WILLIS CHARLES F IV

Form 4 July 11, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB APPROVAL

OMB 3235-0287 Number:

Expires:

January 31, 2005

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Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, may continue.

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

| 1. Name and Address of Reporting Person * WILLIS CHARLES F IV | | | 2. Issuer Name and Ticker or Trading Symbol WILLIS LEASE FINANCE CORP [WLFC] | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
|---|---|---|--|--|---|--|--|--|--------------|--|
| | | | 3. Date of Earliest Transaction (Month/Day/Year) 07/09/2018 | | | | _X_ Director _X_ 10% Owner _X_ Officer (give title below) Other (specify below) CEO | | | |
| (Street) NOVATO, CA 94998 | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | ially Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date any (Month/Day/Y | Code Year) (Instr. 8) | f Transaction Disposed of (D) Code (Instr. 3, 4 and 5) | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Common Stock | 07/09/2018 | 07/09/2018 | S | 4,398 (1) | D | \$ 32.185 (2) | 678,158 | D | | |
| Common Stock | 07/10/2018 | 07/10/2018 | S | 3,301 (1) | D | \$ 31.8667 (3) | 674,857 | D | | |
| Common Stock | | | | | | | 2,134,148 | I | CFW Partners | |
| Common Stock | | | | | | | 3,484 | I | Spouse (4) | |

584

Ι

Common Granddaughter Stock (5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | 7. Title Amount Underly Securiti (Instr. 3 | t of ving es | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr |
|---|---|--------------------------------------|---------------------------------------|---|---------------------|--------------------|--|--------------------|---|--|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title N | Number | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
| . 9 | Director | 10% Owner | Officer | Other | | | |
| WILLIS CHARLES F IV | | | | | | | |
| 773 SAN MARIN DRIVE SUITE 2215 | X | X | CEO | | | | |
| NOVATO, CA 94998 | | | | | | | |

Signatures

Charles F. 07/11/2018 Willis, IV **Signature of Date Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares sold pursuant to a 10b5-1 transaction.
- This transaction was executed in multiple trades at prices ranging from \$31.80 to \$32.57. The price reported reflects the weighted average (2) sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was affected.

Reporting Owners 2

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- This transaction was executed in multiple trades at prices ranging from \$31.38 to \$32.64. The price reported reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was affected.
- (4) Charlotte Montressor Willis
- (5) Wylder Grace Willis 2016 Trust

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.