

ENTERPRISE FINANCIAL SERVICES CORP

Form 8-K

June 27, 2016

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of

The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported)

June 21, 2016

ENTERPRISE FINANCIAL SERVICES

CORP

(Exact name of registrant as specified in its charter)

Delaware 001-15373 43-1706259

(State or Other Jurisdiction (Commission (IRS Employer
of Incorporation) File Number) Identification No.)

150 N. Meramec, St. Louis, Missouri 63105

(Address of principal executive offices) (Zip Code)

Registrant's telephone number, including area code

(314) 725-5500

Not applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
 - ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
 - ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
 - ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
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Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

Frank H. Sanfilippo, Executive Vice President and Chief Operating Officer of Enterprise Financial Services Corp (the “Company”), will leave the Company effective June 30, 2016. Mr. Sanfilippo's current responsibilities will be assumed by the remaining executive officers and other management personnel.

The Company expects to enter into a separation agreement with Mr. Sanfilippo (the “Separation Agreement”) pursuant to which he would be paid the amounts to which he is otherwise entitled under his executive employment agreement. Additional information is described under the heading “Executive Employment Agreement with Mr. Sanfilippo” on page 23 in the Company’s Proxy Statement for its 2016 Annual Meeting of Stockholders and incorporated herein by reference.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

ENTERPRISE FINANCIAL SERVICES
CORP

Date: June 27, 2016 By: /s/ Mark G. Ponder
Mark G. Ponder
Senior Vice President and Controller