### Edgar Filing: Rockwood Holdings, Inc. - Form 4

Rockwood Holdings, Inc. Form 4 December 16, 2008 FORM 4

if no longer

subject to

Section 16.

Form 4 or

Form 5

1(b).

per share

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB Washington, D.C. 20549 Number: Check this box

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

Diandan Thomas		Person <u>*</u> 2. Issuer Symbol	2. Issuer Name <b>and</b> Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer		
		Rockwo	od Holdi	ngs, Inc.	[ROC]	(Ch	eck all applicable	e)	
(Last)	(First) (N	fiddle) 3. Date of	Earliest Tr	ansaction					
		(Month/D	ay/Year)			Director	10%	b Owner	
C/O ROCKWOOD HOLDINGS, 12/12			008			X Officer (gi		er (specify	
INC., 100 C	VERLOOK CEN	TER				below) SVP, L	below) aw & Administra	ation	
(Street) 4. If Am			ndment, Da	te Original		6. Individual or Joint/Group Filing(Check			
		Filed(Mor	th/Day/Year	)		Applicable Line)			
							y One Reporting Pe		
PRINCETO	N, NJ 08540					Form filed by Person	More than One Re	eporting	
(City)	(State)	(Zip) Tabl	e I - Non-D	erivative S	ecurities A	cquired, Disposed	of, or Beneficial	lly Owned	
1.Title of	2. Transaction Date	A. Deemed	3.	4. Securit	ies Acquire	d 5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Year)	Execution Date, if	Transaction	on(A) or Di	sposed of	Securities	Form: Direct	Indirect	
(Instr. 3)		any	Code	(D)		Beneficially	(D) or	Beneficial	
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4	4 and 5)	Owned	Indirect (I)	Ownership	
						Following Reported	(Instr. 4)	(Instr. 4)	
					(A)	Transaction(s)			
					or	(Instr. 3 and 4)			
C			Code V	Amount	(D) Prio	e			
Common									
Stock, par value \$0.01	12/12/2008		A <u>(1)</u>	12,566	A \$0	33,904	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

**OMB APPROVAL** 

Expires:

response...

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

### Edgar Filing: Rockwood Holdings, Inc. - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (right to buy)	\$ 9.18	12/12/2008		А	47,691	(2)	12/12/2015	Common Stock, par value \$0.01 per share	47,691

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
F	Director	10% Owner	Officer	Other		
Riordan Thomas C/O ROCKWOOD HOLDINGS, INC. 100 OVERLOOK CENTER PRINCETON, NJ 08540			SVP, Law & Administration			
Signatures						

/s/ Thomas 12/16/2008 Riordan \*\*Signature of

Reporting Person

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v). \*
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units
- (2) These options vest in three equal annual installments beginning December 31, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.