FINISAR CORP Form 4 April 24, 2014

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **Brown Christopher** 

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

FINISAR CORP [FNSR]

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

04/22/2014

Director 10% Owner Other (specify

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

\_X\_\_ Officer (give title below)

Exec. VP and General Counsel

4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year) Applicable Line)

> \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### SUNNYVALE, CA 94089-1134

1389 MOFFETT PARK DRIVE

(Street)

(City)	(State) (Z	Zip) Table	e I - Non-D	erivative S	Securi	ities Acc	quired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. 4. Securities Ac Transaction(A) or Disposed Code (D) (Instr. 8) (Instr. 3, 4 and 5		d of	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	04/22/2014		M	4,454	A	\$ 8.29	91,520	D	
Common Stock	04/22/2014		M	3,907	A	\$ 8.29	95,427	D	
Common Stock	04/22/2014		M	3	A	\$ 3.36	95,430	D	
Common Stock	04/22/2014		M	3	A	\$ 3.36	95,433	D	
Common Stock	04/22/2014		S	8,367 (1)	D	\$ 28.5	87,066	D	

#### Edgar Filing: FINISAR CORP - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number conf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 8.29	04/22/2014		M		4,454	03/08/2010	12/08/2019	Common Stock	4,454
Stock Option (Right to Buy)	\$ 8.29	04/22/2014		M		3,907	09/01/2010	12/08/2019	Common Stock	3,907
Stock Option (Right to Buy)	\$ 3.36	04/22/2014		M		3	12/12/2009	12/12/2018	Common Stock	3
Stock Option (Right to Buy)	\$ 3.36	04/22/2014		M		3	08/12/2009	12/12/2018	Common Stock	3

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
rr a g a m a m a m a m a m a m a m a m a m	Director	10% Owner	Officer	Other			
Brown Christopher							
1389 MOFFETT PARK DRIVE			Exec.VP and General Counsel				
SUNNYVALE, CA 94089-1134							

Reporting Owners 2

## **Signatures**

Christopher Brown

04/24/2014

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were sold pursuant to a Rule 10b5-1 Stock Trading Plan adopted by the reporting person on April 05, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3