Edgar Filing: New Mountain Finance Corp - Form 4

New Mountain Finance Corp Form 4 Γ

December 12	2, 2013									
FORM	ΙΔ							PPROVAL		
	Washington, D.C. 20549						OMB Number:	3235-0287		
Check th if no long	ger STATE	MENT O	F CHANGES IN BENEFICIAL OWNERSHIP OF				Expires:	January 31, 2005		
subject to Section 1 Form 4 c	16.			SECURITIES				average Irs per 0.5		
Form 5 obligatio may com <i>See</i> Instr 1(b).	$\frac{1}{1}$ section 1	7(a) of the	Public Ut	ility Hold	e Securities Excha ing Company Act Company Act of 1	of 1935 or Sectio	n			
Print or Type	Responses)									
1. Name and Address of Reporting Person <u>*</u> Cordova David M						5. Relationship of Issuer	5. Relationship of Reporting Person(s) to Issuer			
			New Mo [NMFC]		nance Corp	(Check all applicable)				
	(First) MOUNTAIN F TION, 787 SEV		3. Date of (Month/Da 12/11/20	-	insaction	Director X Officer (give below) CFC		6 Owner er (specify		
				Filed(Month/Day/Year)			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 			
NEW YOR	K, NY 10019					Form filed by M Person	More than One Ro	eporting		
(City)	(State)	(Zip)	Table	e I - Non-De	erivative Securities A	Acquired, Disposed of	f, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction E (Month/Day/Ye	ar) Executi any	emed on Date, if /Day/Year)	3. Transactic Code (Instr. 8)	4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	Beneficially	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership		

		((((Following Reported	(Instr. 4)	(Instr. 4)
					(A) or		Transaction(s) (Instr. 3 and 4)		
			Code V	Amount	(D)	Price	(insure and i)		
Common Stock	12/11/2013		Р	500	А	\$ 15	1,535.75	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	 6. Date Exercisable tionNumber Expiration Date of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) 		ate	Amou Under Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
Repo	rting O	wners		Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Relationships **Reporting Owner Name / Address** Director 10% Owner Officer Cordova David M C/O NEW MOUNTAIN FINANCE CORPORATION CFO and Treasurer **787 SEVENTH AVENUE** NEW YORK, NY 10019

Signatures

/s/ David M. 12/12/2013 Cordova

**Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Edgar Filing: New Mountain Finance Corp - Form 4

Other