**NVIDIA CORP** Form 4 August 29, 2016

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **HUANG JEN HSUN** Issuer Symbol NVIDIA CORP [NVDA] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) \_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify C/O NVIDIA 08/25/2016 below) CORPORATION, 2701 SAN President and CEO TOMAS EXPRESSWAY (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

**OMB APPROVAL** 

3235-0287

January 31,

2005

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**OMB** 

Number:

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SANTA CLARA, CA 95050

(City)	(State)	(Zip) Tabl	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned						
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities Ador(A) or Disposed (Instr. 3, 4 and (A) or Amount (D)	d of (D) 5)  Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	08/25/2016		M	6,273 A	\$ 15.94	1,783,552	D		
Common Stock						16,097,585	I	By Trust (1)	
Common Stock						1,237,239	I	By Partnership (2)	
Common Stock						557,000	I	By Irrevocable Trust (3)	

### Edgar Filing: NVIDIA CORP - Form 4

Common Stock					769,705	I	The Jen-Hsun Huang 201 Annuity Trust I Agreemen		
Common Stock					769,705	I	The Jen-Hsun Huang 201 Annuity Trust II Agreemen		
Common Stock					769,705	I	The Lori Lynn Huar 2016 Annuity Trust I Agreemen		
Common Stock					769,705	I	The Lori Lynn Huar 2016 Annuity Trust II Agreemen		
Reminder: Report on a sep-	arate line for each clas	s of securities benefic	Persons informa required	s who resp tion contai I to respor s a current	indirectly. ond to the col ned in this fo nd unless the ly valid OMB o	rm are not form	SEC 1474 (9-02)		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)									
1. Title of 2. Derivative Security or Exercise Price of Derivative Security	• •	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ve Expiration l (Month/Day	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
			Code V	(A) (D	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee \$ 15.94 Stock	08/25/2016		M	6,27		09/15/2016	Common		

Option (Right to Buy)

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b></b>	Director	10% Owner	Officer	Other		
HUANG JEN HSUN C/O NVIDIA CORPORATION 2701 SAN TOMAS EXPRESSWAY SANTA CLARA, CA 95050	X		President and CEO			

## **Signatures**

/s/ Rebecca Peters, Attorney-in-Fact for Jen-Hsun 08/29/2016 Huang

> \*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The shares are held by Jen-Hsun Huang and Lori Huang, as co-trustees of the Jen-Hsun & Lori Huang Living Trust, u/a/d May 1, 1995 **(1)** (the "Trust"), of which the Reporting Person is a trustee.
- (2) The shares are held by J. and L. Huang Investments, L.P., of which the Trust is the general partner.
- (3) The shares are held by The Huang 2012 Irrevocable Trust, of which the Reporting Person is a trustee.
- (4) Fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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