## Edgar Filing: FINISAR CORP - Form 4

| FINISAR CO  | ORP                                |   |                                  |  |                                       |           |             |  |  |                        |  |
|---|------------------------------------|---|----------------------------------|--|---------------------------------------|-----------|-------------|--|--|------------------------|--|
| Form 4  |                                    |   |                                  |  |                                       |           |             |  |  |                        |  |
| June 23, 201  |                                    |   |                                  |  |                                       |           |             |  |  |                        |  |
| FORM  | <b>  4</b> UNITE                   | D STATES  |                                  |  |                                       |           | NGE C       | COMMISSION   | OMB<br>OMB<br>Number:  | PROVAL<br>3235-0287    |  |
| Check thi<br>if no long<br>subject to                   | er STATE                           | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF |                                  |  |                                       |           |             |  |  | January 31,<br>2005    |  |
| Section 16. SECURITI<br>Form 4 or                       |                                    |   |                                  |  | ITIES                                 |           |             |  | Estimated average<br>burden hours per                                |                        |  |
| Form 5<br>obligation<br>may cont<br>See Instru<br>1(b). | Filed p<br>ns Section 1            | 7(a) of the 1                                   | Public Ut                        | tility Hol   |                                       | npany     | Act of      | e Act of 1934,<br>1935 or Section<br>0   | response   | 0.5                    |  |
| (Print or Type F  | Responses)                         |   |                                  |  |                                       |           |             |  |  |                        |  |
| Colyar Mark Symbol                                      |                                    |   | Symbol                           | uer Name <b>and</b> Ticker or Trading<br>ol<br>SAR CORP [FNSR] |                                       |           |             | 5. Relationship of Reporting Person(s) to Issuer   |  |                        |  |
|   |                                    |   | f Earliest Transaction (Check al |  |                                       |           |             | k all applicable   | all applicable)  |                        |  |
|   |                                    |   |                                  | •  |                                       |           |             | Director 10% Owner<br>X Officer (give title Other (specify<br>below)<br>Sr. VP Operations/Engineering              |  |                        |  |
|   |                                    |   |                                  | endment, Date Original<br>nth/Day/Year)                        |                                       |           |             | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person            |  |                        |  |
| SUNNYVA   | LE, CA 94089                       | -1134   |                                  |  |                                       |           |             |  | Iore than One Re   |                        |  |
| (City)  | (State)                            | (Zip)   | Tabl                             | e I - Non-l  | Derivative                            | Secur     | ities Acq   | uired, Disposed of   | , or Beneficial  | ly Owned               |  |
| 1.Title of<br>Security<br>(Instr. 3)                    | 2. Transaction D<br>(Month/Day/Yea | ar) Execution<br>any                            |                                  | Code<br>(Instr. 8)   | 4. Securi<br>on(A) or D<br>(Instr. 3, | (A)<br>or | d of (D)    | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | Indirect<br>Beneficial |  |
| Common<br>Stock   | 06/20/2014                         |   |                                  | F <u>(1)</u>   | 2,520                                 | D         | \$<br>19.91 | 135,170  | D  |                        |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. 6. Date Exercisation<br>on Number Expiration Date<br>of (Month/Day/Yea<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, |                     | ate                | Amou<br>Under<br>Secur | rlying                                 | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owno<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--|---|---------------------|--------------------|------------------------|--|---|---|
|   |   |   |   | Code V                                 | 4, and 5)<br>(A) (D)  | Date<br>Exercisable | Expiration<br>Date | Title                  | Amount<br>or<br>Number<br>of<br>Shares |   |   |

## **Reporting Owners**

| Reporting Owner Name / Address                                     | Relationships |           |                               |       |  |  |  |  |
|--|---------------|-----------|-------------------------------|-------|--|--|--|--|
|  | Director      | 10% Owner | Officer                       | Other |  |  |  |  |
| Colyar Mark<br>1389 MOFFETT PARK DRIVE<br>SUNNYVALE, CA 94089-1134 |               |           | Sr. VP Operations/Engineering |       |  |  |  |  |
| Signatures   |               |           |                               |       |  |  |  |  |
| /s/ Dmitri Volgin as<br>Attorney-in-fact for                       |               | 06/23/20  | 14                            |       |  |  |  |  |
| **Signature of Reporting Person                                    |               | Date      |                               |       |  |  |  |  |

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On June 20, 2014 the Reporting Person vested in 8,000 restricted stock units ("RSUs") and as a result acquired 8,000 shares of common (1) stock of the Issuer pursuant to the Reporting Person's June 20, 2011 grant of RSUs. This Form 4 reports shares withheld by the Issuer

<sup>1)</sup> pursuant to the RSUs' grant agreement in order to satisfy the Reporting Person's withholding obligations for various taxes due upon the vesting of the RSUs. No shares were sold by the Reporting Person. The Issuer will pay the taxes on behalf of the Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.