

PAIN THERAPEUTICS INC
Form SC 13G/A
February 07, 2019

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

SCHEDULE 13G

(Rule 13d-102)

Under the Securities Exchange Act of 1934

(Amendment No. 14) *

Pain Therapeutics, Inc.

(Name of Issuer)

Common Stock, \$0.001 per value share

(Title of Class of Securities)

69562K506

(CUSIP Number)

Eric Schoen

7801 N Capital of Texas Highway, Suite 260

Austin, TX 78731

(512) 501-2450

(Name, Address and Telephone Number of Person

Authorized to Receive Notices and Communications)

December 31, 2018

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

Rule 13d-1(b)

Rule 13d-1(c)

Rule 13d-1(d)

*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

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1. NAME OF REPORTING
PERSONS

2. Remi Barbier
CHECK THE APPROPRIATE
BOX IF A MEMBER OF A
GROUP (see instructions)

(a) (b)

3. SEC USE ONLY

4. CITIZENSHIP OR PLACE OF
ORGANIZATION

U.S.A.
NUMBER OF 5 SOLE VOTING
POWER

SHARES
1,342,942
BENEFICIALLY

OWNED BY

EACH

REPORTING

PERSON WITH
6 SHARED
VOTING

POWER

395,564

7 SOLE
DISPOSITIVE
POWER

1,342,942

8 SHARED
DISPOSITIVE
POWER

395,564

9. AGGREGATE AMOUNT
BENEFICIALLY OWNED
BY EACH REPORTING
PERSON

1,738,506

10 CHECK IF THE
AGGREGATE AMOUNT IN
ROW (9) EXCLUDES
CERTAIN SHARES (see
instructions)

11 PERCENT OF CLASS
REPRESENTED BY
AMOUNT IN ROW (9)

9.7%

12 TYPE OF REPORTING
PERSON (see instructions)

IN

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Item 1. (a)Name of Issuer

Pain Therapeutics, Inc.

Item 1. (b)Address of Issuer's Principal Executive Offices

7801 N Capital of Texas Highway, Suite 260, Austin, TX 78731

Item 2. (a)Name of Person Filing

Remi Barbier

Item 2. (b)Address of the Principal Office or, if none, residence

7801 N Capital of Texas Highway, Suite 260, Austin, TX 78731

Item 2. (c)Citizenship

USA

Item 2. (d)Title of Class of Securities

Common Stock

Item 2. (e)CUSIP Number

69562K506

Item 3. If this statement is filed pursuant to §§240.13d-1(b) or 240.13d-2(b) or

(c), check whether the person filing is a:

(a) Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o).

(b) Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c).

(c) Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c).

(d) Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8).

(e) An investment adviser in accordance with §240.13d-1(b)(1)(ii)(E);

- (f) An employee benefit plan or endowment fund in accordance with §240.13d-1(b)(1)(ii)(F);
 - (g) A parent holding company or control person in accordance with §240.13d-1(b)(1)(ii)(G);
 - (h) A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
 - (i) A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
 - (j) A non-U.S. institution in accordance with §240.13d-1(b)(1)(ii)(J);
 - (k) Group, in accordance with §240.13d-1(b)(1)(ii)(J).
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Item 4. Ownership.

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

(a) Amount beneficially owned: 1,738,506

(b) Percent of class: 9.7%

(c) Number of shares as to which the person has:

(i) Sole power to vote or to direct the vote 1,342,942

(ii) Shared power to vote or to direct the vote 395,564

(iii) Sole power to dispose or to direct the disposition of 1,342,942

(iv) Shared power to dispose or to direct the disposition of 395,564

Instruction. For computations regarding securities which represent a right to acquire an underlying security see §240.13d-3(d)(1).

Item 5. Ownership of Five Percent or Less of a Class.

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following .

Instruction. Dissolution of a group requires a response to this item.

Item 6. Ownership of More than Five Percent on Behalf of Another Person.

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company.

Item 8. Identification and Classification of Members of the Group.

Item 9. Notice of Dissolution of Group.

Item 10. Certification.

(a) The following certification shall be included if the statement is filed pursuant to §240.13d-1(b):

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

(b)The following certification shall be included if the statement is filed pursuant to §240.13d-1(c):

By signing below, I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

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February 07, 2019
Date

/s/ Remi Barbier
Signature

Remi Barbier, President, Chief Executive Officer and
Chairman of the Board of Directors
Name and Title
