#### **UMPQUA HOLDINGS CORP**

Form 4

February 02, 2016

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005 Estimated average

0.5

Form 4 or Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

burden hours per

1(b).

(Print or Type Responses)

1. Name and Addre	ess of Reporting Person * H RON L	2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
		UMPQUA HOLDINGS CORP [UMPQ]			
(Last)	(First) (Middle)	3. Date of Earliest Transaction (Month/Day/Year)	Director 10% Owner X Officer (give title Other (specify		
ONE SW COLU SUITE 1200	UMBIA STREET,	01/31/2016	below) below) EVP/CFO		
	(Street)	4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
DODEL AND	ND 07250	Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting		

## PORTLAND, OR 97258

Stock

Stock

Common

(City)	(State)	(Zip) Tabl	e I - Non-E	Derivative S	Securi	ties Acqu	iired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securit or(A) or Dis (Instr. 3, 4	sposed 4 and 5 (A) or	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/31/2016		Code V F	Amount 4,783 (1)	(D)	Price \$ 14.48	157,396	D	
Common Stock	02/01/2016		A	10,500 (2)	A	\$ 0	167,896	D	
Common Stock	02/01/2016		A	24,500 (2)	A	\$ 0	192,396	D	
Common	02/02/2016		F	1,091	D	\$ 14.08	191,305	D	

(1)

14.08

1,220

Ι

Person

by 401(k)

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Common Stock	4,179	I	by IRA
Common Stock	2,274	I	by Spouse IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and Amount
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orNumber	Expiration Date	Underlying Securitie
Security	or Exercise		any	Code	of	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e	
	Derivative				Securities		
	Security				Acquired		
					(A) or		
					Disposed		
					of (D)		
					(Instr. 3,		

Date Exercisable Expiration Date Title

Code V (A) (D)

4, and 5)

Non-Qualified

Stock Option \$ 11.89 (right to buy)

02/05/2011(3) 02/05/2020

Common Stock 8,00

Amou

Numb

Shares

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

FARNSWORTH RON L

ONE SW COLUMBIA STREET, SUITE 1200 PORTLAND, OR 97258

EVP/CFO

**Signatures** 

By: Andrew H. Ognall, Attorney-in-Fact For: Ron L.

Farnsworth 02/02/2016

\*\*Signature of Reporting Person Date

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Payment of tax liability by delivering or withholding securities incident to the receipt, exercise or vesting of a security issued in accordance with Rule 16b-3.
- (2) RSA granted 2/01/16. Beginning on the first anniversary of the grant date, the grant shares vest 33 1/3% per year for three years.
- (3) Option granted 2/5/10. Beginning on the first anniversary of the grant date, the options vest 20% per year for five years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.