#### Edgar Filing: FINISAR CORP - Form 3

FINISAR CORP Form 3 September 08, 2008

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*

JONES MORGAN M

(Last) (First) (Middle) 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

08/29/2008

FINISAR CORP [FNSR]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O BATTERY

1. Title of Security

(Instr. 4)

VENTURES, Â 20 WILLIAM

STREET, SUITE 200

(Street)

(Check all applicable) 10% Owner \_X\_ Director Officer

Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person

Form filed by More than One

Reporting Person

WELLESLEY. MAÂ 02481

(City) (State) (Zip) **Table I - Non-Derivative Securities Beneficially Owned** 

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

4. Nature of Indirect Beneficial Ownership

Direct (D) or Indirect (Instr. 5)

(I) (Instr. 5)

Common Stock 40,361,076

I See footnote (1)

Common Stock

1,681,710

Ι See footnote (2)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying

Conversion

Ownership

6. Nature of Indirect Beneficial Ownership

Derivative Security or Exercise Form of (Instr. 5)

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(Instr. 4) Price of Derivative Derivative Security: Date **Expiration Title** Amount or Security Direct (D) Exercisable Number of or Indirect Shares (I) (Instr. 5)

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

JONES MORGAN M

JONES MORGAN M C/O BATTERY VENTURES 20 WILLIAM STREET, SUITE 200 WELLESLEY, MAÂ 02481

X Â Â Â

# **Signatures**

/s/ Michelle Vicente-Surnip, attorney-in-fact

09/08/2008

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The securities are owned directly by Battery Ventures VI, L.P. The sole general partner of Battery Ventures VI, L.P. is Battery Partners VI, LLC. Mr. Jones is a managing member of Battery Partners VI, LLC and in that capacity may be deemed to share voting and dispositive power for the shares held by Battery Ventures VI, L.P. Mr. Jones disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein.
- (2) The securities are owned directly by Battery Investment Partners VI, LLC. Mr. Jones is a member of Battery Investment Partners VI, LLC. Mr. Jones disclaims beneficial ownership of these shares except to except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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