Edgar Filing: WisdomTree Trust - Form SC 13G/A

WisdomTree Trust Form SC 13G/A August 05, 2013

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### **SCHEDULE 13G**

Under the Securities Exchange Act of 1934 (Amendment No. 6)\*

(Amendment No. 6)*		
WISDOMTREE TRUST - SmallCap Earnings Fund		
(Name of Issuer)		
WisdomTree SmallCap Earnings Fund		
(Title of Class of Securities)		
97717W562		
(CUSIP Number)		
July 25, 2013		
(Date of Event which Requires Filing of this Statement)		
Check the appropriate box to designate the rule pursuant to which this Schedule is filed:		
[ ] Rule 13d-1(b) [ ] Rule 13d-1(c) [X] Rule 13d-1(d)		

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see Instructions).

CUSIP No.: 97717W562

1 NAME OF REPORTING PERSON 3D ASSET MANAGEMENT, INC.

I.R.S. IDENTIFICATION NO. OF

<sup>\*</sup> The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

## Edgar Filing: WisdomTree Trust - Form SC 13G/A

	ABOVE PERSON (ENTITIES ONLY) 20-3725583	
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (a) [ ] (b) [ ]	
3	SEC USE ONLY	
4	CITIZENSHIP OR PLACE OF ORGANIZATION DELAWARE	
NUMBER OF SHARES	5 SOLE VOTING POWER 439,969	
BENEFICIALLY OWNED BY EACH	H 6 SHARED VOTING POWER	
REPORTING PERSON WITH	7 SOLE DISPOSITIVE POWER	
9	8 SHARED DISPOSITIVE POWER AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON 439,969	
10	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES []	
11	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9) 15.44%	
12	TYPE OF REPORTING PERSON IA	
CUSIP No.: 97717W562		
ITEM 1(a). NAME ISSUE		
TRUS	OMTREE Γ - SmallCap gs Fund	
	ESS OF R'S CIPAL	

OFFICES:

SCHEDULE 13G 2

```
380 MADISON
           AVENUE, 21ST
           FLOOR
           NEW YORK, NY
           10017
           NAME OF
ITEM 2(a).
           PERSON FILING:
           3D ASSET
           MANAGEMENT,
           INC.
           ADDRESS OF
           PRINCIPAL
           BUSINESS
ITEM 2(b).
           OFFICE OR, IF
           NONE.
           RESIDENCE:
           111 FOUNDERS
           PLAZA, SUITE
           1707
           EAST
           HARTFORD, CT
           06108
ITEM 2(c). CITIZENSHIP:
           DELAWARE
           TITLE OF CLASS
ITEM 2(d).
           OF SECURITIES:
           WisdomTree
           SmallCap Earnings
           Fund
ITEM 2(e). CUSIP NUMBER:
           97717W562
ITEM
       IF THIS STATEMENT IS FILED PURSUANT TO SECTION 240.13d-1(b), or 13d-2(b) or (c) CHECK
       WHETHER THE PERSON FILING IS A:
3.
       (a) Broker or dealer registered under Section 15 of the Act (15 U.S.C. 78c);
       (b) Bank as defined in Section 3(a)(6) of the Act (15 U.S.C. 78c);
       (c) [ ] Insurance company as defined in Section 3(a)(19) of the Act (15 U.S.C. 78c);
       (d) [ ] Investment company registered under Section 8 of the Investment Company Act of 1940
           (15 U.S.C 80a-8);
       (e) [X] An investment adviser in accordance with 240.13d-1(b)(1)(ii)(E);
       (f) An employee benefit plan or endowment fund in accordance with 240.13d-1(b)(1)(ii)(F);
       (g) A parent holding company or control person in accordance with 240.13d-1(b)(1)(ii)(G);
       (h) [ ] A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act
           (12 U.S.C. 1813);
```

SCHEDULE 13G 3

#### Edgar Filing: WisdomTree Trust - Form SC 13G/A

- [ ] A church plan that is excluded from the definition of an investment company under Section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
   [ ] A non-U.S. institution in accordance with 240.13d-1(b)(1)(ii)(J);
   [ ] Group, in accordance with 240.13d-1(b)(1)(ii)(K). If filing as a non-U.S. institution in accordance with 240.13d1(b)(1)(ii)(J), please specify the type of institution:
- ITEM 4. OWNERSHIP:

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

(a) Amount beneficially owned:

439,969

(b) Percent of class:

15.44%

- (c) Number of shares as to which the person has:
  - (i) Sole power to vote or to direct the vote:

439,969

- (ii) Shared power to vote or to direct the vote:
- (iii) Sole power to dispose or to direct the disposition of:
- (iv) Shared power to dispose or to direct the disposition of:

**OWNERSHIP OF** 

### ITEM 5. FIVE PERCENT OR

LESS OF A CLASS:

If this statement is

being filed to report

the fact that as of the

date hereof the

reporting person has

ceased to be the

beneficial owner of

more than five

percent of the class of

securities, check the

following [ ].

**OWNERSHIP OF** 

MORE THAN FIVE

ITEM 6.

PERCENT ON BEHALF OF

ANOTHER

PERSON:

TOWN OF EAST

**HARTFORD** 

**EMPLOYEE** 

RETIREMENT

SCHEDULE 13G 4

**PLAN** 

**IDENTIFICATION** 

**AND** 

**CLASSIFICATION** 

OF THE

**SUBSIDIARY** 

ITEM 7. WHICH ACQUIRED

THE SECURITY

**BEING REPORTED** 

ON BY THE

PARENT HOLDING

**COMPANY:** 

N/A

**IDENTIFICATION** 

**AND** 

ITEM 8. CLASSIFICATION

OF MEMBERS OF

THE GROUP:

N/A

NOTICE OF

ITEM 9. DISSOLUTION OF

**GROUP:** 

N/A

ITEM 10. CERTIFICATION:

#### **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

July 25, 2013

Date

3D ASSET MANAGEMENT, INC.

/s/ JOHN O'CONNOR

Signature

JOHN O'CONNOR, PRESIDENT

Name/Title

Attention: Intentional misstatements or omissions of fact constitute Federal criminal violations (see 18 U.S.C. 1001).

SIGNATURE 5