

TIVO INC
Form 3
November 02, 2005

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|---|---------|----------|---|--|---|
| 1. Name and Address of Reporting Person * | | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â ROBERTS MARK ANDREW | | | (Month/Day/Year) 10/27/2005 | TIVO INC [Tivo] | |
| (Last) | (First) | (Middle) | 4. Relationship of Reporting Person(s) to Issuer | | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| TIVO INC,Â 2160 GOLD STREET | | | (Check all applicable) | | |
| (Street) | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) SVP of Consumer Prods & Ops | | 6. Individual or Joint/Group Filing(Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person |
| ALVISO,Â CAÂ 95002 | | | | | |
| (City) | (State) | (Zip) | | | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 20,525 | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--|---|---|--|
|---|---|--|---|---|--|

Edgar Filing: TIVO INC - Form 3

| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) | |
|------------------------------|------------------|-----------------|--------------|----------------------------|-----------|---------------------------------------|---|
| Stock Options (right to buy) | Â <u>(1)</u> | 07/14/2009 | Common Stock | 45,000 | \$ 8.5 | D | Â |
| Stock Option (right to buy) | Â <u>(1)</u> | 05/23/2010 | Common Stock | 40,000 | \$ 20 | D | Â |
| Stock Option (right to buy) | Â <u>(2)</u> | 02/06/2011 | Common Stock | 20,834 <u>(9)</u> | \$ 6.3125 | D | Â |
| Stock Option (right to buy) | Â <u>(3)</u> | 05/07/2012 | Common Stock | 10,418 <u>(10)</u> | \$ 3.9 | D | Â |
| Stock Option (right to buy) | Â <u>(4)</u> | 01/23/2013 | Common Stock | 20,835 <u>(11)</u> | \$ 5.46 | D | Â |
| Stock Option (right to buy) | Â <u>(5)</u> | 04/24/2013 | Common Stock | 20,626 <u>(12)</u> | \$ 5.43 | D | Â |
| Stock Option (right to buy) | Â <u>(6)</u> | 05/03/2014 | Common Stock | 70,000 <u>(13)</u> | \$ 7.18 | D | Â |
| Stock Option (right to buy) | Â <u>(7)</u> | 04/24/2013 | Common Stock | 15,000 <u>(14)</u> | \$ 5.43 | D | Â |
| Stock Option (right to buy) | Â <u>(8)</u> | 03/02/2015 | Common Stock | 68,751 <u>(15)</u> | \$ 4.14 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| ROBERTS MARK ANDREW TIVO INC 2160 GOLD STREET ALVISO, CA 95002 | Â | Â | Â SVP of Consumer Prods & Ops | Â |

Signatures

Laurence Denny, Attorney in Fact for: Mark Andrew Roberts

11/02/2005

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) As of 10/27/2005 these shares are fully vested.

(2) These shares were granted on 2/6/2001 and vest 1/60 per month for 60 months.

(3) These shares were granted on 5/7/2002 and vest 1/48 per month over 48 months

(4) These shares were granted 1/23/2003 and vest 1/48 per month over 48 months.

Edgar Filing: TIVO INC - Form 3

- (5) These shares were granted on 4/24/2003 and vest 1/48 per month over 48 months.
- (6) These shares were granted on 5/3/2004 and vest 1/48 per month over 48 months.
- (7) These shares we granted on 4/24/2003 and vest based on company performance goals.
- (8) These shares were granted on 3/2/2005 and vest 1/48 per month over 48 months.
- (9) These shares consist of 17,499 shares that are vested and exercisable and 3,335 shares that have not yet been vested.
- (10) These shares consist of 3,126 shares that are vested and exercisable and 7,292 shares that have not yet been vested.
- (11) These shares consist of 5,209 shares that are vested and exercisable and 15,626 shares that have not yet been vested.
- (12) These shares consist of 2,813 shares that are vested and exercisable and 17,813 shares that have not yet been vested.
- (13) These shares consist of 24,791 shares that are vested and exercisable and 45,209 shares that have not yet been vested.
- (14) To date none of these shares are vested.
- (15) These shares consist of 4,687 shares that are vested and exercisable and 64,064 shares that have not yet been vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.