Edgar Filing: FINISAR CORP - Form 4

FINISAR CO	ORP										
Form 4											
June 20, 201											
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION							OMB APPROVAL				
	UNITE	DSIALES		shington,			NGE U	OMINISSION	OMB Number:	3235-0287	
Check th	is box		vv a	sinington,	D.C. 20.	/				January 31,	
if no longer STATEMENT OF CH				HANGES IN BENEFICIAL OWN				VERSHIP OF	Expires:	2005	
subject to Section 1	5	SECURITIES							Estimated average burden hours per		
Form 4 c									response 0.5		
Form 5	-						-	e Act of 1934,			
obligatio may cont				•	•	- ·		1935 or Section	ı		
See Instr 1(b).		30(h)	of the In	ivestment	Compan	y Act	of 194	0			
1(0).											
(Print or Type l	Responses)										
1 Name and A	ddress of Penorti	ng Derson *	2 I		TD' 1	.		5. Relationship of	Paparting Dars	on(s) to	
1. Name and Address of Reporting Person 2. Issuer Name ar RAWLS JERRY S Symbol				r Name and	Issuer				Reporting 1 ers	011(3) 10	
	•	Symbol FINISAR CORP [FNSR]									
								(Check all applicable)			
(Month.				Date of Earliest Transaction (onth/Day/Year)				X Director	10%	Owner	
				06/18/2014				XOfficer (give titleOther (specify			
						below) below) Exec Chairman of the Board					
(Street) 4. If A			4. If Ame	f Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
				d(Month/Day/Year)				Applicable Line)			
								X Form filed by O			
SUNNYVA	LE, CA 94089	-1134						Form filed by M Person	ore than One Ke	porung	
(City)	(State)	(Zip)	Tab	le I - Non-D	erivative S	Securi	ties Acqu	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of	2. Transaction D	ate 2A. Deer	emed 3. 4. Securities Acquired				quired	5. Amount of	6.	7. Nature of	
Security	(Month/Day/Yea	n Date, if Transaction(A) or Disposed of (D)					Securities	Ownership	Indirect		
(Instr. 3)		any (Month/I	Code(Instr. 3, 4 and 5)Day/Year)(Instr. 8))	Beneficially Owned	Form: Direct (D) or	Beneficial Ownership	
		(intoliant)	<i>Suj</i> , 10 <i>u</i>)	(insu: 0)				Following	Indirect (I)	(Instr. 4)	
						(A)		Reported	(Instr. 4)		
						or		Transaction(s) (Instr. 3 and 4)			
Common				Code V	Amount	(D)	Price \$,			
Stock	06/18/2014			F <u>(1)</u>	14,157	D	ъ 19.84	648,103 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
RAWLS JERRY S 1389 MOFFETT PARK DRIVE SUNNYVALE, CA 94089-1134	Х		Exec Chairman of the Board					
Signatures								
Christopher Brown as Attorney-in-fact for		06/20/2	014					
**Signature of Reporting Person		Date						

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On June 18, 2014 the Reporting Person vested in 30,000 restricted stock units ("RSUs") and as a result acquired 30,000 shares of
 (1) common stock of the Issuer pursuant to the Reporting Person's June 14, 2012 grant of RSUs. This Form 4 reports shares withheld by the Issuer pursuant to the RSUs' grant agreement in order to satisfy the Reporting Person's withholding obligations for various taxes due upon

- the vesting of the RSUs. No shares were sold by the Reporting Person. The Issuer will pay the taxes on behalf of the Reporting Person.
- (2) Includes 327 shares received as an Issuer match under the Issuer's 401K Plan on December 27, 2013 and not required to be reported.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.