

FINISAR CORP  
Form 4  
September 16, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Young Joseph A

(Last) (First) (Middle)  
1389 MOFFETT PARK DRIVE  
(Street)

SUNNYVALE, CA 94089-1134

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
FINISAR CORP [FNSR]

3. Date of Earliest Transaction  
(Month/Day/Year)  
09/14/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)

EVP Global Operations

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount  | (D)  | Price   |
| Common Stock                    | 09/14/2016                           |  | M                              |   | 25,000  | A  | \$ 21.68  |
| Common Stock                    | 09/14/2016                           |  | S <sup>(1)</sup>               |   | 25,000  | D  | \$ 27.7529  |
| Common Stock                    | 09/14/2016                           |  | M                              |   | 54,892  | A  | \$ 3.36   |
| Common Stock                    | 09/14/2016                           |  | S <sup>(1)</sup>               |   | 13,000  | D  | \$ 27.7563  |
| Common Stock                    | 09/14/2016                           |  | S <sup>(1)</sup>               |   | 20,892  | D  | \$ 27.7524  |

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|              |            |                  |        |   |            |         |   |
|--------------|------------|------------------|--------|---|------------|---------|---|
| Common Stock | 09/14/2016 | S <sup>(1)</sup> | 21,000 | D | \$ 27.7528 | 220,832 | D |
| Common Stock | 09/14/2016 | M                | 23,431 | A | \$ 8.29    | 244,263 | D |
| Common Stock | 09/14/2016 | S <sup>(1)</sup> | 8,196  | D | \$ 27.754  | 236,067 | D |
| Common Stock | 09/14/2016 | S <sup>(1)</sup> | 15,235 | D | \$ 27.7667 | 220,832 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |              |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|----------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title        | Amount or Number of Shares |
| Stock Option (Right to Buy)                | \$ 21.68   | 09/14/2016                           |  | M                              | 25,000  | 09/07/2008   | 09/07/2017  | Common Stock | 25,000                     |
| Stock Option (Right to Buy)                | \$ 3.36  | 09/14/2016                           |  | M                              | 54,892  | 08/12/2009   | 12/12/2018  | Common Stock | 54,892                     |
| Stock Option (Right to Buy)                | \$ 8.29  | 09/14/2016                           |  | M                              | 23,431  | 12/08/2012   | 12/08/2019  | Common Stock | 23,431                     |

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director      10% Owner      Officer      Other

Young Joseph A  
1389 MOFFETT PARK DRIVE  
SUNNYVALE, CA 94089-1134

EVP Global  
Operations

## Signatures

/s/ Christopher Brown as  
Attorney-in-fact for

09/16/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares were sold pursuant to a Rule 10b5-1 Stock Trading Plan adopted by the reporting person on January 6, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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