker or Trading					g	5. Relationship of Reporting Person(s) to				
TRAUB KI		Symbol					-	Issuer					
			ATHERSYS, INC / NEW [ATHX]					HX]	(Check all applicable)				
(Last) (First) (Middle)			3. Date of Earliest Transaction						(check an appreact)				
			(Month/	Day/Year)	)				_X_ Director10% Owner				
3201 CARN	Ξ	06/18/2	2013					Officer (give title Other (specify below) below)					
	(Street)		4. If Am	endment.]	Dat	te Origina	al		6. Individual or Joint/Group Filing(Check				
			4. If Amendment, Date Original Filed(Month/Day/Year)						Applicable Line)				
									_X_ Form filed by				
CLEVELA	ND, OH 44115								Form filed by Person	More than One K	eporung		
(City)	(State)	(Zip)	Tab	ole I - Non	ı-D	erivative	Securit	ties A	cquired, Disposed o	of, or Beneficia	lly Owned		
1.Title of	2. Transaction Date			3.		4. Securit				6. Ownership	7. Nature of		
Security	(Month/Day/Year)	Execution	Date, if	· · · ·						Form: Direct (D) or Indirect	Indirect		
(Instr. 3)		any (Month/Da	ay/Year)	(Instr. 8)	Disposed of (D) (Instr. 3, 4 and 5)				Beneficially Owned	(I) of maneet	Ownership		
		× ·	<i>.</i>	× ,		<b>`</b>	, 		Following	(Instr. 4)	(Instr. 4)		
							(A)		Reported Transaction(s)				
					7	•	or	. ·	(Instr. 3 and 4)				
				Code V	· .	Amount	(D) I	Price					
Reminder: Rep	port on a separate line	e for each cl	ass of sec	urities ben	nefi	cially ow	ned dire	ectly of	or indirectly.				
									pond to the colle		SEC 1474		
						-			ained in this form ond unless the for		(9-02)		
									ntly valid OMB co				
						numb	er.						

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orDerivative	Expiration Date	Underlying Securities	
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)			

		Ũ	Ŭ	· ·						
	Derivative Security				or Disposed o (D) (Instr. 3, 4, and 5)	of				
				Code V	(A) (D	D) Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Director stock option (right to purchase)	\$ 1.71	06/18/2013		А	15,000	<u>(1)</u>	06/18/2023	Common Stock	15,000	
Reporting Owners										
Reporting Owner Name / Address			<b>Relationsh</b> 10% Owner	h <b>ips</b> Officer Othe	T					
3201 CARI	ENNETH H NEGIE AVEN ND, OH 4411	NUE X								
Signat	ures									
/s/ Laura K Traub	. Campbell, a	as attorney-in-fact	t for Kennet	th	06/20/2013	3				

<u>\*\*</u>Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The option vests over one year in quarterly increments.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.