

OCCIDENTAL PETROLEUM CORP /DE/
Form 4
December 03, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
CHAZEN STEPHEN I

2. Issuer Name and Ticker or Trading Symbol
OCCIDENTAL PETROLEUM CORP /DE/ [OXY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
12/01/2015

Director 10% Owner
 Officer (give title below) Other (specify below)
President and CEO

OCCIDENTAL PETROLEUM CORPORATION, 5 GREENWAY PLAZA, STE. 110

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

HOUSTON, TX 77046

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	Price			
Common Stock	08/12/2015		G	V	45,000	D \$ 0	1,960,363	D	
Common Stock	11/03/2015		G	V	10,000	D \$ 0	1,950,363	D	
Common Stock	12/01/2015		S		2,500	D \$ 75.2 (1)	1,947,863	D	
Common Stock	12/03/2015		S		2,500	D \$ 74.61 (2)	1,946,761 (3)	D	

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shares sold at each separate price within the ranges set forth in footnote 1 of this Form 4. The sale reported was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on October 30, 2015.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$74.40 to \$74.84, inclusive. The reporting person undertakes to provide to Occidental Petroleum Corporation, any security holder of Occidental

- (2) Petroleum Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote 2 of this Form 4. The sale reported was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on October 30, 2015.
- (3) Includes 1,398 shares acquired between July 2015 and November 2015 through dividend reinvestment. Fractional shares have been rounded to the nearest whole number.
- (4) Based on a plan statement dated December 1, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.