# Edgar Filing: FINISAR CORP - Form 4

FINISAR C	CORP											
Form 4												
September												
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION										OMB APPROVAL		
Check t		STATES			a, D.C. 20549		ECO	MIMISSION	OMB Number:	3235-0287		
if no lor	laer								Expires:	January 31, 2005		
subject to Section 16. Form 4 or				NGES IN BENEFICIAL OWNERSHIP O SECURITIES					Estimated a burden hour response	average Irs per		
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940												
(Print or Type	Responses)											
JONES MORGAN M Symbol			Symbol					5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (	Middle)	3. Date of Earliest Transaction					(Check all applicable)				
(Month				h/Day/Year)				X_ Director 10% Owner Officer (give title Other (specify below) below)				
			nendment, Date Original Ionth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line)					
								X_Form filed by One Reporting Person Form filed by More than One Reporting				
WALTHA	M, MA 02451						Pe	erson		r • • • • • 8		
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivative Secu	rities	Acquii	ed, Disposed of,	or Beneficial	y Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deem Execution any (Month/D	Date, if	Code (Instr. 8)	4. Securities A poor Disposed of (Instr. 3, 4 and	(D) 5) (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	(D)	Price	(		See		
Common Stock	09/14/2009			J <u>(1)</u>	20,000,000	D	\$0	20,361,076	Ι	Footnote $(2)$		
Common Stock	09/14/2009			J <u>(3)</u>	833,333	D	\$0	848,377	Ι	See Footnote (4)		
Common Stock	09/14/2009			J <u>(5)</u>	39,227	А	\$0	39,227	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not

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#### required to respond unless the form displays a currently valid OMB control number.

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	Execution Date, if	4. Transactio		6. Date Exercised Expiration D	ate	7. Title Amour	nt of	8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	of Derivative Securities Acquired (A) or		I car)	Underl Securit (Instr. 3		Security (Instr. 5)	Secur Bene Owne Follo Repo
					Disposed of (D) (Instr. 3, 4, and 5)						Trans (Instr
				Colo V		Date Exercisable	Expiration Date	Title	Amount or Number of		
Dene	utius as O			Code V	(A) (D)				Shares		

# Reporting Owners

Reporting Owner Name / Address					
	Director	10% Owner	Officer	Other	
JONES MORGAN M C/O BATTERY VENTURES 930 WINTER STREET SUITE 2500 WALTHAM, MA 02451	х				
Signatures					

\*\*Signature of Reporting Person

/s/ Chris Schiavo Morgan Jones By: Chris Schiavo Authorized Signatory for Morgan 09/16/2009 Jones

**Explanation of Responses:** 

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Pro-rata distribution for no consideration in a transaction exempt under Rule 16a-9(a) from Battery Ventures VI, L.P. ("BV VI") to the (1) limited partners and the general partner of BV VI.

These shares are owned directly by BV VI. The sole general partner of BV VI is Battery Partners VI, LLC ("BP VI"). Mr. Jones is a (2) managing member of BP VI and in that capacity may be deemed to share voting and dispositive power for the shares held by BV VI. Mr. Jones disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein.

- Pro-rata distribution for no consideration in a transaction exempt under Rule 16a-9(a) from Battery Investment Partners VI, LLC ("BIP (3) VI") to the members of BIP VI.
- These shares are owned directly by BIP VI. Mr. Jones is a member of BIP VI. Mr. Jones disclaims beneficial ownership of these shares (4) except to the extent of his pecuniary interest therein.

(5)

Date

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Of the reported shares acquired by Mr. Jones pursuant to the pro-rata distributions of BP VI and BIP VI, 6,118 shares were distributed to Mr. Jones for no consideration in a transaction exempt under Rule 16a-9(a) from BP VI and 33,109 shares were distributed to Mr. Jones for no consideration in a transaction exempt under Rule 16a-9(a) from BIP VI.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.