Edgar Filing: FINISAR CORP - Form 4

FINISAR CO	ORP											
Form 4												
June 23, 2014												
FORM	14		SECUE				NCEC	COMMISSION		PROVAL		
		DSIALES			, D.C. 20		NGE C	UNIMISSION	OMB Number:	3235-0287		
Check thi	or			U	·				Expires:	January 31,		
if no longer subject to STATEMENT OF CHAN					GES IN BENEFICIAL OWNER				Estimated a	2005 Iverade		
	Section 16.				SECURITIES				burden hours per			
Form 4 or Form 5	Form 5 Filed pursuant to Section 16(a) of the Securities						vohona	a A at of 1024	response	0.5		
obligatior	¹⁸ Section	-					•	E Act of 1934, 1935 or Section	n			
may conti See Instru	inue.		of the In	•	•	· ·			1			
1(b).	iction	00(11)			- Compun							
(Print or Type R	Responses)											
1 Name and A	ddress of Report	ing Person *	2 Icours	Nomo on	Tieker or	Tradia	20	5. Relationship of	Reporting Pers	son(s) to		
1. Name and Address of Reporting Person *2. IssuerSwanson ToddSymbol				Name and Ticker or Trading			Issuer					
			•	R CORP [FNSR]								
				Earliest Transaction				(Chec)	(Check all applicable)			
(Luot)	(1 100)	(initiality)		n/Day/Year)			Director	10%	Owner			
1389 MOFFETT PARK DRIVE 06/20/20				•				Officer (give	title Other (specify below)			
								below) Exec.VP	Sales & Marke	eting		
				ndment, Date Original				6. Individual or Joint/Group Filing(Check				
				onth/Day/Year)				Applicable Line)				
								_X_Form filed by C	One Reporting Pe Iore than One Re			
SUNNYVA	LE, CA 94089	9-1134						Person		porting		
(City)	(State)	(Zip)	Tabl	e I - Non-l	Derivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned		
1.Title of	2. Transaction I	3.4. Securities Acquired				5. Amount of	6. Ownership					
Security	(Month/Day/Year) Execution Date, if			Transaction(A) or Disposed of (D) Code $(Instr. 2, 4 and 5)$				Securities Beneficially	Form: Direct			
(Instr. 3)		any (Month/I	Day/Year)	Code (Instr. 3, 4 and 5) (Instr. 8)				2	· /	Beneficial Ownership		
		×	, , , , , , , , , , , , , , , , , , ,					Following	(Instr. 4)	(Instr. 4)		
						(A)		Reported Transaction(s)				
				Col V	A	or	D.'	(Instr. 3 and 4)				
Common					Amount	(D)	Price \$					
Stock	06/20/2014			$F^{(1)}$	3,632	D	ф 19.91	193,995	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. tionNumber of) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3	Date	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
				Code N	7 (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Swanson Todd 1389 MOFFETT PARK DRIVE SUNNYVALE, CA 94089-1134			Exec.VP Sales & Marketing					
Signatures								
/s/ Dmitri Volgin as Attorney-in-fact for		06/23/202	14					
<u>**</u> Signature of Reporting Person		Date						

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On June 20, 2014 the Reporting Person vested in 9,664 restricted stock units ("RSUs") and as a result acquired 9,664 shares of common (1) stock of the Issuer pursuant to the Reporting Person's June 201, 2011 grant of RSUs. This Form 4 reports shares withheld by the Issuer

¹⁾ pursuant to the RSUs' grant agreement in order to satisfy the Reporting Person's withholding obligations for various taxes due upon the vesting of the RSUs. No shares were sold by the Reporting Person. The Issuer will pay the taxes on behalf of the Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.