

Beck Thomas A.
Form 4
September 08, 2017

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Beck Thomas A.

2. Issuer Name and Ticker or Trading Symbol
Summit Materials, Inc. [SUM]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
09/06/2017

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
See Remarks

C/O SUMMIT MATERIALS, INC., 1550 WYNKOOP STREET, 3RD FLOOR

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

DENVER, CO 80202

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|---|
| | | | | (A) or (D) | Price | | | |
| | | | | Code | V | Amount | | |
| Class A Common Stock | 09/06/2017 | | M | 5,938 | A | \$ 18 | 7,498 | D |
| Class A Common Stock | 09/06/2017 | | M | 7,719 | A | \$ 18 | 15,217 | D |
| Class A Common Stock | 09/06/2017 | | M | 4,242 | A | \$ 17.07 | 19,459 | D |
| Class A Common Stock | 09/06/2017 | | S | 17,899 | D | \$ | 1,560 | D |

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| | | | | | | | | | |
|----------------------|------------|--|---|-------|------------|----------|--|---|-------------------------|
| Common Stock | | | | | 30.24 | | | | |
| | | | | | <u>(1)</u> | | | | |
| Class A Common Stock | 09/07/2017 | | S | 1,560 | D | \$ 30.10 | | D | |
| Class A Common Stock | | | | | | 18,354 | | I | See footnote <u>(2)</u> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title |
| Options (right to buy) | \$ 18 | 09/06/2017 | | M | 5,938 | <u>(3)</u> 03/11/2025 | Class A Common Stock | 5,938 |
| Options (right to buy) | \$ 18 | 09/06/2017 | | M | 7,719 | <u>(4)</u> 03/11/2025 | Class A Common Stock | 7,719 |
| Options (right to buy) | \$ 17.07 | 09/06/2017 | | M | 4,242 | <u>(5)</u> 02/24/2026 | Class A Common Stock | 4,242 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------------|
| | Director | 10% Owner | Officer | Other |
| Beck Thomas A. C/O SUMMIT MATERIALS, INC. | | | | See Remarks |

1550 WYNKOOP STREET, 3RD FLOOR
DENVER, CO 80202

Signatures

/s/ Anne Lee Benedict, as
Attorney-in-Fact

09/08/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions ranging from \$30.14 to \$30.34, inclusive. The Reporting Person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth above.
- (1) Reflects securities held by a family limited liability company controlled by Mr. Beck.
- (2) Reflects the grant of 11,877 options that vest in four equal annual installments beginning on March 11, 2016.
- (3) Reflects the grant of 15,440 options that vest in four equal annual installments beginning on March 11, 2016.
- (4) Reflects the grant of 12,724 options that vest in three equal annual installments beginning on February 24, 2017.

Remarks:

Title: EVP and Cement Division President The Reporting Person disclaims beneficial ownership of the securities reported here

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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