

CLEAN DIESEL TECHNOLOGIES INC

Form 3

September 06, 2016

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Kanis S.A.

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

08/25/2016

3. Issuer Name and Ticker or Trading Symbol

CLEAN DIESEL TECHNOLOGIES INC [CDTI]

4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

____ Director ____X____ 10% Owner
____ Officer ____ Other
(give title below) (specify below)6. Individual or Joint/Group
Filing(Check Applicable Line)
X Form filed by One Reporting
Person
____ Form filed by More than One
Reporting Person235 OLD MARYLEBONE
ROAD

(Street)

LONDON, X0 NW1 5QT

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

4,962,908

D

X

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative
Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

Expiration Date Title

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	Date Exercisable			Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Warrant (right to buy)	06/30/2013	11/11/2019 ⁽¹⁾	Common Stock	5,000	\$ 8.75	D	Â
Warrant (right to buy)	08/16/2014	11/11/2019 ⁽¹⁾	Common Stock	1,000	\$ 8.75	D	Â
Warrant (right to buy)	06/30/2013	11/11/2019 ⁽¹⁾	Common Stock	9,000	\$ 8.75	D	Â
Warrant (right to buy)	06/30/2013	11/11/2019 ⁽¹⁾	Common Stock	18,800	\$ 6.25	D	Â
Warrant (right to buy)	06/30/2013	11/11/2019 ⁽¹⁾	Common Stock	16,000	\$ 8.75	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Kanis S.A. 235 OLD MARYLEBONE ROAD LONDON,Â X0Â NW1 5QT	Â	Â X	Â	Â

Signatures

/s/ Derek Gray, Chief Financial Officer, on behalf of Kanis S.A.

09/02/2016

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Expiration 11/11/19 or that date which is 30 days after CDTI gives notice to warrant holder that the market value of one share of CDTI
(1) common stock has exceeded 130% of the exercise price of the warrant for 10 consecutive days, commencing on or after the date exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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