## Edgar Filing: FINISAR CORP - Form 4

| FINISAR C                                                    | ORP                                     |                                                                                                                                                                                                                                      |                                        |                                                                   |                                       |                                        |                                           |                                                                                                     |                                                                            |                                                                                       |  |  |
|--------------------------------------------------------------|-----------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-------------------------------------------------------------------|---------------------------------------|----------------------------------------|-------------------------------------------|-----------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------|---------------------------------------------------------------------------------------|--|--|
| September 3                                                  | 0, 2016                                 |                                                                                                                                                                                                                                      |                                        |                                                                   |                                       |                                        |                                           |                                                                                                     |                                                                            |                                                                                       |  |  |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION      |                                         |                                                                                                                                                                                                                                      |                                        |                                                                   |                                       |                                        |                                           | OMMISSION                                                                                           | OMB APPROVAL                                                               |                                                                                       |  |  |
|                                                              |                                         | STATES                                                                                                                                                                                                                               |                                        | shington                                                          |                                       |                                        |                                           |                                                                                                     | OMB<br>Number:                                                             | 3235-0287                                                                             |  |  |
| Check th<br>if no lon<br>subject t<br>Section<br>Form 4 c    | ger <b>STATEN</b><br>16.                | <b>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF</b><br><b>SECURITIES</b><br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section |                                        |                                                                   |                                       |                                        |                                           |                                                                                                     |                                                                            | Expires: January 31,<br>2005<br>Estimated average<br>burden hours per<br>response 0.5 |  |  |
| Form 5<br>obligation<br>may con<br><i>See</i> Instr<br>1(b). | tinue. Section 17(                      |                                                                                                                                                                                                                                      |                                        |                                                                   |                                       |                                        |                                           |                                                                                                     |                                                                            |                                                                                       |  |  |
| (Print or Type                                               | Responses)                              |                                                                                                                                                                                                                                      |                                        |                                                                   |                                       |                                        |                                           |                                                                                                     |                                                                            |                                                                                       |  |  |
|                                                              |                                         |                                                                                                                                                                                                                                      | 21 Issuer France and Frener of Frauing |                                                                   |                                       |                                        |                                           | 5. Relationship of Reporting Person(s) to Issuer                                                    |                                                                            |                                                                                       |  |  |
|                                                              |                                         |                                                                                                                                                                                                                                      |                                        |                                                                   |                                       |                                        |                                           | (Check all applicable)                                                                              |                                                                            |                                                                                       |  |  |
| (Mo                                                          |                                         |                                                                                                                                                                                                                                      | (Month/I                               | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>09/28/2016 |                                       |                                        |                                           | Director 10% Owner<br>X Officer (give title Other (specify<br>below) below)<br>GM & SVP Engineering |                                                                            |                                                                                       |  |  |
| (Street) 4. If An                                            |                                         |                                                                                                                                                                                                                                      |                                        | mendment, Date Original                                           |                                       |                                        | 6. Individual or Joint/Group Filing(Check |                                                                                                     |                                                                            |                                                                                       |  |  |
| SUNNYVA                                                      | ALE, CA 94089-1                         | 134                                                                                                                                                                                                                                  | Filed(Mo                               | onth/Day/Yea                                                      | r)                                    |                                        |                                           | Applicable Line)<br>_X_ Form filed by O<br>Form filed by Mo<br>Person                               |                                                                            |                                                                                       |  |  |
| (City)                                                       | (State)                                 | (Zip)                                                                                                                                                                                                                                | Tab                                    | le I - Non-l                                                      | Derivative                            | Secu                                   | rities Acqu                               | iired, Disposed of,                                                                                 | or Beneficial                                                              | ly Owned                                                                              |  |  |
| 1.Title of<br>Security<br>(Instr. 3)                         | 2. Transaction Date<br>(Month/Day/Year) |                                                                                                                                                                                                                                      | ed<br>Date, if                         | 3.<br>Transactic<br>Code<br>(Instr. 8)                            | 4. Securi<br>por Dispos<br>(Instr. 3, | ties A<br>sed of<br>4 and<br>(A)<br>or | cquired (A)<br>(D)<br>5)                  | · • ·                                                                                               | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)                     |  |  |
| Common<br>Stock                                              | 09/28/2016                              |                                                                                                                                                                                                                                      |                                        | Code V<br>I(1)                                                    | Amount 2,167                          | (D)<br>D                               | Price<br>\$<br>29.6941                    | 41 266                                                                                              | D                                                                          |                                                                                       |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5.<br>ofNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | Secur | int of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owna<br>Follo<br>Repo<br>Trans<br>(Instr |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|----------------------------------------|-------------------------------------------------------------------------------------------------------------------------|---------------------|--------------------|-------|----------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code V                                 | (A) (D)                                                                                                                 | Date<br>Exercisable | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of<br>Shares |                                                     |                                                                            |

## **Reporting Owners**

| <b>Reporting Owner Name / Address</b>                              | Relationships |           |                      |       |  |  |  |  |
|--------------------------------------------------------------------|---------------|-----------|----------------------|-------|--|--|--|--|
| r g                                                                | Director      | 10% Owner | Officer              | Other |  |  |  |  |
| Colyar Mark<br>1389 MOFFETT PARK DRIVE<br>SUNNYVALE, CA 94089-1134 |               |           | GM & SVP Engineering |       |  |  |  |  |
| Signatures                                                         |               |           |                      |       |  |  |  |  |
| /s/ Christopher Brown as<br>Attorney-in-fact for                   | 09/30/2016    |           |                      |       |  |  |  |  |
| **Signature of Reporting Person                                    |               | Γ         | Date                 |       |  |  |  |  |
| Explanation of Res                                                 | none          | 001       |                      |       |  |  |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Disposition of shares held in the Finisar Corporation 401(k) plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.