CVC European Equity Partners IV D L P

Form 4 August 19, 2016

FORM 4

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

Form 5

obligations

(Print or Type Responses)

1. Name and Address of Reporting Person \* CVC European Equity Partners IV A LP

> (Last) (First)

(Middle)

2. Issuer Name and Ticker or Trading Symbol

Univar Inc. [UNVR]

3. Date of Earliest Transaction (Month/Day/Year) 08/18/2016

LIME GROVE HOUSE, GREEN STREET

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

Code V

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director \_ 10% Owner Other (specify Officer (give title

below)

Applicable Line)

Form filed by One Reporting Person X\_ Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

ST. HELIER, Y9 JE1 2ST

(City) (State) (Zip)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if (Month/Day/Year)

4. Securities Acquired (A) 3. Transactionr Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

Amount

5. Amount of Securities Owned Following Reported

6. Ownership Beneficially Form: Direct (D) or Indirect (I)

7. Nature of Indirect Beneficial Ownership (Instr. 4)

(A) Transaction(s) or (Instr. 3 and 4) (D) Price

Common

\$0.01 per share

1.Title of

Security

(Instr. 3)

Stock, par value

08/18/2016

S 20,527,358

\$ 19 3,785,855 D

D (1) (2) (3)

(Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

SEC 1474

#### Edgar Filing: CVC European Equity Partners IV D L P - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 2. 3. Transaction Date 3A. Deemed 4. Derivative Conversion (Month/Day/Year) Execution Date, if Transaction	5. 6. Date Exercisable and 7. Title and 8. Price of 9. Nowhumber Expiration Date Amount of Derivative Derivative
Security or Exercise any Code	of (Month/Day/Year) Underlying Security Security
(Instr. 3) Price of (Month/Day/Year) (Instr. 8)	Derivative Securities (Instr. 5) Bene
Derivative	Securities (Instr. 3 and 4) Own
Security	Acquired Follo
	(A) or Repo
	Disposed Tran
	of (D) (Inst
	(Instr. 3,
	4, and 5)
	Amount
	Date Expiration or Title Number
	Exercisable Date of
Code V	(A) (D) Shares

## **Reporting Owners**

Reporting Owner Name / Address		Relationships			
		10% Owner	Officer	Other	
CVC European Equity Partners IV A L P LIME GROVE HOUSE, GREEN STREET ST. HELIER, Y9 JE1 2ST		X			
CVC European Equity Partners IV B L P LIME GROVE HOUSE, GREEN STREET ST. HELIER, Y9 JE1 2ST		X			
CVC European Equity Partners IV C L P LIME GROVE HOUSE, GREEN STREET ST. HELIER, Y9 JE1 2ST		X			
CVC European Equity Partners IV D L P LIME GROVE HOUSE, GREEN STREET ST. HELIER, Y9 JE1 2ST		X			
CVC European Equity Partners IV (E) L.P. LIME GROVE HOUSE, GREEN STREET ST. HELIER, Y9 JE1 2ST		X			
CVC European Equity Partners Tandem Fund (A) L.P. LIME GROVE HOUSE, GREEN STREET ST. HELIER, Y9 JE1 2ST		X			
CVC European Equity Partners Tandem Fund (B) L.P. LIME GROVE HOUSE, GREEN STREET ST. HELIER, Y9 JE1 2ST		X			
CVC European Equity Partners Tandem Fund (C) L.P. LIME GROVE HOUSE, GREEN STREET ST. HELIER, Y9 JE1 2ST		X			

Reporting Owners 2

### **Signatures**

CVC European Equity Partners IV (A) L.P., by its general partner CVC European Equity IV (AB) Limited, by its director /s/ Carl John Hansen	
**Signature of Reporting Person	Date
CVC European Equity Partners IV (B) L.P., by its general partner CVC European Equity IV (AB) Limited, by its director /s/ Carl John Hansen	
**Signature of Reporting Person	Date
CVC European Equity Partners IV (C) L.P., by its general partner CVC European Equity IV (CDE) Limited, by its director /s/ Carl John Hansen	
**Signature of Reporting Person	Date
CVC European Equity Partners IV (D) L.P., by its general partner CVC European Equity IV (CDE) Limited, by its director /s/ Carl John Hansen	
**Signature of Reporting Person	Date
CVC European Equity Partners IV (E) L.P., by its general partner CVC European Equity IV (CDE) Limited, by its director /s/ Carl John Hansen	
**Signature of Reporting Person	Date
CVC European Equity Partners Tandem Fund (A) L.P., by its general partner CVC European Equity Tandem GP Limited, by its director /s/ Carl John Hansen	
**Signature of Reporting Person	Date
CVC European Equity Partners Tandem Fund (B) L.P., by its general partner CVC European Equity Tandem GP Limited, by its director /s/ Carl John Hansen	
**Signature of Reporting Person	Date
CVC European Equity Partners Tandem Fund (C) L.P., by its general partner CVC European Equity Tandem GP Limited, by its director /s/ Carl John Hansen	
**Signature of Reporting Person	Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The shares reported are directly owned by Univar N.V. The reporting persons (the "Limited Partnerships") collectively own all of the equity interests of Ulysses Participation S.a.r.l., which in connection with the transaction reported hereby ceased to own a majority of

- (1) each of two entities (Ulysses Luxembourg S.a.r.l. and Ulysses Finance S.a.r.l.) which own indirectly all of the equity interests of Univar N.V. As a result, the Limited Partnerships have ceased to beneficially own the shares owned by Univar N.V. Due to the limitations of the electronic filing system, Univar N.V. and the Ulysses entities are filing a separate Form 3.
- (2) Information with respect to each of the reporting persons is given solely by such reporting person, and no reporting person has responsibility for the accuracy or completeness of information supplied by another reporting person.
  - Each of the reporting persons (other than to the extent it directly holds securities reported herein) disclaims beneficial ownership of the securities held by the other reporting persons, except to the extent of such reporting person's pecuniary interest therein, and, pursuant to
- Rule 16a-1(a)(4) under the Securities Exchange Act of 1934 (the "Act"), each of the reporting persons (other than to the extent it directly holds securities reported herein) states that the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of all of the reported securities for purposes of Section 16 or for any other purpose. The filing of this Form 3 shall not be deemed an admission that the reporting persons are subject to Section 16 of the Act.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3