Edgar Filing: FINISAR CORP - Form 4

FINISAR CO	ORP										
Form 4											
June 24, 201											
FORM	14		SECUE	TTIEC A			NCEO	OMMISSION		PROVAL	
		LD STATES		shington.			NGE C	UNINISSION	OMB Number:	3235-0287	
Check the	ter.			0 /					Expires:	January 31,	
if no longer subject to STATEMENT OF CHAN				GES IN BENEFICIAL OWNE				NERSHIP OF	Estimated a	2005 Iverade	
	Section 16.				SECURITIES				burden hours per		
Form 4 o Form 5		nurcuant to	Section 1	6(a) of th	a Sacurit	ios F	vehana	e Act of 1934,	response	0.5	
obligation	ns Section	^					•	² 1935 or Section	ı		
may cont See Instru	inue.			vestment	•	· ·			-		
1(b).					_						
(Drint or Type I	Desmonsos)										
(Print or Type I	(esponses)										
Swanson Todd Symbol				R CORP [FNSR]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First)	(Middle)									
1389 MOFFETT PARK DRIVE(Month/D06/23/20				-				Director X_ Officer (give			
								below) below) Exec.VP Sales & Marketing			
	(Street)		4 If Ama	ndmant D	ata Origina	1				-	
				endment, Date Original nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person			
1 neu(tron											
SUNNYVA	LE, CA 94089	9-1134						Form filed by M Person	lore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-I	Derivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of	2. Transaction I	3. 4. Securities Acquired				5. Amount of	6. Ownership				
Security	(Month/Day/Year) Execution Date, if			Transaction(A) or Disposed of (D)				Securities Beneficially	Form: Direct		
(Instr. 3)		any (Month/I	Day/Year)	Code (Instr. 3, 4 and 5) (Instr. 8)				2	(D) or Indirect (I)	Beneficial Ownership	
			•					Following	(Instr. 4)	(Instr. 4)	
						(A)		Reported Transaction(s)			
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock	06/23/2014			F <u>(1)</u>	3,007	D	\$ 19.71	190,988	D		
Stock							17.71				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. tionNumber of) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3	Date	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
				Code N	7 (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Swanson Todd 1389 MOFFETT PARK DRIVE SUNNYVALE, CA 94089-1134			Exec.VP Sales & Marketing					
Signatures								
/s/ Dmitri Volgin as Attorney-in-fact for		06/24/20	./2014					
<u>**</u> Signature of Reporting Person		Date						

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On June 23, 2014 the Reporting Person vested in 8,000 restricted stock units ("RSUs") and as a result acquired 8,000 shares of common stock of the Issuer pursuant to the Reporting Person's June 15, 2010 grant of RSUs. This Form 4 reports shares withheld by the Issuer pursuant to the RSUs' grant agreement in order to satisfy the Reporting Person's withholding obligations for various taxes due upon the

vesting of the RSUs. No shares were sold by the Reporting Person. The Issuer will pay the taxes on behalf of the Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.