

TEKLA HEALTHCARE INVESTORS  
Form N-PX  
August 30, 2017

**UNITED STATES  
SECURITIES AND EXCHANGE  
COMMISSION**

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Washington, D.C. 20549

**FORM N-PX**

**ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED  
MANAGEMENT INVESTMENT COMPANY**

Investment Company Act file number 811- 04889

**Tekla Healthcare Investors**

(Exact name of registrant as specified in charter)

**100 Federal Street, 19th Floor, Boston, MA**  
(Address of principal executive offices)

**02110**  
(Zip code)

**Laura Woodward**

**Tekla Healthcare Investors**

**100 Federal Street, 19th Floor, Boston MA 02110**

(Name and address of agent for service)

Registrant's telephone number, including area code: **617-772-8500**

Date of fiscal year end: **September 30**

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Date of reporting period: **7/1/16-6/30/17**

Form N-PX is to be used by a registered management investment company, other than a small business investment company registered on Form N-5 (ss.ss.239.24 and 274.5 of this chapter), to file reports with the Commission, not later than August 31 of each year, containing the registrant's proxy voting record for the most recent twelve-month period ended June 30, pursuant to section 30 of the Investment Company Act of 1940 and rule 30b1-4 thereunder (17 CFR 270.30b1-4). The Commission may use the information provided on Form N-PX in its regulatory, disclosure review, inspection, and policymaking roles.

A registrant is required to disclose the information specified by Form N-PX, and the Commission will make this information public. A registrant is not required to respond to the collection of information contained in Form N-PX unless the Form displays a currently valid Office of Management and Budget (OMB) control number. Please direct comments concerning the accuracy of the information collection burden estimate and any suggestions for reducing the burden to the Secretary, Securities and Exchange Commission, 450 Fifth Street, NW, Washington, DC 20549-0609. The OMB has reviewed this collection of information under the clearance requirements of 44 U.S.C. ss. 3507.

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**Item 1. Proxy Voting Record.**

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*Vote Summary***ABBOTT LABORATORIES**

<b>Security</b>	002824100	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	ABT	<b>Meeting Date</b>	28-Apr-2017
<b>Record Date</b>	01-Mar-2017		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	DIRECTOR	Management		
	1 R.J. ALPERN		For	For
	2 R.S. AUSTIN		For	For
	3 S.E. BLOUNT		For	For
	4 E.M. LIDDY		For	For
	5 N. MCKINSTRY		For	For
	6 P.N. NOVAKOVIC		For	For
	7 W.A. OSBORN		For	For
	8 S.C. SCOTT III		For	For
	9 D.J. STARKS		For	For
	10 G.F. TILTON		For	For
	11 M.D. WHITE		For	For
2.	RATIFICATION OF ERNST & YOUNG LLP AS AUDITORS.	Management	For	For
3.	SAY ON PAY - AN ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION.	Management	For	For
4.	SAY WHEN ON PAY - AN ADVISORY VOTE TO APPROVE THE FREQUENCY OF SHAREHOLDER VOTES ON EXECUTIVE COMPENSATION.	Management	1 Year	For
5.	APPROVAL OF THE ABBOTT LABORATORIES 2017 INCENTIVE STOCK PROGRAM	Management	For	For
6.	APPROVAL OF THE ABBOTT LABORATORIES 2017 EMPLOYEE STOCK PURCHASE PLAN FOR NON-U.S. EMPLOYEES.	Management	For	For
7.	SHAREHOLDER PROPOSAL - INDEPENDENT BOARD CHAIRMAN.	Shareholder	Against	For

**AKORN, INC.**

<b>Security</b>	009728106	<b>Meeting Type</b>	Special
<b>Ticker Symbol</b>	AKRX	<b>Meeting Date</b>	16-Dec-2016
<b>Record Date</b>	28-Oct-2016		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	PROPOSAL TO APPROVE THE AKORN, INC. 2016 EMPLOYEE STOCK PURCHASE PLAN.	Management	For	For
2.		Management	For	For

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PROPOSAL TO APPROVE THE AMENDMENT AND  
RESTATEMENT OF THE AKORN, INC. 2014 STOCK  
OPTION PLAN.

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AKORN, INC.

<b>Security</b>	009728106	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	AKRX	<b>Meeting Date</b>	27-Apr-2017
<b>Record Date</b>	13-Mar-2017		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	DIRECTOR	Management		
	1 JOHN KAPOOR, PHD		For	For
	2 KENNETH ABRAMOWITZ		For	For
	3 ADRIENNE GRAVES, PHD		For	For
	4 RONALD JOHNSON		For	For
	5 STEVEN MEYER		For	For
	6 TERRY ALLISON RAPPUHN		For	For
	7 BRIAN TAMBI		For	For
	8 ALAN WEINSTEIN		For	For
2.	PROPOSAL TO RATIFY THE APPOINTMENT OF BDO USA, LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.	PROPOSAL TO APPROVE THE 2017 OMNIBUS INCENTIVE COMPENSATION PLAN.	Management	For	For
4.	PROPOSAL TO APPROVE, THROUGH A NON-BINDING ADVISORY VOTE, THE FREQUENCY OF FUTURE NON-BINDING ADVISORY VOTES ON THE COMPANY'S EXECUTIVE COMPENSATION PROGRAMS.	Management	1 Year	For
5.	PROPOSAL TO APPROVE, THROUGH A NON-BINDING ADVISORY VOTE, THE COMPANY'S EXECUTIVE COMPENSATION PROGRAM AS DESCRIBED IN THE COMPANY'S 2017 PROXY STATEMENT.	Management	For	For

AKORN, INC.

<b>Security</b>	009728106	<b>Meeting Type</b>	Special
<b>Ticker Symbol</b>	AKRX	<b>Meeting Date</b>	19-Jul-2017
<b>Record Date</b>	09-Jun-2017		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	TO APPROVE THE AGREEMENT AND PLAN OF MERGER, DATED AS OF APRIL 24, 2017, BY AND AMONG FRESENIUS KABI AG, QUERCUS ACQUISITION, INC., AKORN, INC. AND, SOLELY FOR PURPOSES OF ARTICLE VIII THEREIN, FRESENIUS SE & CO. KGAA.	Management	For	For
2.		Management	For	For

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TO APPROVE, BY NON-BINDING, ADVISORY VOTE, THE COMPENSATION THAT MAY BE PAID OR MAY BECOME PAYABLE TO AKORN, INC. S NAMED EXECUTIVE OFFICERS IN CONNECTION WITH, OR FOLLOWING, THE CONSUMMATION OF THE MERGER CONTEMPLATED BY THE AGREEMENT AND PLAN OF MERGER.

3.	TO APPROVE THE ADJOURNMENT OF THE SPECIAL MEETING TO A LATER DATE OR TIME, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IN THE EVENT THERE ARE INSUFFICIENT VOTES AT THE TIME OF THE SPECIAL MEETING OR ANY ADJOURNMENT OR POSTPONEMENT THEREOF TO ADOPT THE AGREEMENT AND PLAN OF MERGER.	Management	For	For
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## ALEXION PHARMACEUTICALS, INC.

<b>Security</b>	015351109	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	ALXN	<b>Meeting Date</b>	10-May-2017
<b>Record Date</b>	14-Mar-2017		

<b>Item</b>	<b>Proposal</b>	<b>Proposed by</b>	<b>Vote</b>	<b>For/Against Mgmt</b>
1A.	ELECTION OF DIRECTOR: FELIX J. BAKER	Management	For	For
1B.	ELECTION OF DIRECTOR: DAVID R. BRENNAN	Management	For	For
1C.	ELECTION OF DIRECTOR: M. MICHELE BURNS	Management	For	For
1D.	ELECTION OF DIRECTOR: CHRISTOPHER J. COUGHLIN	Management	For	For
1E.	ELECTION OF DIRECTOR: LUDWIG N. HANTSON	Management	For	For
1F.	ELECTION OF DIRECTOR: JOHN T. MOLLEN	Management	For	For
1G.	ELECTION OF DIRECTOR: R. DOUGLAS NORBY	Management	For	For
1H.	ELECTION OF DIRECTOR: ALVIN S. PARVEN	Management	For	For
1I.	ELECTION OF DIRECTOR: ANDREAS RUMMELT	Management	For	For
1J.	ELECTION OF DIRECTOR: ANN M. VENEMAN	Management	For	For
2.	TO APPROVE ALEXION S 2017 INCENTIVE PLAN.	Management	For	For
3.	RATIFICATION OF APPOINTMENT BY THE BOARD OF DIRECTORS OF PRICEWATERHOUSECOOPERS LLP AS ALEXION S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management	For	For
4.	APPROVAL OF A NON-BINDING ADVISORY VOTE OF THE 2016 COMPENSATION PAID TO ALEXION S NAMED EXECUTIVE OFFICERS.	Management	For	For
5.	TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION.	Management	1 Year	For
6.	TO REQUEST THE BOARD IMPLEMENT CONFIDENTIAL SHAREHOLDER VOTING ON EXECUTIVE PAY MATTERS.	Shareholder	Against	For



## ALLERGAN PLC

<b>Security</b>	G0177J108	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	AGN	<b>Meeting Date</b>	04-May-2017
<b>Record Date</b>	08-Mar-2017		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1A.	ELECTION OF DIRECTOR: NESLI BASGOZ, M.D.	Management	For	For
1B.	ELECTION OF DIRECTOR: PAUL M. BISARO	Management	For	For
1C.	ELECTION OF DIRECTOR: JAMES H. BLOEM	Management	For	For
1D.	ELECTION OF DIRECTOR: CHRISTOPHER W. BODINE	Management	For	For
1E.	ELECTION OF DIRECTOR: ADRIANE M. BROWN	Management	For	For
1F.	ELECTION OF DIRECTOR: CHRISTOPHER J. COUGHLIN	Management	For	For
1G.	ELECTION OF DIRECTOR: CATHERINE M. KLEMA	Management	For	For
1H.	ELECTION OF DIRECTOR: PETER J. MCDONNELL, M.D.	Management	For	For
1I.	ELECTION OF DIRECTOR: PATRICK J. O SULLIVAN	Management	For	For
1J.	ELECTION OF DIRECTOR: BRENTON L. SAUNDERS	Management	For	For
1K.	ELECTION OF DIRECTOR: RONALD R. TAYLOR	Management	For	For
1L.	ELECTION OF DIRECTOR: FRED G. WEISS	Management	For	For
2.	TO APPROVE, IN A NON-BINDING VOTE, NAMED EXECUTIVE OFFICER COMPENSATION.	Management	For	For
3.	TO RECOMMEND, IN A NON-BINDING VOTE, WHETHER A SHAREHOLDER VOTE TO APPROVE THE COMPENSATION OF THE COMPANY S NAMED EXECUTIVE OFFICERS SHOULD OCCUR EVERY ONE, TWO OR THREE YEARS.	Management	1 Year	For
4.	TO RATIFY, IN A NON-BINDING VOTE, THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS THE COMPANY S INDEPENDENT AUDITOR FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017 AND TO AUTHORIZE, IN A BINDING VOTE, THE BOARD OF DIRECTORS, ACTING THROUGH ITS AUDIT AND COMPLIANCE COMMITTEE, TO DETERMINE PRICEWATERHOUSECOOPERS LLP S REMUNERATION.	Management	For	For
5.	TO APPROVE THE MATERIAL TERMS OF THE PERFORMANCE GOALS FOR THE PURPOSES OF SECTION 162(M) UNDER THE ALLERGAN PLC 2017 ANNUAL INCENTIVE COMPENSATION PLAN.	Management	For	For
6.	TO CONSIDER A SHAREHOLDER PROPOSAL REGARDING AN INDEPENDENT BOARD CHAIRMAN, IF PROPERLY PRESENTED AT THE MEETING.	Shareholder	Against	For

## ALLIQUA BIOMEDICAL, INC.

<b>Security Ticker Symbol Record Date</b>	019621200 ALQA 28-Apr-2017	<b>Meeting Type Meeting Date</b>	Annual 23-Jun-2017
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Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	DIRECTOR	Management		
	1 DAVID JOHNSON		For	For
	2 WINSTON KUNG		For	For
	3 JOSEPH LEONE		For	For
	4 GARY RESTANI		For	For
	5 JEFFREY SKLAR		For	For
	6 MARK WAGNER		For	For
	7 JEROME ZELDIS, MD, PHD.		For	For
2.	APPROVAL TO AUTHORIZE THE BOARD OF DIRECTORS OF THE COMPANY TO AMEND THE COMPANY'S CERTIFICATE OF INCORPORATION IN ITS DISCRETION BUT PRIOR TO THE ANNUAL MEETING OF THE COMPANY'S STOCKHOLDERS IN 2018, TO EFFECT A REVERSE STOCK SPLIT OF THE COMPANY'S COMMON STOCK, AT A RATIO IN THE RANGE OF 1-FOR-3 TO 1-FOR-13.	Management	For	For
3.	APPROVAL OF AN AMENDMENT TO THE COMPANY'S 2014 LONG-TERM INCENTIVE PLAN, TO INCREASE THE AGGREGATE NUMBER OF SHARES OF COMMON STOCK RESERVED FOR ISSUANCE UNDER THE 2014 PLAN BY AN ADDITIONAL 4,000,000 SHARES, TO A TOTAL OF 9,500,000 SHARES.	Management	For	For
4.	TO APPROVE THE ISSUANCE OF MORE THAN 19.99% OF THE COMPANY'S OUTSTANDING COMMON STOCK AT A PRICE PER SHARE LESS THAN BOOK OR MARKET VALUE TO INVESTORS WHO PARTICIPATED IN A PRIVATE PLACEMENT WHICH CLOSED ON FEBRUARY 27, 2017 PURSUANT TO THE MFN ADJUSTMENT.	Management	For	For
5.	TO APPROVE THE ISSUANCE OF SHARES OF THE COMPANY'S COMMON STOCK TO JEROME ZELDIS, M.D., PH.D., THE CHAIRMAN OF THE COMPANY'S BOARD OF DIRECTORS, PURSUANT TO THE MFN ADJUSTMENT AT A PER SHARE PURCHASE PRICE LESS THAN THE CLOSING BID PRICE OF THE COMMON STOCK ON FEBRUARY 27, 2017.	Management	For	For
6.	TO APPROVE THE ISSUANCE OF SHARES OF THE COMPANY'S COMMON STOCK ...(DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL).	Management	For	For
7.	RATIFICATION OF THE APPOINTMENT OF MARCUM LLP AS OUR ...(DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL).	Management	For	For
8.	AN ADVISORY VOTE ON EXECUTIVE COMPENSATION AS DISCLOSED IN THESE	Management	For	For

MATERIALS.

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**ALNYLAM PHARMACEUTICALS, INC.**

<b>Security</b>	02043Q107	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	ALNY	<b>Meeting Date</b>	02-May-2017
<b>Record Date</b>	10-Mar-2017		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1A.	ELECTION OF DIRECTOR: MICHAEL W. BONNEY	Management	For	For
1B.	ELECTION OF DIRECTOR: JOHN M. MARAGANORE, PH.D.	Management	For	For
1C.	ELECTION OF DIRECTOR: PAUL R. SCHIMMEL, PH.D.	Management	For	For
1D.	ELECTION OF DIRECTOR: PHILLIP A. SHARP, PH.D.	Management	For	For
2.	TO APPROVE THE SECOND AMENDED AND RESTATED 2009 STOCK INCENTIVE PLAN.	Management	For	For
3.	TO APPROVE THE AMENDED AND RESTATED 2004 EMPLOYEE STOCK PURCHASE PLAN.	Management	For	For
4.	TO APPROVE, IN A NON-BINDING ADVISORY VOTE, THE COMPENSATION OF ALNYLAM S NAMED EXECUTIVE OFFICERS.	Management	For	For
5.	TO RECOMMEND, IN A NON-BINDING ADVISORY VOTE, THE FREQUENCY OF ADVISORY STOCKHOLDER VOTES ON EXECUTIVE COMPENSATION.	Management	1 Year	For
6.	TO RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP, AN INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM, AS ALNYLAM S INDEPENDENT AUDITORS FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For

**AMERISOURCEBERGEN CORPORATION**

<b>Security</b>	03073E105	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	ABC	<b>Meeting Date</b>	02-Mar-2017
<b>Record Date</b>	03-Jan-2017		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1A.	ELECTION OF DIRECTOR: ORNELLA BARRA	Management	For	For
1B.	ELECTION OF DIRECTOR: STEVEN H. COLLIS	Management	For	For
1C.	ELECTION OF DIRECTOR: DOUGLAS R. CONANT	Management	For	For
1D.	ELECTION OF DIRECTOR: D. MARK DURCAN	Management	For	For
1E.	ELECTION OF DIRECTOR: RICHARD W. GOCHNAUER	Management	For	For
1F.	ELECTION OF DIRECTOR: LON R. GREENBERG	Management	For	For
1G.	ELECTION OF DIRECTOR: JANE E. HENNEY, M.D.	Management	For	For
1H.	ELECTION OF DIRECTOR: KATHLEEN W. HYLE	Management	For	For
1I.	ELECTION OF DIRECTOR: MICHAEL J. LONG	Management	For	For

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1J.	ELECTION OF DIRECTOR: HENRY W. MCGEE	Management	For	For
2.	RATIFICATION OF APPOINTMENT OF OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL YEAR 2017.	Management	For	For
3.	ADVISORY VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	For	For
4.	ADVISORY VOTE ON THE FREQUENCY OF A STOCKHOLDER VOTE ON THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	1 Year	For
5.	APPROVAL OF AN AMENDMENT TO OUR CERTIFICATE OF INCORPORATION SO THAT DIRECTORS MAY BE REMOVED WITH OR WITHOUT CAUSE.	Management	For	For

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AMGEN INC.

<b>Security</b>	031162100	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	AMGN	<b>Meeting Date</b>	19-May-2017
<b>Record Date</b>	20-Mar-2017		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1A.	ELECTION OF DIRECTOR: DR. DAVID BALTIMORE	Management	For	For
1B.	ELECTION OF DIRECTOR: MR. ROBERT A. BRADWAY	Management	For	For
1C.	ELECTION OF DIRECTOR: MR. FRANCOIS DE CARBONNEL	Management	For	For
1D.	ELECTION OF DIRECTOR: MR. ROBERT A. ECKERT	Management	For	For
1E.	ELECTION OF DIRECTOR: MR. GREG C. GARLAND	Management	For	For
1F.	ELECTION OF DIRECTOR: MR. FRED HASSAN	Management	For	For
1G.	ELECTION OF DIRECTOR: DR. REBECCA M. HENDERSON	Management	For	For
1H.	ELECTION OF DIRECTOR: MR. FRANK C. HERRINGER	Management	For	For
1I.	ELECTION OF DIRECTOR: MR. CHARLES M. HOLLEY, JR.	Management	For	For
1J.	ELECTION OF DIRECTOR: DR. TYLER JACKS	Management	For	For
1K.	ELECTION OF DIRECTOR: MS. ELLEN J. KULLMAN	Management	For	For
1L.	ELECTION OF DIRECTOR: DR. RONALD D. SUGAR	Management	For	For
1M.	ELECTION OF DIRECTOR: DR. R. SANDERS WILLIAMS	Management	For	For
2.	TO RATIFY THE SELECTION OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTANTS FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.	ADVISORY VOTE TO APPROVE OUR EXECUTIVE COMPENSATION.	Management	For	For
4.	ADVISORY VOTE ON THE FREQUENCY OF FUTURE STOCKHOLDER VOTES TO APPROVE EXECUTIVE COMPENSATION.	Management	1 Year	For
5.	STOCKHOLDER PROPOSAL TO ADOPT MAJORITY VOTES CAST STANDARD FOR MATTERS PRESENTED BY STOCKHOLDERS.	Shareholder	Against	For

## AURIS MEDICAL HOLDING AG

<b>Security</b>	H03579101	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	EARS	<b>Meeting Date</b>	13-Apr-2017
<b>Record Date</b>	10-Mar-2017		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	APPROVAL OF THE ANNUAL REPORT, THE FINANCIAL STATEMENTS AND THE GROUP CONSOLIDATED FINANCIAL STATEMENTS FOR THE 2016 FINANCIAL YEAR	Management	For	For
2.	DISCHARGE OF LIABILITY FOR THE MEMBERS OF THE BOARD OF DIRECTORS AND THE PERSONS ENTRUSTED WITH THE CORPORATION'S MANAGEMENT	Management	For	For
3.	APPROPRIATION OF FINANCIAL RESULTS	Management	For	For
4.1	INCREASE OF THE AUTHORIZED SHARE CAPITAL	Management	For	For
4.2	INCREASE OF THE CONDITIONAL SHARE CAPITAL FOR FINANCING PURPOSES	Management	For	For
4.3	INCREASE OF THE CONDITIONAL SHARE CAPITAL FOR EQUITY INCENTIVE PLANS	Management	Against	Against
5.1	APPROVAL OF THE COMPENSATION OF THE BOARD OF DIRECTORS	Management	For	For
5.2	APPROVAL OF THE COMPENSATION OF THE MEMBERS OF THE EXECUTIVE MANAGEMENT COMMITTEE FOR THE 2018 FINANCIAL YEAR	Management	For	For
6.1	RE-ELECTION OF THOMAS MEYER AS MEMBER AND AS CHAIRMAN OF THE BOARD OF DIRECTORS	Management	For	For
6.2	RE-ELECTION OF ARMANDO ANIDO AS MEMBER OF THE BOARD OF DIRECTORS	Management	For	For
6.3	RE-ELECTION OF OLIVER KUBLI AS MEMBER OF THE BOARD OF DIRECTORS	Management	For	For
6.4	RE-ELECTION OF BERNDT A. MODIG AS MEMBER OF THE BOARD OF DIRECTORS	Management	For	For
6.5	RE-ELECTION OF ANTOINE PAPIERNIK-BERKHAEUER AS MEMBER OF THE BOARD OF DIRECTORS	Management	For	For
6.6	RE-ELECTION OF CALVIN W. ROBERTS AS MEMBER OF THE BOARD OF DIRECTORS	Management	For	For
6.7	ELECTION OF MATS PETER BLOM AS MEMBER OF THE BOARD OF DIRECTORS	Management	For	For
7.1	RE-ELECTION OF ARMANDO ANIDO AS MEMBER OF THE COMPENSATION COMMITTEE	Management	For	For
7.2	RE-ELECTION OF CALVIN W. ROBERTS AS MEMBER OF THE COMPENSATION COMMITTEE	Management	For	For
8.	RE-ELECTION OF DELOITTE AG AS AUDITORS	Management	For	For
9.	RE-ELECTION OF THE INDEPENDENT PROXY	Management	For	For
10.	GENERAL INSTRUCTION ON NEW PROPOSALS OF THE BOARD OF DIRECTORS	Management	For	For

**BECTON, DICKINSON AND COMPANY**

<b>Security</b>	075887109	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	BDX	<b>Meeting Date</b>	24-Jan-2017
<b>Record Date</b>	02-Dec-2016		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1A.	ELECTION OF DIRECTOR: BASIL L. ANDERSON	Management	For	For
1B.	ELECTION OF DIRECTOR: CATHERINE M. BURZIK	Management	For	For
1C.	ELECTION OF DIRECTOR: R. ANDREW ECKERT	Management	For	For
1D.	ELECTION OF DIRECTOR: VINCENT A. FORLENZA	Management	For	For
1E.	ELECTION OF DIRECTOR: CLAIRE M. FRASER	Management	For	For
1F.	ELECTION OF DIRECTOR: CHRISTOPHER JONES	Management	For	For
1G.	ELECTION OF DIRECTOR: MARSHALL O. LARSEN	Management	For	For
1H.	ELECTION OF DIRECTOR: GARY A. MECKLENBURG	Management	For	For
1I.	ELECTION OF DIRECTOR: JAMES F. ORR	Management	For	For
1J.	ELECTION OF DIRECTOR: WILLARD J. OVERLOCK, JR.	Management	For	For
1K.	ELECTION OF DIRECTOR: CLAIRE POMEROY	Management	For	For
1L.	ELECTION OF DIRECTOR: REBECCA W. RIMEL	Management	For	For
1M.	ELECTION OF DIRECTOR: BERTRAM L. SCOTT	Management	For	For
2.	RATIFICATION OF SELECTION OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management	For	For
3.	ADVISORY VOTE TO APPROVE NAMED EXECUTIVE OFFICER COMPENSATION.	Management	For	For
4.	ADVISORY VOTE TO APPROVE THE FREQUENCY OF NAMED EXECUTIVE OFFICER COMPENSATION ADVISORY VOTES.	Management	Abstain	
5.	SHAREHOLDER PROPOSAL REGARDING AN INDEPENDENT BOARD CHAIR.	Shareholder	Against	For

**BIOCLIN THERAPEUTICS, INC.**

<b>Security</b>	N/A	<b>Meeting Type</b>	Written Consent
<b>Ticker Symbol</b>	N/A	<b>Meeting Date</b>	05-Oct-2016
<b>Record Date</b>	N/A		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	DETERMINATION REGARDING SECOND TRANCHE MILESTONE CLOSING OF PREFERRED STOCK FINANCING	Management	For	For
2.	NOTICE OF INTERESTED PARTY TRANSACTIONS	Management	For	For
3.	GENERAL AUTHORIZING RESOLUTION	Management	For	For

**BIOCLIN THERAPEUTICS, INC.**



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<b>Security</b>	N/A	<b>Meeting Type</b>	Written Consent
<b>Ticker Symbol</b>	N/A	<b>Meeting Date</b>	02-Mar-2017
<b>Record Date</b>	N/A		

<b>Item</b>	<b>Proposal</b>	<b>Proposed by</b>	<b>Vote</b>	<b>For/Against Mgmt</b>
1.	ELECTION OF DIRECTORS	Management	For	For
2.	GENERAL AUTHORIZING RESOLUTION	Management	For	For

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**BIOCLIN THERAPEUTICS, INC.**

<b>Security</b>	N/A	<b>Meeting Type</b>	Written Consent
<b>Ticker Symbol</b>	N/A	<b>Meeting Date</b>	02-Mar-2017
<b>Record Date</b>	N/A		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	AMENDMENT AND RESTATEMENT OF RESTATED CERTIFICATE OF INCORPORATION	Management	For	For
2.	SERIES B PREFERRED STOCK FINANCING	Management	For	For
3.	WAIVER OF PREEMPTIVE RIGHTS	Management	For	For
4.	NOTICE OF INTERESTED PARTY TRANSACTIONS	Management	For	For
5.	APPROVAL OF INDEMNIFICATION AGREEMENTS	Management	For	For
6.	AMENDMENT OF 2013 STOCK AND OPTION GRANT PLAN	Management	For	For
7.	GENERAL AUTHORIZING RESOLUTION	Management	For	For

**BIOGEN INC.**

<b>Security</b>	09062X103	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	BIIB	<b>Meeting Date</b>	07-Jun-2017
<b>Record Date</b>	10-Apr-2017		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1A.	ELECTION OF DIRECTOR: ALEXANDER J. DENNER	Management	For	For
1B.	ELECTION OF DIRECTOR: CAROLINE D. DORSA	Management	For	For
1C.	ELECTION OF DIRECTOR: NANCY L. LEAMING	Management	For	For
1D.	ELECTION OF DIRECTOR: RICHARD C. MULLIGAN	Management	For	For
1E.	ELECTION OF DIRECTOR: ROBERT W. PANGIA	Management	For	For
1F.	ELECTION OF DIRECTOR: STELIOS PAPADOPOULOS	Management	For	For
1G.	ELECTION OF DIRECTOR: BRIAN S. POSNER	Management	For	For
1H.	ELECTION OF DIRECTOR: ERIC K. ROWINSKY	Management	For	For
1I.	ELECTION OF DIRECTOR: LYNN SCHENK	Management	For	For
1J.	ELECTION OF DIRECTOR: STEPHEN A. SHERWIN	Management	For	For
1K.	ELECTION OF DIRECTOR: MICHEL VOUNATSOS	Management	For	For
2.	TO RATIFY THE SELECTION OF PRICEWATERHOUSECOOPERS LLP AS BIOGEN INC. S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.	SAY ON PAY - TO APPROVE AN ADVISORY VOTE ON EXECUTIVE COMPENSATION.	Management	For	For
4.	SAY WHEN ON PAY - TO APPROVE AN ADVISORY VOTE ON THE FREQUENCY OF THE ADVISORY VOTE ON EXECUTIVE COMPENSATION.	Management	1 Year	For
5.	TO APPROVE THE BIOGEN INC. 2017 OMNIBUS EQUITY PLAN.	Management	For	For



**BIOMARIN PHARMACEUTICAL INC.**

<b>Security</b>	09061G101	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	BMRN	<b>Meeting Date</b>	06-Jun-2017
<b>Record Date</b>	10-Apr-2017		

<b>Item</b>	<b>Proposal</b>	<b>Proposed by</b>	<b>Vote</b>	<b>For/Against Mgmt</b>
1.	DIRECTOR	Management		
	1 JEAN-JACQUES BIENAIME		For	For
	2 WILLARD DERE		For	For
	3 MICHAEL GREY		For	For
	4 ELAINE J. HERON		For	For
	5 V. BRYAN LAWLIS		For	For
	6 ALAN J. LEWIS		For	For
	7 RICHARD A. MEIER		For	For
	8 DAVID PYOTT		For	For
	9 DENNIS J. SLAMON		For	For
2.	TO RATIFY THE SELECTION OF KPMG LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR BIOMARIN FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.	TO APPROVE, ON AN ADVISORY BASIS, THE FREQUENCY OF THE STOCKHOLDERS APPROVAL ON AN ADVISORY BASIS, OF THE COMPENSATION OF THE COMPANY S NAMED EXECUTIVE OFFICERS AS DISCLOSED IN THE PROXY STATEMENT.	Management	1 Year	For
4.	TO APPROVE, ON AN ADVISORY BASIS, THE COMPENSATION OF THE COMPANY S NAMED EXECUTIVE OFFICERS AS DISCLOSED IN THE PROXY STATEMENT.	Management	For	For
5.	TO APPROVE THE 2017 EQUITY INCENTIVE PLAN.	Management	For	For
6.	TO APPROVE AMENDMENTS TO BIOMARIN S AMENDED AND RESTATED CERTIFICATE OF INCORPORATION, AS AMENDED, TO (I) INCREASE THE TOTAL NUMBER OF AUTHORIZED SHARES OF COMMON STOCK FROM 250,000,000 SHARES TO 500,000,000 SHARES, AND (II) MAKE CERTAIN MINOR ADMINISTRATIVE CHANGES.	Management	For	For

**CARDINAL HEALTH, INC.**

<b>Security</b>	14149Y108	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	CAH	<b>Meeting Date</b>	03-Nov-2016
<b>Record Date</b>	06-Sep-2016		

<b>Item</b>	<b>Proposal</b>	<b>Vote</b>
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		Proposed by		For/Against Mgmt
1A.	ELECTION OF DIRECTOR: DAVID J. ANDERSON	Management	For	For
1B.	ELECTION OF DIRECTOR: COLLEEN F. ARNOLD	Management	For	For
1C.	ELECTION OF DIRECTOR: GEORGE S. BARRETT	Management	For	For
1D.	ELECTION OF DIRECTOR: CARRIE S. COX	Management	For	For
1E.	ELECTION OF DIRECTOR: CALVIN DARDEN	Management	For	For
1F.	ELECTION OF DIRECTOR: BRUCE L. DOWNEY	Management	For	For
1G.	ELECTION OF DIRECTOR: PATRICIA A. HEMINGWAY HALL	Management	For	For
1H.	ELECTION OF DIRECTOR: CLAYTON M. JONES	Management	For	For
1I.	ELECTION OF DIRECTOR: GREGORY B. KENNY	Management	For	For
1J.	ELECTION OF DIRECTOR: NANCY KILLEFER	Management	For	For
1K.	ELECTION OF DIRECTOR: DAVID P. KING	Management	For	For
2.	PROPOSAL TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT AUDITOR FOR THE FISCAL YEAR ENDING JUNE 30, 2017.	Management	For	For
3.	PROPOSAL TO APPROVE THE AMENDED CARDINAL HEALTH, INC. 2011 LONG-TERM INCENTIVE PLAN.	Management	For	For
4.	PROPOSAL TO APPROVE, ON A NON-BINDING ADVISORY BASIS, THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	For	For

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## CARDIOKINETIX INC.

<b>Security</b>	N/A	<b>Meeting Type</b>	Written Consent
<b>Ticker Symbol</b>	N/A	<b>Meeting Date</b>	20-Jun-2017
<b>Record Date</b>	N/A		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	NOTE FINANCING APPROVAL	Management	For	For
2.	WAIVER OF RIGHT OF FIRST OFFER	Management	For	For
3.	WAIVER OF ANTI-DILUTION ADJUSTMENTS	Management	For	For
4.	GENERAL AUTHROIZING RESOLUTIONS	Management	For	For

## CARDIOKINETIX INC.

<b>Security</b>	N/A	<b>Meeting Type</b>	Written Consent
<b>Ticker Symbol</b>	N/A	<b>Meeting Date</b>	21-Jun-2017
<b>Record Date</b>	N/A		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	CONSENT TO ASSIGNMENT BY THE STOCKHOLDERS	Management	For	For

## CELGENE CORPORATION

<b>Security</b>	151020104	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	CELG	<b>Meeting Date</b>	14-Jun-2017
<b>Record Date</b>	20-Apr-2017		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	DIRECTOR	Management		
1	ROBERT J. HUGIN		For	For
2	MARK J. ALLES		For	For
3	RICHARD W BARKER D PHIL		For	For
4	MICHAEL W. BONNEY		For	For
5	MICHAEL D. CASEY		For	For
6	CARRIE S. COX		For	For
7	MICHAEL A. FRIEDMAN, MD		For	For
8	JULIA A. HALLER, M.D.		For	For
9	GILLA S. KAPLAN, PH.D.		For	For
10	JAMES J. LOUGHLIN		For	For

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	11 ERNEST MARIO, PH.D.		For	For
2.	RATIFICATION OF THE APPOINTMENT OF KPMG LLP AS THE COMPANY S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.	APPROVAL OF AN AMENDMENT AND RESTATEMENT OF THE COMPANY S STOCK INCENTIVE PLAN.	Management	For	For
4.	APPROVAL, BY NON-BINDING VOTE, OF EXECUTIVE COMPENSATION OF THE COMPANY S NAMED EXECUTIVE OFFICERS.	Management	For	For
5.	TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF EXECUTIVE COMPENSATION VOTES.	Management	1 Year	For
6.	STOCKHOLDER PROPOSAL TO REQUEST A BY-LAW PROVISION LIMITING MANAGEMENT S ACCESS TO VOTE TALLIES PRIOR TO THE ANNUAL MEETING WITH RESPECT TO CERTAIN EXECUTIVE PAY MATTERS, DESCRIBED IN MORE DETAIL IN THE PROXY STATEMENT.	Shareholder	Against	For

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**CENTENE CORPORATION**

<b>Security</b>	15135B101	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	CNC	<b>Meeting Date</b>	25-Apr-2017
<b>Record Date</b>	24-Feb-2017		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1A.	ELECTION OF DIRECTOR: MICHAEL F. NEIDORFF	Management	For	For
1B.	ELECTION OF DIRECTOR: ROBERT K. DITMORE	Management	For	For
1C.	ELECTION OF DIRECTOR: RICHARD A. GEPHARDT	Management	For	For
2.	ADVISORY RESOLUTION TO APPROVE EXECUTIVE COMPENSATION.	Management	For	For
3.	APPROVAL OF AMENDMENT TO THE 2012 STOCK INCENTIVE PLAN.	Management	For	For
4.	ADVISORY VOTE ON FREQUENCY OF ADVISORY VOTE ON EXECUTIVE COMPENSATION.	Management	1 Year	For
5.	RATIFICATION OF APPOINTMENT OF KPMG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For

**CHARLES RIVER LABORATORIES INTL., INC.**

<b>Security</b>	159864107	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	CRL	<b>Meeting Date</b>	09-May-2017
<b>Record Date</b>	13-Mar-2017		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1A.	ELECTION OF DIRECTOR: JAMES C. FOSTER	Management	For	For
1B.	ELECTION OF DIRECTOR: ROBERT J. BERTOLINI	Management	For	For
1C.	ELECTION OF DIRECTOR: STEPHEN D. CHUBB	Management	For	For
1D.	ELECTION OF DIRECTOR: DEBORAH T. KOICHEVAR	Management	For	For
1E.	ELECTION OF DIRECTOR: GEORGE E. MASSARO	Management	For	For
1F.	ELECTION OF DIRECTOR: GEORGE M. MILNE, JR.	Management	For	For
1G.	ELECTION OF DIRECTOR: C. RICHARD REESE	Management	For	For
1H.	ELECTION OF DIRECTOR: CRAIG B. THOMPSON	Management	For	For
1I.	ELECTION OF DIRECTOR: RICHARD F. WALLMAN	Management	For	For
2.	SAY ON PAY - AN ADVISORY VOTE TO APPROVE OUR EXECUTIVE COMPENSATION.	Management	For	For
3.	SAY WHEN ON PAY - AN ADVISORY VOTE ON THE APPROVAL OF THE FREQUENCY OF SHAREHOLDER VOTES ON EXECUTIVE COMPENSATION.	Management	1 Year	For
4.	APPROVAL OF INCENTIVE COMPENSATION PLAN.	Management	For	For
5.	RATIFICATION OF ACCOUNTANTS (PWC) (DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL)	Management	For	For



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6.	SHAREHOLDER PROPOSAL SUBMITTED BY PETA TO PROHIBIT CONDUCTING BUSINESS WITH CERTAIN DEALERS AND LABORATORIES WHO VIOLATE THE ANIMAL WELFARE ACT OR ARE UNDER INVESTIGATION BY U.S. DEPARTMENT OF AGRICULTURE INVESTIGATIVE ENFORCEMENT SERVICES.	Shareholder	Against	For
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## COHERUS BIOSCIENCES INC

<b>Security</b>	19249H103	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	CHRS	<b>Meeting Date</b>	17-May-2017
<b>Record Date</b>	24-Mar-2017		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	DIRECTOR	Management		
	1 DENNIS M. LANFEAR		For	For
	2 MATS WAHLSTROM		For	For
	3 JAMES I. HEALY, MD, PHD		For	For
2.	TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.	A NON-BINDING ADVISORY RESOLUTION TO APPROVE OUR EXECUTIVE COMPENSATION (A SAY ON PAY VOTE).	Management	For	For

## CYTOMX THERAPEUTICS, INC.

<b>Security</b>	23284F105	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	CTMX	<b>Meeting Date</b>	20-Jun-2017
<b>Record Date</b>	28-Apr-2017		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1A.	ELECTION OF DIRECTOR: NEIL EXTER	Management	For	For
1B.	ELECTION OF DIRECTOR: FREDERICK W. GLUCK	Management	For	For
1C.	ELECTION OF DIRECTOR: MATTHEW P. YOUNG	Management	For	For
2.	TO RATIFY THE SELECTION OF PRICEWATERHOUSECOOPERS LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR OUR FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For

## DERMIRA, INC.

<b>Security</b>	24983L104	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	DERM	<b>Meeting Date</b>	13-Jun-2017
<b>Record Date</b>	19-Apr-2017		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
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1.	DIRECTOR	Management		
	1 MARK D. MCDADE		For	For
	2 JAKE R. NUNN		For	For
	3 THOMAS G. WIGGANS		For	For
2.	APPROVE, ON A NON-BINDING ADVISORY BASIS, THE COMPENSATION PAID BY US TO OUR NAMED EXECUTIVE OFFICERS FOR THE YEAR ENDED DECEMBER 31, 2016.	Management	For	For
3.	VOTE, ON A NON-BINDING ADVISORY BASIS, ON THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION.	Management	1 Year	For
4.	RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2017.	Management	For	For

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**DEXCOM, INC.**

<b>Security</b>	252131107	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	DXCM	<b>Meeting Date</b>	31-May-2017
<b>Record Date</b>	07-Apr-2017		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1A.	ELECTION OF DIRECTOR: RICHARD COLLINS	Management	For	For
1B.	ELECTION OF DIRECTOR: MARK FOLETTA	Management	For	For
1C.	ELECTION OF DIRECTOR: ERIC J. TOPOL	Management	For	For
2.	TO RATIFY THE SELECTION BY THE AUDIT COMMITTEE OF OUR BOARD OF DIRECTORS OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.	ADVISORY RESOLUTION TO APPROVE EXECUTIVE COMPENSATION.	Management	For	For
4.	TO RECOMMEND A NON-BINDING ADVISORY RESOLUTION ON THE FREQUENCY OF STOCKHOLDER VOTES ON EXECUTIVE COMPENSATION.	Management	1 Year	For
5.	TO APPROVE OUR AMENDED AND RESTATED 2015 EQUITY INCENTIVE PLAN.	Management	For	For
6.	TO APPROVE AN AMENDMENT TO DEXCOM S RESTATED CERTIFICATE OF INCORPORATION TO INCREASE THE NUMBER OF AUTHORIZED SHARES OF COMMON STOCK FROM 100 MILLION TO 200 MILLION.	Management	For	For

**DYNEX TECHNOLOGIES, INC.**

<b>Security</b>	N/A	<b>Meeting Type</b>	Written Consent
<b>Ticker Symbol</b>	N/A	<b>Meeting Date</b>	24-Mar-2017
<b>Record Date</b>	N/A		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	MERGER AGREEMENT AND OTHER TRANSACTIONS CONTEMPLATED THEREBY	Management	For	For
2.	TERMINATION OF STOCKHOLDERS AGREEMENT AND REGISTRATION RIGHTS AGREEMENT	Management	For	For
3.	APPROVAL UNDER SECTION 144 OF THE DGCL	Management	For	For
4.	WAIVER OF APPRAISAL RIGHTS	Management	For	For
5.	ADDITIONAL AGREEMENTS AND ACKNOWLEDGEMENTS	Management	For	For
6.	WAIVER OF NOTICE REQUIREMENTS	Management	For	For
7.	GENERAL AUTHORIZATION	Management	For	For

DYNEX TECHNOLOGIES, INC.

<b>Security</b>	N/A	<b>Meeting Type</b>	Written Consent
<b>Ticker Symbol</b>	N/A	<b>Meeting Date</b>	24-Mar-2017
<b>Record Date</b>	N/A		

<b>Item</b>	<b>Proposal</b>	<b>Proposed by</b>	<b>Vote</b>	<b>For/Against Mgmt</b>
1.	APPROVAL OF AMOUNTS PAYABLE TO THE EMPLOYEES PURSUANT TO THE ARRANGEMENTS (AS DESCRIBED IN THE INFORMATION STATEMENT) IN CONNECTION WITH THE PROPOSED TRANSACTION AND OTHER RELATED RESOLUTIONS	Management	For	For

## ENDO INTERNATIONAL PLC

<b>Security</b>	G30401106	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	ENDP	<b>Meeting Date</b>	08-Jun-2017
<b>Record Date</b>	13-Apr-2017		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1A.	ELECTION OF DIRECTOR: ROGER H. KIMMEL	Management	For	For
1B.	ELECTION OF DIRECTOR: PAUL V. CAMPANELLI	Management	For	For
1C.	ELECTION OF DIRECTOR: SHANE M. COOKE	Management	For	For
1D.	ELECTION OF DIRECTOR: NANCY J. HUTSON, PH.D.	Management	For	For
1E.	ELECTION OF DIRECTOR: MICHAEL HYATT	Management	For	For
1F.	ELECTION OF DIRECTOR: DOUGLAS S. INGRAM	Management	For	For
1G.	ELECTION OF DIRECTOR: WILLIAM P. MONTAGUE	Management	For	For
1H.	ELECTION OF DIRECTOR: TODD B. SISITSKY	Management	For	For
1I.	ELECTION OF DIRECTOR: JILL D. SMITH	Management	For	For
2.	TO APPROVE THE SELECTION OF PRICEWATERHOUSECOOPERS LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2017 AND TO AUTHORIZE THE BOARD OF DIRECTORS, ACTING THROUGH THE AUDIT COMMITTEE, TO DETERMINE THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM'S REMUNERATION.	Management	For	For
3.	TO APPROVE, BY ADVISORY VOTE, NAMED EXECUTIVE OFFICER COMPENSATION.	Management	For	For
4.	TO APPROVE, BY ADVISORY VOTE, THE FREQUENCY OF FUTURE ADVISORY VOTES ON NAMED EXECUTIVE OFFICER COMPENSATION.	Management	1 Year	For
5.	TO APPROVE THE AMENDMENT OF THE COMPANY'S MEMORANDUM OF ASSOCIATION.	Management	For	For
6.	TO APPROVE THE AMENDMENT OF THE COMPANY'S ARTICLES OF ASSOCIATION.	Management	For	For
7.	TO APPROVE THE AMENDMENT OF THE COMPANY'S AMENDED AND RESTATED 2015 STOCK INCENTIVE PLAN.	Management	For	For

## EPIZYME, INC.

<b>Security</b>	29428V104	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	EPZM	<b>Meeting Date</b>	22-Jun-2017
<b>Record Date</b>	24-Apr-2017		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	DIRECTOR	Management		
1	ANDREW R ALLEN, MD, PHD		For	For

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2	KENNETH BATE		For	For
3	ROBERT BAZEMORE		For	For
2.	RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS EPIZYME S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For

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**EUTHYMICS BIOSCIENCE, INC.**

<b>Security</b>	N/A	<b>Meeting Type</b>	Written Consent
<b>Ticker Symbol</b>	N/A	<b>Meeting Date</b>	22-May-2017
<b>Record Date</b>	N/A		

<b>Item</b>	<b>Proposal</b>	<b>Proposed by</b>	<b>Vote</b>	<b>For/Against Mgmt</b>
1.	BRIDGE FINANCING	Management	For	For

**EXELIXIS, INC.**

<b>Security</b>	30161Q104	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	EXEL	<b>Meeting Date</b>	24-May-2017
<b>Record Date</b>	31-Mar-2017		

<b>Item</b>	<b>Proposal</b>	<b>Proposed by</b>	<b>Vote</b>	<b>For/Against Mgmt</b>
1A.	ELECTION OF DIRECTOR: MICHAEL M. MORRISSEY, PH.D.	Management	For	For
1B.	ELECTION OF DIRECTOR: STELIOS PAPADOPOULOS, PH.D.	Management	For	For
1C.	ELECTION OF DIRECTOR: GEORGE A. SCANGOS, PH.D.	Management	For	For
1D.	ELECTION OF DIRECTOR: LANCE WILLSEY, M.D.	Management	For	For
2.	TO RATIFY THE SELECTION BY THE AUDIT COMMITTEE OF THE BOARD OF DIRECTORS OF ERNST & YOUNG LLP AS EXELIXIS INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 29, 2017.	Management	For	For
3.	TO APPROVE THE EXELIXIS, INC. 2017 EQUITY INCENTIVE PLAN.	Management	For	For
4.	TO APPROVE, ON AN ADVISORY BASIS, THE COMPENSATION OF EXELIXIS NAMED EXECUTIVE OFFICERS, AS DISCLOSED IN THE PROXY STATEMENT.	Management	For	For
5.	TO INDICATE, ON AN ADVISORY BASIS, THE PREFERRED FREQUENCY OF STOCKHOLDER VOTES ON THE COMPENSATION OF EXELIXIS NAMED EXECUTIVE OFFICERS.	Management	1 Year	For

**FLEX PHARMA INC**

<b>Security</b>	33938A105	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	FLKS	<b>Meeting Date</b>	01-Jun-2017
<b>Record Date</b>	07-Apr-2017		



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Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	DIRECTOR	Management		
	1 ROBERT PEREZ		For	For
	2 STUART RANDLE		For	For
2.	TO RATIFY THE SELECTION BY THE AUDIT COMMITTEE OF THE BOARD OF DIRECTORS OF ERNST & YOUNG LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM OF THE COMPANY FOR ITS FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For

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## FOAMIX PHARMACEUTICALS LTD

<b>Security</b>	M46135105	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	FOMX	<b>Meeting Date</b>	13-Jul-2017
<b>Record Date</b>	15-Jun-2017		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	APPOINT KESSELMAN & KESSELMAN (PWC ISRAEL) AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR 2017, AND AUTHORIZE THE BOARD TO DETERMINE THE COMPENSATION OF THE AUDITORS.	Management	For	For
2.	RATIFY THE ELECTION OF DR. DALIA MEGIDDO AS A DIRECTOR OF THE COMPANY.	Management	For	For
3.	APPROVE THE TERMS OF COMPENSATION OF DR. STANLEY HIRSCH, OUR CHAIRMAN OF THE BOARD OF DIRECTORS, FOR THE YEAR 2016 AND ONWARD.	Management	For	For
4.	APPROVE THE AWARD OF ADDITIONAL OPTIONS AND RESTRICTED SHARE UNITS TO THE COMPANY'S NON- EXECUTIVE DIRECTORS.	Management	For	For
5.	APPROVE AN INCREASE OF THE AUTHORIZED SHARE CAPITAL OF THE COMPANY BY AN ADDITIONAL NIS 6,400,000 DIVIDED INTO 40,000,000 ORDINARY SHARES WITH A NOMINAL VALUE OF NIS 0.16 PER SHARE.	Management	Abstain	Against

## GALAPAGOS N V

<b>Security</b>	36315X101	<b>Meeting Type</b>	Special
<b>Ticker Symbol</b>	GLPG	<b>Meeting Date</b>	26-Jul-2016
<b>Record Date</b>	08-Jul-2016		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
S1.	TO APPOINT MS. MARY KERR AS AN INDEPENDENT DIRECTOR OF THE COMPANY.	Management	For	For
E2.	AUTHORIZATION TO THE BOARD OF DIRECTORS TO INCREASE THE SHARE CAPITAL WITHIN THE FRAMEWORK OF THE AUTHORIZED CAPITAL BY UP TO 40% OF THE SHARE CAPITAL.	Management	For	For

## GALAPAGOS N V

<b>Security</b>	36315X101	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	GLPG	<b>Meeting Date</b>	25-Apr-2017
<b>Record Date</b>	20-Mar-2017		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
O2	COMMUNICATION AND APPROVAL OF THE NON-CONSOLIDATED ANNUAL ACCOUNTS OF THE COMPANY FOR THE FINANCIAL YEAR ENDED ON 31 DECEMBER 2016 AND APPROVAL OF THE ALLOCATION OF THE ANNUAL RESULT AS PROPOSED BY THE BOARD OF DIRECTORS.	Management	For	For
O5	COMMUNICATION AND APPROVAL OF THE REMUNERATION REPORT.	Management	For	For
O6	RELEASE FROM LIABILITY TO BE GRANTED TO THE DIRECTORS AND THE STATUTORY AUDITOR FOR THE PERFORMANCE OF THEIR DUTIES IN THE COURSE OF THE FINANCIAL YEAR ENDED 31 DECEMBER 2016.	Management	For	For
O7	RATIFICATION OF THE STATUTORY AUDITOR S REMUNERATION FOR THE FINANCIAL YEAR ENDED ON 31 DECEMBER 2016.	Management	For	For
O8	RE-APPOINTMENT OF STATUTORY AUDITOR AND DETERMINATION OF STATUTORY AUDITOR S REMUNERATION.	Management	For	For
O9A	RE-APPOINTMENT OF MR. ONNO VAN DE STOLPE AS DIRECTOR OF THE COMPANY.	Management	For	For
O9B	RE-APPOINTMENT OF DR. RAJ PAREKH AS DIRECTOR OF THE COMPANY.	Management	For	For
O9C	RE-APPOINTMENT OF MS. KATRINE BOSLEY AS INDEPENDENT DIRECTOR OF THE COMPANY.	Management	For	For
O10	REMUNERATION OF DIRECTORS.	Management	For	For
O11	OFFER OF WARRANTS.	Management	For	For
O12	APPLICATION OF ARTICLE 556 OF THE BELGIAN COMPANIES CODE.	Management	For	For
E2	AUTHORIZATION TO THE BOARD OF DIRECTORS TO INCREASE THE SHARE CAPITAL WITHIN THE FRAMEWORK OF THE AUTHORIZED CAPITAL BY UP TO 20% OF THE SHARE CAPITAL.	Management	For	For
E3	AUTHORIZATION TO THE BOARD OF DIRECTORS TO INCREASE THE SHARE CAPITAL WITHIN THE FRAMEWORK OF THE AUTHORIZED CAPITAL BY UP TO 33% OF THE SHARE CAPITAL, IN SPECIFIC CIRCUMSTANCES.	Management	For	For

## GENOMEDX BIOSCIENCES INC.

<b>Security</b>	N/A	<b>Meeting Type</b>	Special
<b>Ticker Symbol</b>	N/A	<b>Meeting Date</b>	24-Jan-2017

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**Record Date** N/A

<b>Item</b>	<b>Proposal</b>	<b>Proposed by</b>	<b>Vote</b>	<b>For/Against Mgmt</b>
1.	AUTHORIZED SHARE STRUCTURE AMENDMENT RESOLUTION	Management	For	For
2.	SPECIAL RIGHTS AND RESTRICTIONS RESOLUTION	Management	For	For
3.	CLASS C PREFERRED SHARE ISSUANCE RESOLUTION	Management	For	For

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## GENOMEDX BIOSCIENCES INC.

<b>Security</b>	N/A	<b>Meeting Type</b>	Special
<b>Ticker Symbol</b>	N/A	<b>Meeting Date</b>	30-Jun-2017
<b>Record Date</b>	N/A		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	AUDITOR RESOLUTION	Management	For	For
2.	ELECTION OF DIRECTORS	Management	For	For
3.	AMENDMENTS TO STOCK OPTION PLAN	Management	For	For

## GILEAD SCIENCES, INC.

<b>Security</b>	375558103	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	GILD	<b>Meeting Date</b>	10-May-2017
<b>Record Date</b>	16-Mar-2017		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1A.	ELECTION OF DIRECTOR: JOHN F. COGAN, PH.D.	Management	For	For
1B.	ELECTION OF DIRECTOR: KELLY A. KRAMER	Management	For	For
1C.	ELECTION OF DIRECTOR: KEVIN E. LOFTON	Management	For	For
1D.	ELECTION OF DIRECTOR: JOHN C. MARTIN, PH.D.	Management	For	For
1E.	ELECTION OF DIRECTOR: JOHN F. MILLIGAN, PH.D.	Management	For	For
1F.	ELECTION OF DIRECTOR: NICHOLAS G. MOORE	Management	For	For
1G.	ELECTION OF DIRECTOR: RICHARD J. WHITLEY, M.D.	Management	For	For
1H.	ELECTION OF DIRECTOR: GAYLE E. WILSON	Management	For	For
1I.	ELECTION OF DIRECTOR: PER WOLD-OLSEN	Management	For	For
2.	RATIFICATION OF THE SELECTION OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management	For	For
3.	RESTATEMENT OF THE GILEAD SCIENCES, INC. 2004 EQUITY INCENTIVE PLAN.	Management	For	For
4.	ADVISORY VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	For	For
5.	ADVISORY VOTE AS TO THE FREQUENCY OF FUTURE ADVISORY SHAREHOLDER VOTES ON EXECUTIVE COMPENSATION.	Management	1 Year	For
6.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOARD TAKE STEPS TO PERMIT STOCKHOLDER ACTION BY WRITTEN CONSENT.	Shareholder	Against	For
7.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOARD ADOPT A POLICY THAT THE CHAIRMAN OF THE BOARD OF DIRECTORS BE AN INDEPENDENT DIRECTOR.	Shareholder	Against	For



**IDEXX LABORATORIES, INC.**

<b>Security</b>	45168D104	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	IDXX	<b>Meeting Date</b>	03-May-2017
<b>Record Date</b>	10-Mar-2017		

<b>Item</b>	<b>Proposal</b>	<b>Proposed by</b>	<b>Vote</b>	<b>For/Against Mgmt</b>
1A.	ELECTION OF DIRECTOR: REBECCA M. HENDERSON, PHD	Management	For	For
1B.	ELECTION OF DIRECTOR: LAWRENCE D. KINGSLEY	Management	For	For
1C.	ELECTION OF DIRECTOR: SOPHIE V. VANDEBROEK, PHD	Management	For	For
2.	RATIFICATION OF APPOINTMENT OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM. TO RATIFY THE SELECTION OF PRICEWATERHOUSECOOPERS LLP AS THE COMPANY S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE CURRENT FISCAL YEAR (PROPOSAL TWO).	Management	For	For
3.	ADVISORY VOTE ON EXECUTIVE COMPENSATION. TO APPROVE A NONBINDING ADVISORY RESOLUTION ON THE COMPANY S EXECUTIVE COMPENSATION (PROPOSAL THREE).	Management	For	For
4.	ADVISORY VOTE ON THE FREQUENCY OF ADVISORY VOTES ON EXECUTIVE COMPENSATION. TO RECOMMEND, BY NONBINDING ADVISORY VOTE, THE FREQUENCY OF FUTURE ADVISORY VOTES ON THE COMPANY S EXECUTIVE COMPENSATION (PROPOSAL FOUR).	Management	1 Year	For

**ILLUMINA, INC.**

<b>Security</b>	452327109	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	ILMN	<b>Meeting Date</b>	30-May-2017
<b>Record Date</b>	07-Apr-2017		

<b>Item</b>	<b>Proposal</b>	<b>Proposed by</b>	<b>Vote</b>	<b>For/Against Mgmt</b>
1A.	ELECTION OF DIRECTOR: CAROLINE D. DORSA	Management	For	For
1B.	ELECTION OF DIRECTOR: ROBERT S. EPSTEIN, M.D.	Management	For	For
1C.	ELECTION OF DIRECTOR: PHILIP W. SCHILLER	Management	For	For
2.	TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.		Management	For	For

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	TO APPROVE, ON AN ADVISORY BASIS, THE COMPENSATION OF THE NAMED EXECUTIVE OFFICERS AS DISCLOSED IN THE PROXY STATEMENT.			
4.	TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF EXECUTIVE COMPENSATION VOTES.	Management	1 Year	For
5.	TO APPROVE AN AMENDMENT TO OUR CERTIFICATE OF INCORPORATION TO REMOVE CERTAIN SUPERMAJORITY VOTING REQUIREMENTS AS DISCLOSED IN THE PROXY STATEMENT.	Management	For	For

**ILLUMINOSS MEDICAL, INC.**

<b>Security</b>	N/A	<b>Meeting Type</b>	Written Consent
<b>Ticker Symbol</b>	N/A	<b>Meeting Date</b>	28-Mar-2017
<b>Record Date</b>	N/A		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	CONVERTIBLE NOTE FINANCING	Management	For	For
2.	ANTI-DILUTION	Management	For	For
3.	GENERAL RESOLUTIONS	Management	For	For



## IMPAX LABORATORIES, INC.

<b>Security</b>	45256B101	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	IPXL	<b>Meeting Date</b>	16-May-2017
<b>Record Date</b>	27-Mar-2017		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1A.	ELECTION OF DIRECTOR: LESLIE Z. BENET, PH.D.	Management	For	For
1B.	ELECTION OF DIRECTOR: RICHARD A. BIERLY	Management	For	For
1C.	ELECTION OF DIRECTOR: PAUL M. BISARO	Management	For	For
1D.	ELECTION OF DIRECTOR: J. KEVIN BUCHI	Management	For	For
1E.	ELECTION OF DIRECTOR: ROBERT L. BURR	Management	For	For
1F.	ELECTION OF DIRECTOR: ALLEN CHAO, PH.D.	Management	For	For
1G.	ELECTION OF DIRECTOR: MARY K. PENDERGAST, J.D.	Management	For	For
1H.	ELECTION OF DIRECTOR: PETER R. TERRERI	Management	For	For
1I.	ELECTION OF DIRECTOR: JANET S. VERGIS	Management	For	For
2.	TO APPROVE THE A&R OF OUR NON-QUALIFIED ESPP ...(DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL)	Management	For	For
3.	TO APPROVE THE FOURTH A&R OF OUR 2002 EQUITY INCENTIVE PLAN ...(DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL)	Management	For	For
4.	TO APPROVE, BY NON-BINDING VOTE, NAMED EXECUTIVE OFFICER COMPENSATION.	Management	For	For
5.	TO APPROVE, BY NON-BINDING VOTE, THE FREQUENCY OF NAMED EXECUTIVE OFFICER COMPENSATION VOTES.	Management	1 Year	For
6.	TO RATIFY THE APPOINTMENT OF KPMG LLP AS OUR INDEPENDENT ...(DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL)	Management	For	For

## INCYTE CORPORATION

<b>Security</b>	45337C102	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	INCY	<b>Meeting Date</b>	26-May-2017
<b>Record Date</b>	07-Apr-2017		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	DIRECTOR	Management		
	1 JULIAN C. BAKER		For	For
	2 JEAN-JACQUES BIENAIME		For	For
	3 PAUL A. BROOKE		For	For
	4 PAUL J. CLANCY		For	For
	5 WENDY L. DIXON		For	For
	6 PAUL A. FRIEDMAN		For	For

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	7	HERVE HOPPENOT		For	For
2.		TO APPROVE, ON A NON-BINDING, ADVISORY BASIS, THE COMPENSATION OF THE COMPANY S NAMED EXECUTIVE OFFICERS.	Management	For	For
3.		TO APPROVE, ON A NON-BINDING, ADVISORY BASIS, THE FREQUENCY OF FUTURE NON-BINDING ADVISORY STOCKHOLDER VOTES ON THE COMPENSATION OF THE COMPANY S NAMED EXECUTIVE OFFICERS.	Management	1 Year	For
4.		TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS THE COMPANY S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017.	Management	For	For

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## INNOVIVA INC

<b>Security</b>	45781M101	<b>Meeting Type</b>	Contested-Annual
<b>Ticker Symbol</b>	INVA	<b>Meeting Date</b>	20-Apr-2017
<b>Record Date</b>	24-Feb-2017		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	DIRECTOR	Management		
	1 MICHAEL W. AGUIAR		For	For
	2 BARBARA DUNCAN		For	For
	3 CATHERINE J. FRIEDMAN		For	For
	4 PATRICK G. LEPORE		For	For
	5 PAUL A. PEPE		For	For
	6 JAMES L. TYREE		For	For
	7 WILLIAM H. WALTRIP		For	For
2.	APPROVE AN ADVISORY NON-BINDING RESOLUTION REGARDING EXECUTIVE COMPENSATION.	Management	For	For
3.	APPROVE AN ADVISORY NON-BINDING RESOLUTION ON THE FREQUENCY OF HOLDING AN ADVISORY VOTE ON EXECUTIVE COMPENSATION.	Management	1 Year	For
4.	RATIFY THE SELECTION BY THE AUDIT COMMITTEE OF THE BOARD OF DIRECTORS FOR ERNST & YOUNG LLP AS THE COMPANY S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For
5.	VOTE ON A STOCKHOLDER PROPOSAL, IF PROPERLY PRESENTED AT THE ANNUAL MEETING, TO REPEAL CERTAIN PROVISIONS OF THE COMPANY S BYLAWS.	Shareholder	For	Against

## ISHARES TRUST

<b>Security</b>	464287556	<b>Meeting Type</b>	Special
<b>Ticker Symbol</b>	IBB	<b>Meeting Date</b>	19-Jun-2017
<b>Record Date</b>	02-May-2017		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	DIRECTOR	Management		
	1 JANE D. CARLIN		For	For
	2 RICHARD L. FAGNANI		For	For
	3 DREW E. LAWTON		For	For
	4 MADHAV V. RAJAN		For	For
	5 MARK WIEDMAN		For	For



## JAZZ PHARMACEUTICALS PLC

<b>Security Ticker Symbol Record Date</b>	G50871105 JAZZ 07-Jun-2016	<b>Meeting Type Meeting Date</b>	Annual 04-Aug-2016
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Item	Proposal	Proposed by	Vote	For/Against Mgmt
1A.	ELECTION OF DIRECTOR: PAUL L. BERNS	Management	For	For
1B.	ELECTION OF DIRECTOR: PATRICK G. ENRIGHT	Management	For	For
1C.	ELECTION OF DIRECTOR: SEAMUS MULLIGAN	Management	For	For
1D.	ELECTION OF DIRECTOR: NORBERT G. RIEDEL, PH.D.	Management	For	For
2.	TO RATIFY, ON A NON-BINDING ADVISORY BASIS, THE APPOINTMENT OF KPMG, DUBLIN AS THE INDEPENDENT AUDITORS OF JAZZ PHARMACEUTICALS PLC FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016 AND TO AUTHORIZE, IN A BINDING VOTE, THE BOARD OF DIRECTORS, ACTING THROUGH THE AUDIT COMMITTEE, TO DETERMINE THE AUDITORS REMUNERATION.	Management	For	For
3.	TO APPROVE, ON A NON-BINDING ADVISORY BASIS, THE COMPENSATION OF JAZZ PHARMACEUTICALS PLC S NAMED EXECUTIVE OFFICERS AS DISCLOSED IN THE PROXY STATEMENT.	Management	For	For
4A.	TO APPROVE AMENDMENTS TO JAZZ PHARMACEUTICALS PLC S MEMORANDUM OF ASSOCIATION TO MAKE CERTAIN ADMINISTRATIVE ADJUSTMENTS TO ADDRESS THE ENACTMENT OF THE IRISH COMPANIES ACT 2014 AND A MINOR HOUSEKEEPING MATTER.	Management	For	For
4B.	TO APPROVE AMENDMENTS TO JAZZ PHARMACEUTICALS PLC S ARTICLES OF ASSOCIATION TO MAKE CERTAIN ADMINISTRATIVE ADJUSTMENTS TO ADDRESS THE ENACTMENT OF THE IRISH COMPANIES ACT 2014 AND CERTAIN MINOR HOUSEKEEPING MATTERS.	Management	For	For
5.	TO AUTHORIZE JAZZ PHARMACEUTICALS PLC AND/OR ANY SUBSIDIARY OF JAZZ PHARMACEUTICALS PLC TO MAKE OPEN MARKET PURCHASES OF JAZZ PHARMACEUTICALS PLC S ORDINARY SHARES.	Management	For	For
6.	TO RENEW THE BOARD OF DIRECTORS EXISTING AUTHORITY UNDER IRISH LAW TO ALLOT AND ISSUE ORDINARY SHARES.	Management	For	For
7.	TO RENEW THE BOARD OF DIRECTORS EXISTING AUTHORITY UNDER IRISH LAW TO ALLOT AND ISSUE ORDINARY SHARES FOR CASH WITHOUT FIRST OFFERING THOSE ORDINARY SHARES TO EXISTING SHAREHOLDERS PURSUANT TO THE STATUTORY PRE-EMPTION RIGHT THAT WOULD OTHERWISE APPLY.	Management	For	For

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8.	TO APPROVE ANY MOTION TO ADJOURN THE ANNUAL MEETING, OR ANY ADJOURNMENTS THEREOF, TO ANOTHER TIME AND PLACE TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES AT THE TIME OF THE ANNUAL MEETING TO APPROVE ANY OR ALL OF PROPOSALS 4A, 4B AND/OR 7.	Management	For	For
9.	TO APPROVE AN AMENDMENT AND RESTATEMENT OF JAZZ PHARMACEUTICALS PLC S 2011 EQUITY INCENTIVE PLAN IN ORDER TO RENEW JAZZ PHARMACEUTICALS PLC S ABILITY TO GRANT AWARDS THEREUNDER THAT MAY QUALIFY AS PERFORMANCE-BASED COMPENSATION UNDER SECTION 162(M) OF THE U.S. INTERNAL REVENUE CODE.	Management	For	For
10.	TO APPROVE AN AMENDMENT AND RESTATEMENT OF JAZZ PHARMACEUTICALS PLC S AMENDED AND RESTATED 2007 NON-EMPLOYEE DIRECTORS STOCK OPTION PLAN IN ORDER TO (I) EXPAND THE TYPES OF STOCK AWARDS THAT MAY BE GRANTED THEREUNDER TO JAZZ PHARMACEUTICALS PLC S NON-EMPLOYEE DIRECTORS AND (II) ELIMINATE THE FINAL AUTOMATIC ANNUAL INCREASE TO THE SHARE RESERVE THAT IS OTHERWISE SCHEDULED TO OCCUR IN 2017 PURSUANT TO THE EVERGREEN PROVISION INCLUDED THEREIN.	Management	For	For

## JAZZ PHARMACEUTICALS PLC

<b>Security</b>	G50871105	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	JAZZ	<b>Meeting Date</b>	03-Aug-2017
<b>Record Date</b>	07-Jun-2017		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1A.	ELECTION OF DIRECTOR: BRUCE C. COZADD	Management	For	For
1B.	ELECTION OF DIRECTOR: HEATHER ANN MCSHARRY	Management	For	For
1C.	ELECTION OF DIRECTOR: RICK E WINNINGHAM	Management	For	For
2.	TO RATIFY, ON A NON-BINDING ADVISORY BASIS, THE APPOINTMENT OF KPMG, DUBLIN AS THE INDEPENDENT AUDITORS OF JAZZ PHARMACEUTICALS PLC FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017 AND TO AUTHORIZE, IN A BINDING VOTE, THE BOARD OF DIRECTORS, ACTING THROUGH THE AUDIT COMMITTEE, TO DETERMINE THE AUDITORS REMUNERATION.	Management	For	For
3.	TO APPROVE, ON A NON-BINDING ADVISORY BASIS, THE COMPENSATION OF JAZZ PHARMACEUTICALS PLC S NAMED EXECUTIVE OFFICERS AS DISCLOSED IN THE PROXY STATEMENT.	Management	For	For
4.	TO AUTHORIZE JAZZ PHARMACEUTICALS PLC AND/OR ANY SUBSIDIARY OF JAZZ PHARMACEUTICALS PLC TO MAKE OPEN MARKET PURCHASES OF JAZZ PHARMACEUTICALS PLC S ORDINARY SHARES.	Management	For	For

## MCKESSON CORPORATION

<b>Security</b>	58155Q103	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	MCK	<b>Meeting Date</b>	27-Jul-2016
<b>Record Date</b>	31-May-2016		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1A.	ELECTION OF DIRECTOR: ANDY D. BRYANT	Management	For	For
1B.	ELECTION OF DIRECTOR: WAYNE A. BUDD	Management	For	For
1C.	ELECTION OF DIRECTOR: N. ANTHONY COLES, M.D.	Management	For	For
1D.	ELECTION OF DIRECTOR: JOHN H. HAMMERGREN	Management	For	For
1E.	ELECTION OF DIRECTOR: M. CHRISTINE JACOBS	Management	For	For
1F.	ELECTION OF DIRECTOR: DONALD R. KNAUSS	Management	For	For
1G.	ELECTION OF DIRECTOR: MARIE L. KNOWLES	Management	For	For
1H.	ELECTION OF DIRECTOR: EDWARD A. MUELLER	Management	For	For
1I.	ELECTION OF DIRECTOR: SUSAN R. SALKA	Management	For	For

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2.	RATIFICATION OF THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS THE COMPANY S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING MARCH 31, 2017.	Management	For	For
3.	ADVISORY VOTE ON EXECUTIVE COMPENSATION.	Management	For	For
4.	SHAREHOLDER PROPOSAL ON ACCELERATED VESTING OF EQUITY AWARDS.	Shareholder	Against	For
5.	SHAREHOLDER PROPOSAL ON DISCLOSURE OF POLITICAL CONTRIBUTIONS AND EXPENDITURES.	Shareholder	Against	For

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## MEDIVATION, INC.

<b>Security</b>	58501N101	<b>Meeting Type</b>	Contested-Consent
<b>Ticker Symbol</b>	MDVN	<b>Meeting Date</b>	06-Jul-2016
<b>Record Date</b>	01-Jun-2016		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
01	THAT ANY CHANGES TO THE AMENDED AND RESTATED BYLAWS OF THE COMPANY FILED WITH THE SECURITIES AND EXCHANGE COMMISSION ON FEBRUARY 13, 2015 (THE MEDIVATION BYLAWS ) BE REPEALED; A FOR OR ABSTAIN VOTE IS A VOTE TO REVOKE CONSENT	Management	For	For
02	THAT SECTION 17(A) OF ARTICLE IV OF THE MEDIVATION BYLAWS BE AMENDED TO EXPRESSLY PROVIDE THAT ANY VACANCIES ON THE BOARD OF DIRECTORS OF MEDIVATION (THE BOARD ) MAY BE FILLED BY THE STOCKHOLDERS OF THE COMPANY AND THOSE VACANCIES ON THE BOARD RESULTING FROM A REMOVAL OF DIRECTORS BY THE STOCKHOLDERS SHALL BE FILLED EXCLUSIVELY BY THE STOCKHOLDERS. A FOR OR ABSTAIN VOTE IS A VOTE TO REVOKE CONSENT	Management	For	For
3A	REMOVAL OF DIRECTOR: KIM D. BLICKENSTAFF A FOR OR ABSTAIN VOTE IS A VOTE TO REVOKE CONSENT	Management	For	For
3B	REMOVAL OF DIRECTOR: KATHRYN E. FALBERG A FOR OR ABSTAIN VOTE IS A VOTE TO REVOKE CONSENT	Management	For	For
3C	REMOVAL OF DIRECTOR: DAVID T. HUNG A FOR OR ABSTAIN VOTE IS A VOTE TO REVOKE CONSENT	Management	For	For
3D	REMOVAL OF DIRECTOR: MICHAEL L. KING A FOR OR ABSTAIN VOTE IS A VOTE TO REVOKE CONSENT	Management	For	For
3E	REMOVAL OF DIRECTOR: C. PATRICK MACHADO A FOR OR ABSTAIN VOTE IS A VOTE TO REVOKE CONSENT	Management	For	For
3F	REMOVAL OF DIRECTOR: DAWN SVORONOS A FOR OR ABSTAIN VOTE IS A VOTE TO REVOKE CONSENT	Management	For	For
3G	REMOVAL OF DIRECTOR: W. ANTHONY VERNON A FOR OR ABSTAIN VOTE IS A VOTE TO REVOKE CONSENT	Management	For	For
3H	REMOVAL OF DIRECTOR: WENDY L. YARNO A FOR OR ABSTAIN VOTE IS A VOTE TO REVOKE CONSENT	Management	For	For
4A	ELECTION OF DIRECTOR: MICHAEL E. CAMPBELL A FOR OR ABSTAIN VOTE IS A VOTE TO REVOKE CONSENT	Management	For	For
4B	ELECTION OF DIRECTOR: BARBARA DEPTULA A FOR OR ABSTAIN VOTE IS A VOTE TO REVOKE CONSENT	Management	For	For

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4C	ELECTION OF DIRECTOR: WENDY E. LANE A FOR OR ABSTAIN VOTE IS A VOTE TO REVOKE CONSENT	Management	For	For
4D	ELECTION OF DIRECTOR: RONALD S. ROLFE A FOR OR ABSTAIN VOTE IS A VOTE TO REVOKE CONSENT	Management	For	For
4E	ELECTION OF DIRECTOR: STEVEN J. SHULMAN A FOR OR ABSTAIN VOTE IS A VOTE TO REVOKE CONSENT	Management	For	For
4F	ELECTION OF DIRECTOR: CHARLES P. SLACIK A FOR OR ABSTAIN VOTE IS A VOTE TO REVOKE CONSENT	Management	For	For
4G	ELECTION OF DIRECTOR: JAMES L. TYREE A FOR OR ABSTAIN VOTE IS A VOTE TO REVOKE CONSENT	Management	For	For
4H	ELECTION OF DIRECTOR: DAVID A. WILSON A FOR OR ABSTAIN VOTE IS A VOTE TO REVOKE CONSENT	Management	For	For

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## MERCK &amp; CO., INC.

<b>Security</b>	58933Y105	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	MRK	<b>Meeting Date</b>	23-May-2017
<b>Record Date</b>	27-Mar-2017		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1A.	ELECTION OF DIRECTOR: LESLIE A. BRUN	Management	For	For
1B.	ELECTION OF DIRECTOR: THOMAS R. CECH	Management	For	For
1C.	ELECTION OF DIRECTOR: PAMELA J. CRAIG	Management	For	For
1D.	ELECTION OF DIRECTOR: KENNETH C. FRAZIER	Management	For	For
1E.	ELECTION OF DIRECTOR: THOMAS H. GLOCER	Management	For	For
1F.	ELECTION OF DIRECTOR: ROCHELLE B. LAZARUS	Management	For	For
1G.	ELECTION OF DIRECTOR: JOHN H. NOSEWORTHY	Management	For	For
1H.	ELECTION OF DIRECTOR: CARLOS E. REPRESAS	Management	For	For
1I.	ELECTION OF DIRECTOR: PAUL B. ROTHMAN	Management	For	For
1J.	ELECTION OF DIRECTOR: PATRICIA F. RUSSO	Management	For	For
1K.	ELECTION OF DIRECTOR: CRAIG B. THOMPSON	Management	For	For
1L.	ELECTION OF DIRECTOR: WENDELL P. WEEKS	Management	For	For
1M.	ELECTION OF DIRECTOR: PETER C. WENDELL	Management	For	For
2.	NON-BINDING ADVISORY VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	For	For
3.	NON-BINDING ADVISORY VOTE ON THE FREQUENCY OF FUTURE VOTES TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	1 Year	For
4.	RATIFICATION OF THE APPOINTMENT OF THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017.	Management	For	For
5.	SHAREHOLDER PROPOSAL REQUESTING AN INDEPENDENT BOARD CHAIRMAN.	Shareholder	Against	For
6.	SHAREHOLDER PROPOSAL REQUESTING IMPLEMENTATION OF A SET OF EMPLOYEE PRACTICES IN ISRAEL/PALESTINE.	Shareholder	Against	For
7.	SHAREHOLDER PROPOSAL REQUESTING A REPORT ON CONDUCTING BUSINESS IN CONFLICT-AFFECTED AREAS.	Shareholder	Against	For
8.	SHAREHOLDER PROPOSAL REQUESTING A REPORT ON BOARD OVERSIGHT OF PRODUCT SAFETY AND QUALITY.	Shareholder	Against	For

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MERUS N.V.

<b>Security Ticker Symbol Record Date</b>	N5749R100 MRUS 26-Apr-2017	<b>Meeting Type Meeting Date</b>	Annual 24-May-2017
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Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	ADOPTION OF THE ANNUAL ACCOUNTS OVER THE FINANCIAL YEAR 2016	Management	For	For
2.	APPOINTMENT OF THE EXTERNAL AUDITOR FOR THE FINANCIAL YEAR 2017	Management	For	For
3.	RELEASE OF THE MANAGEMENT DIRECTORS FROM LIABILITY FOR THE EXERCISE OF THEIR DUTIES DURING THE FINANCIAL YEAR 2016	Management	For	For
4.	RELEASE OF THE SUPERVISORY DIRECTORS FROM LIABILITY FOR THE EXERCISE OF THEIR DUTIES DURING THE FINANCIAL YEAR 2016	Management	For	For
5.	(I) AMENDMENT OF THE COMPANY S ARTICLES OF ASSOCIATION, (II) AUTHORIZATION TO IMPLEMENT SUCH AMENDMENT AND (III) DESIGNATION OF MANAGING AND SUPERVISORY DIRECTORS AS EXECUTIVE AND NON-EXECUTIVE DIRECTORS	Management	For	For
6.	REAPPOINTMENT OF DR. W. BERTHOLD, PH.D. AND DESIGNATION AS NON-EXECUTIVE DIRECTOR	Management	For	For
7.	REAPPOINTMENT OF DR. J.P. DE KONING, PH.D. AND DESIGNATION AS NON-EXECUTIVE DIRECTOR	Management	For	For
8.	AMENDMENT OF THE COMPANY S COMPENSATION POLICY	Management	For	For
9.	AMENDMENT OF THE COMPANY S SUPERVISORY BOARD MEMBER COMPENSATION PROGRAM	Management	For	For
10.	APPROVAL OF THE INCREASE OF THE GRANT DATE FAIR VALUE OF EQUITY AWARDS UNDER THE COMPANY S SUPERVISORY BOARD MEMBER COMPENSATION PROGRAM	Management	For	For
11.	GRANTING OF EQUITY COMPENSATION TO MR. M.T. IWICKI	Management	For	For
12.	GRANTING OF EQUITY COMPENSATION TO DR. W. BERTHOLD, PH.D.	Management	For	For
13.	GRANTING OF EQUITY COMPENSATION TO MR. L.M.S. CARNOT	Management	For	For
14.	GRANTING OF EQUITY COMPENSATION TO DR. J.P. DE KONING, PH.D.	Management	For	For
15.	GRANTING OF EQUITY COMPENSATION TO DR. A. MEHRA, M.D.	Management	For	For
16.	GRANTING OF EQUITY COMPENSATION TO MR. G.D. PERRY	Management	For	For
17.	APPROVAL OF AMENDMENT TO AWARDS GRANTED UNDER THE COMPANY S 2010 EMPLOYEE OPTION PLAN	Management	For	For
18.	GRANTING AUTHORIZATION OF THE MANAGEMENT BOARD TO ISSUE SHARES AND TO	Management	For	For

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GRANT RIGHTS TO SUBSCRIBE FOR SHARES				
19.	GRANTING AUTHORIZATION OF THE MANAGEMENT BOARD TO LIMIT OR EXCLUDE PRE-EMPTION RIGHTS	Management	For	For
20.	GRANTING AUTHORIZATION OF THE MANAGEMENT BOARD TO ACQUIRE SHARES IN THE COMPANY S CAPITAL	Management	For	For

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**MOLINA HEALTHCARE, INC.**

<b>Security</b>	60855R100	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	MOH	<b>Meeting Date</b>	10-May-2017
<b>Record Date</b>	09-Mar-2017		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1A.	ELECTION OF DIRECTOR: DR. J. MARIO MOLINA	Management	For	For
1B.	ELECTION OF DIRECTOR: RONNA E. ROMNEY	Management	For	For
1C.	ELECTION OF DIRECTOR: DALE B. WOLF	Management	For	For
2.	TO CONSIDER AND APPROVE, ON A NON-BINDING, ADVISORY BASIS, THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	For	For
3.	TO CONDUCT AN ADVISORY VOTE ON THE FREQUENCY OF A STOCKHOLDER VOTE ON THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	1 Year	For
4.	TO APPROVE AN AMENDMENT AND RESTATEMENT OF THE MOLINA HEALTHCARE, INC. 2011 EQUITY INCENTIVE PLAN (THE EQUITY INCENTIVE PLAN ) AND RE-APPROVE THE MATERIAL TERMS OF THE PERFORMANCE GOALS FOR SECTION 162(M)(1) AWARDS UNDER THE EQUITY INCENTIVE PLAN.	Management	For	For
5.	TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017.	Management	For	For

**MYLAN N.V.**

<b>Security</b>	N59465109	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	MYL	<b>Meeting Date</b>	22-Jun-2017
<b>Record Date</b>	25-May-2017		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1A.	ELECTION OF DIRECTOR: HEATHER BRESCH	Management	Against	Against
1B.	ELECTION OF DIRECTOR: WENDY CAMERON	Management	Against	Against
1C.	ELECTION OF DIRECTOR: HON. ROBERT J. CINDRICH	Management	For	For
1D.	ELECTION OF DIRECTOR: ROBERT J. COURY	Management	Against	Against
1E.	ELECTION OF DIRECTOR: JOELLEN LYONS DILLON	Management	For	For
1F.	ELECTION OF DIRECTOR: NEIL DIMICK, C.P.A.	Management	Against	Against
1G.	ELECTION OF DIRECTOR: MELINA HIGGINS	Management	For	For
1H.	ELECTION OF DIRECTOR: RAJIV MALIK	Management	For	For
1I.	ELECTION OF DIRECTOR: MARK W. PARRISH	Management	Against	Against
1J.		Management	For	For

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ELECTION OF DIRECTOR: RANDALL L. (PETE)  
VANDERVEEN, PH.D., R.PH.

1K.	ELECTION OF DIRECTOR: SJOERD S. VOLLEBREGT	Management	For	For
2.	ADOPTION OF THE DUTCH ANNUAL ACCOUNTS FOR FISCAL YEAR 2016	Management	For	For
3.	RATIFICATION OF THE SELECTION OF DELOITTE & TOUCHE LLP AS THE COMPANY S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL YEAR 2017	Management	For	For
4.	INSTRUCTION TO DELOITTE ACCOUNTANTS B.V. FOR THE AUDIT OF THE COMPANY S DUTCH STATUTORY ANNUAL ACCOUNTS FOR FISCAL YEAR 2017	Management	For	For
5.	APPROVAL, ON AN ADVISORY BASIS, OF THE COMPENSATION OF THE NAMED EXECUTIVE OFFICERS OF THE COMPANY (THE SAY-ON-PAY VOTE )	Management	Against	Against
6.	ADVISORY VOTE ON THE FREQUENCY OF THE SAY-ON-PAY VOTE	Management	1 Year	For
7.	AUTHORIZATION OF THE MYLAN BOARD TO ACQUIRE ORDINARY SHARES AND PREFERRED SHARES IN THE CAPITAL OF THE COMPANY	Management	For	For

## NATERA, INC.

<b>Security</b>	632307104	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	NTRA	<b>Meeting Date</b>	23-May-2017
<b>Record Date</b>	31-Mar-2017		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	DIRECTOR	Management		
	1 HERM ROSENMAN		For	For
	2 JOHN STEUART		For	For
	3 JONATHAN SHEENA		For	For
2.	PROPOSAL TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For

## NEUROCRINE BIOSCIENCES, INC.

<b>Security</b>	64125C109	<b>Meeting Type</b>	Annual
<b>Ticker Symbol</b>	NBIX	<b>Meeting Date</b>	22-May-2017
<b>Record Date</b>	31-Mar-2017		

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	DIRECTOR	Management		
	1 KEVIN C. GORMAN, PH.D.		For	For
	2 GARY A. LYONS		For	For
	3 A W SANDROCK JR MD, PHD		For	For
2.	ADVISORY VOTE TO APPROVE THE COMPENSATION PAID TO THE COMPANY S NAMED EXECUTIVE OFFICERS.	Management	For	For
3.	AN ADVISORY VOTE ON THE FREQUENCY OF ADVISORY VOTING ON THE COMPENSATION PAID TO THE COMPANY S NAMED EXECUTIVE OFFICERS.	Management	1 Year	For
4.	TO APPROVE AN AMENDMENT TO THE COMPANY S 2011 EQUITY INCENTIVE PLAN TO INCREASE THE NUMBER OF SHARES OF COMMON STOCK RESERVED FOR ISSUANCE THEREUNDER FROM 15,500,000 TO 17,000,000.	Management	For	For
5.	TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS THE COMPANY S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For

## NEUROVANCE, INC.



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<b>Security</b>	N/A	<b>Meeting Type</b>	Written Consent
<b>Ticker Symbol</b>	N/A	<b>Meeting Date</b>	05-Aug-2016
<b>Record Date</b>	N/A		

<b>Item</b>	<b>Proposal</b>	<b>Proposed by</b>	<b>Vote</b>	<b>For/Against Mgmt</b>
1.	ENGAGEMENT LETTER WITH JEFFERIES	Management	For	For

NEUROVANCE, INC.

<b>Security</b>	N/A	<b>Meeting Type</b>	Written Consent
<b>Ticker Symbol</b>	N/A	<b>Meeting Date</b>	08-Aug-2016
<b>Record Date</b>	N/A		

<b>Item</b>	<b>Proposal</b>	<b>Proposed by</b>	<b>Vote</b>	<b>For/Against Mgmt</b>
1.	BRIDGE FINANCING	Management	For	For

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## NEUROVANCE, INC.

<b>Security</b>	N/A	<b>Meeting Type</b>	Written Consent
<b>Ticker Symbol</b>	N/A	<b>Meeting Date</b>	17-Nov-2016
<b>Record Date</b>	N/A		

<b>Item</b>	<b>Proposal</b>	<b>Proposed by</b>	<b>Vote</b>	<b>For/Against Mgmt</b>
1.	BRIDGE FINANCING	Management	For	For

## NEUROVANCE, INC.

<b>Security</b>	N/A	<b>Meeting Type</b>	Written Consent
<b>Ticker Symbol</b>	N/A	<b>Meeting Date</b>	16-Dec-2016
<b>Record Date</b>	N/A		

<b>Item</b>	<b>Proposal</b>	<b>Proposed by</b>	<b>Vote</b>	<b>For/Against Mgmt</b>
1.	BRIDGE FINANCING	Management	For	For
2.	SERVICE PROVIDER CONVERTIBLE NOTE	Management	For	For
3.	INTERCOMPANY ADJUSTMENTS	Management	For	For

## NEUROVANCE, INC.

<b>Security</b>	N/A	<b>Meeting Type</b>	Written Consent
<b>Ticker Symbol</b>	N/A	<b>Meeting Date</b>	16-Dec-2016
<b>Record Date</b>	N/A		

<b>Item</b>	<b>Proposal</b>	<b>Proposed by</b>	<b>Vote</b>	<b>For/Against Mgmt</b>
1.	EMPLOYMENT AND OPTION AGREEMENTS	Management	For	For
2.	AMENDING CHARTER AND INCENTIVE STOCK PLAN EXPANSION	Management	For	For
3.	ADDING MR. BRIAN GOFF TO BOARD OF DIRECTORS	Management	For	For
4.	AMENDING CHARTER AND INCENTIVE STOCK PLAN EXPANSION	Management	For	For
5.	GENERAL; IMPLEMENTATION	Management	For	For

## NEUROVANCE, INC.

<b>Security</b>	N/A	<b>Meeting Type</b>	Written Consent
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<b>Ticker Symbol</b>	N/A	<b>Meeting Date</b>	14-Feb-2017
<b>Record Date</b>	N/A		

<b>Item</b>	<b>Proposal</b>	<b>Proposed by</b>	<b>Vote</b>	<b>For/Against Mgmt</b>
1.	BRIDGE FINANCING	Management	For	For

NEUROVANCE, INC.

<b>Security</b>	N/A	<b>Meeting Type</b>	Written Consent
<b>Ticker Symbol</b>	N/A	<b>Meeting Date</b>	09-Mar-2017
<b>Record Date</b>	N/A		

<b>Item</b>	<b>Proposal</b>	<b>Proposed by</b>	<b>Vote</b>	<b>For/Against Mgmt</b>
1.	DECLARA			