### Edgar Filing: GUARANTY FEDERAL BANCSHARES INC - Form 4

### GUARANTY FEDERAL BANCSHARES INC

Form 4

September 29, 2014

FORI	OMB APPROVAL										
	UNII	TED STATE	S SECURITIES AND EXCHANGE Washington, D.C. 20549	COMMISSION	OMB Number:	3235-0287					
	Check this box										
	subject to Section 16.  STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES										
Form 5 obligat may co	Form 4 or Form 5 obligations may continue. See Instruction  Form 4 or Form 5 obligations and continue. See Instruction  Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
(Print or Type	e Responses)										
1. Name and Griesemer	Address of Repo	orting Person *	2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Issuer	Reporting Per	son(s) to					
			GUARANTY FEDERAL BANCSHARES INC [GFED]	(Check	x all applicable	e)					
(Last) 4024 E W	(First) INDSONG	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 09/29/2014	_X Director Officer (give t below)	itleOth						
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joi Applicable Line) _X_ Form filed by O Form filed by M	ne Reporting Po	erson					
SPRINGF	IELD. MO 65	804		I offit fried by M	ore man one K	porting					

#### SPRINGFIELD, MO 65804

(City)	(State) (Z	Table	I - Non-Der	ivative Se	curiti	es Acqu	ired, Disposed o	f, or Beneficia	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securitin(A) or Dis (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
COMMON STOCK	09/29/2014		M	10,000	A	\$ 5.25	10,000	I	Self Trustee
COMMON STOCK							13,041	I	Children
COMMON STOCK							8,112	I	Restricted
COMMON STOCK							11,910	I	Self Trustee
COMMON STOCK							21,291	I	IRA

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

3. Transaction Date 3A. Deemed

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

5. Number of 6. Date Exercisable and

SEC 1474 (9-02)

7. Title and Amount of

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Code Securities (Month/Day/Year) th/Day/Year) (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4,		Securities (Month/Day Acquired (A) or Disposed of (D) (Instr. 3, 4,			Underlying Sec (Instr. 3 and 4)	eurities
				Code V	and 5	(D)	Date Exercisable	Expiration Date	Title	Amoun or Numbe of Shar
OPTION RIGHT	\$ 5.25	09/29/2014		M		10,000	09/23/2011	09/23/2020	COMMON STOCK	15,00

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			
Griesemer John F 4024 E WINDSONG SPRINGFIELD, MO 65804	X						

## Signatures

JOHN F.

1. Title of

TO BUY

GRIESEMER 09/29/2014

\*\*Signature of Reporting Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2