## Edgar Filing: WILLIS CHARLES F IV - Form 4

WILLIS CI Form 4	HARLES F IV											
July 19, 20									OMP	APPROVAL		
FOR	VI 4 UNITED	STATES						OMMISSION		3235-0287		
Check this box				Washington, D.C. 20549						January 31,		
if no lo subject Section Form 4 Form 5	to SIAIE.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES										
obligat may co <i>See</i> Ins 1(b).	ions Section 17 intinue. truction	(a) of the l	Public I	Utility H	olding C	ompa	•	e Act of 1934, 1935 or Sectio 0	n			
(Print or Type	e Responses)											
WILLIS CHARLES F IV Sym			Symbol		nd Ticker			5. Relationship of Reporting Person(s) to Issuer				
	WILLIS LEASE FINANCE CORP [WLFC]					(Check all applicable)						
(Month/				te of Earliest Transaction th/Day/Year) 7/2018				X Director X Officer (giv below)	Officer (give title Other (specify below)			
2215		Serie	0//1//	2010					CEO			
				Amendment, Date Original Month/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>				
NOVATO	, CA 94998							Person	More than One	Reporting		
(City)	(State)	(Zip)	Ta	ble I - No	n-Derivati	ve Sec	curities Acq	uired, Disposed o	f, or Benefic	ially Owned		
1.Title of Security2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)			Code (Instr. 8)	ionor Dispo (Instr. 3,	sed of 4 and (A) or	5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. 7. Nature of Ownership Indirect Beneficia Form: Ownership Direct (D) (Instr. 4) or Indirect (I) (Instr. 4)				
C				Code V	Amount	(D)	Price \$	· · ·				
Common Stock	07/17/2018	07/17/20	18	S	1,034 (1)	D	31.6307 (2)	668,266	D			
Common Stock	07/18/2018	07/18/20	18	S	900 <u>(1)</u>	D	\$ 31.5517 (3)	667,366	D			
Common Stock								2,134,148	Ι	CFW Partners		
Common Stock								3,484	I	Spouse (4)		

Common Stock						584	Ι		Grand $(5)$	ldaughter	
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.       Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.       SEC 1474 (9-02)         Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)       SEC 1474 (9-02)											
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
WILLIS CHARLES F IV 773 SAN MARIN DRIVE SUITE 2215 NOVATO, CA 94998	Х	Х	CEO				
Signatures							

Charles F. 07/19/2018 Willis, IV 07/19/2018 \*\*Signature of Date Date Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares sold pursuant to a 10b5-1 transaction.
- (2) This transaction was executed in multiple trades at prices ranging from \$31.42 to \$31.95. The price reported reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer

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full information regarding the number of shares and prices at which the transaction was affected.

- This transaction was executed in multiple trades at prices ranging from \$31.36 to \$31.81. The price reported reflects the weighted average(3) sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was affected.
- (4) Charlotte Montressor Willis
- (5) Wylder Grace Willis 2016 Trust

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.